

Interpretable tensor-neural networks as topological invariants



“Why is topology hard to learn?”

arxiv: 2509.26261

(and a bit of arxiv:2411.17822)

Dmytro Oriekhov

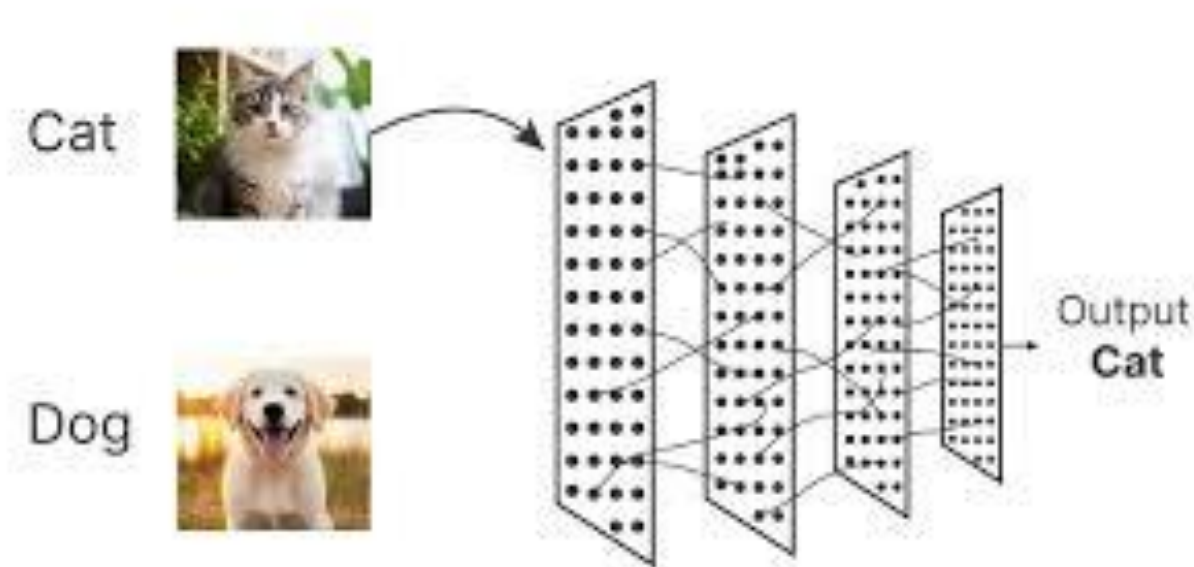
QMAI group, Kavli Institute of Nanoscience and QuTech, TU Delft



QuTech



Where the neural networks started success path: Classifying cats, dogs, handwritten digits, ...



7 → 7 5 → 5

8 → 8 3 → 3

2 → 2 4 → 4

Nowadays: chatGPTs, Large Language Models



Classifying topological phase (index) from images... why is it hard?



- Requires global look at the system
- Should avoid “bit by bit comparison”
- Bit by bit works for digits very well!

7 → 7 5 → 5

8 → 8 3 → 3

2 → 2 4 → 4

The task of this study:

how to combine a powerful technique that “likes to zoom” with a global characteristic of a system?



- Robust under “deformations”
- Not sensitive to disorder
- Universal



$$\int_{BZ} dk \dots$$

$$\sum_{\text{spectrum}, X=0,..L} \dots$$

$$\nu = \frac{1}{2\pi i} \int_{BZ} \text{Tr}\{[h(k)^{-1} \partial_k h(k)]\} \in \mathbb{Z}.$$

. P. Schnyder, S. Ryu, and A. W. Ludwig, PRL , 196804 (2009)

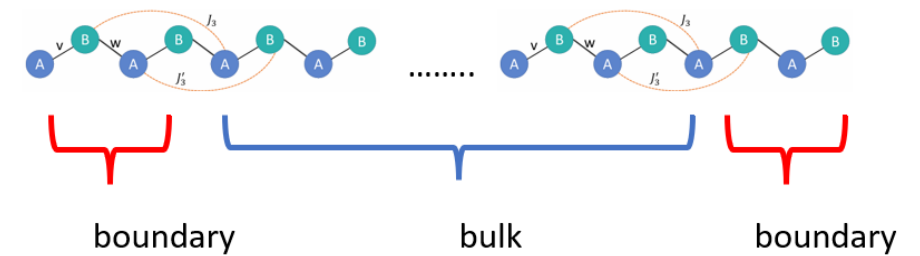
A bit of technical intro's

Target systems – topological insulators

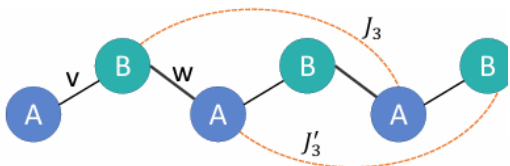
Example on which we focus: AII (most typically BDI class),

Target regime - 1D real space (what we have in experiment)
Tunable hopping as “deformation”

Specific examples – SSH type models



Physics of SSH family models



$$H = \sum_{n=1}^N \left(v c_{n,A}^\dagger c_{n,B} + w c_{n,B}^\dagger c_{n+1,A} + J_3 c_{n,B}^\dagger c_{n+2,A} + J_3' c_{n,A}^\dagger c_{n+1,B} \right) + \text{h.c.},$$

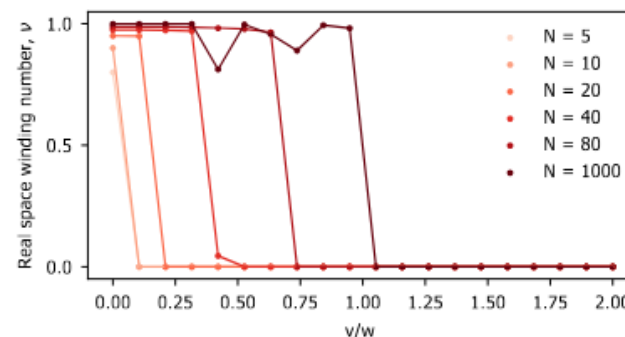
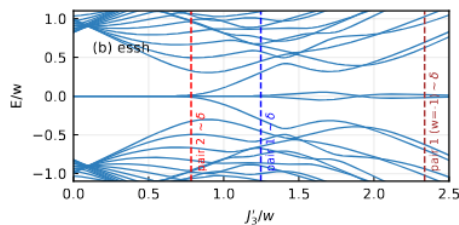
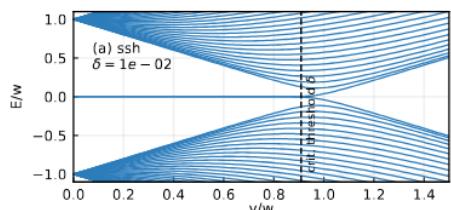
$$H(k) = \begin{pmatrix} 0 & h(k) \\ h(k)^\dagger & 0 \end{pmatrix}$$

Chiral symmetry $\{\Gamma, H\} = 0$

T-symmetry

Inversion symmetry

$$\nu = \frac{1}{2\pi i} \int_{BZ} \text{Tr} \{ [h(k)^{-1} \partial_k h(k)] \} \in \mathbb{Z}.$$



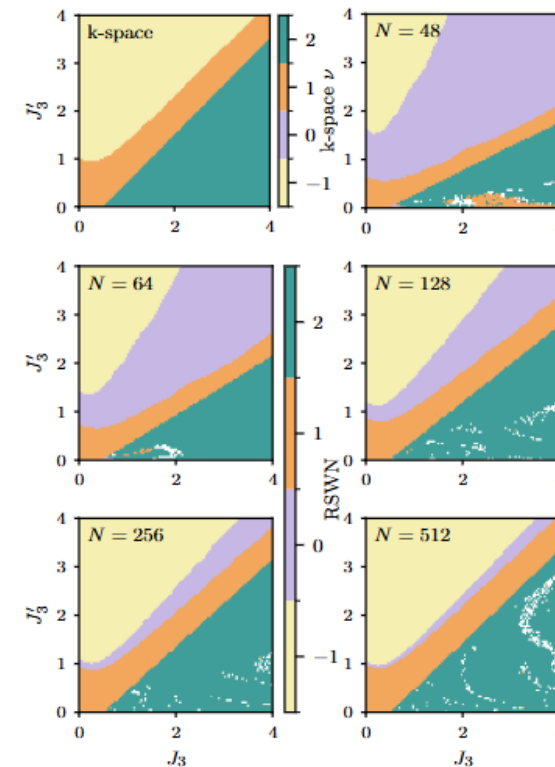
$J_3, J_3' = 0$

$v = 0.5w \rightarrow$

Finite size phase diagrams

G. Jin, **DO**, LJS, EG,

arxiv:2411.17822



Real-space winding number

$$\nu = -\frac{1}{N} \text{Tr} \{ P_B Q P_A [X, P_A Q P_B] \}$$

$P_{A,B}$ -sublatt. projection

$Q = P_+ - P_-$ - projection
on filled empty bands

X – coordinate

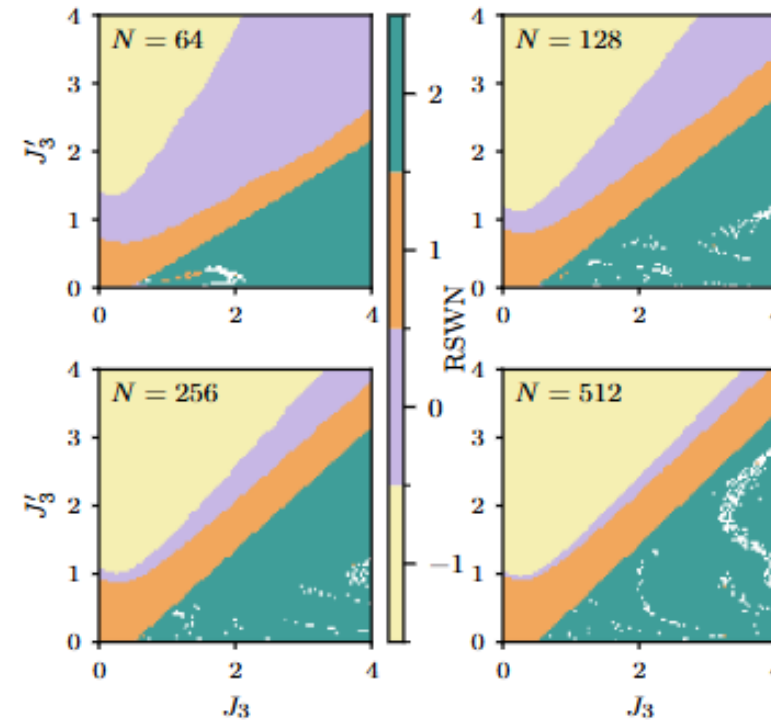
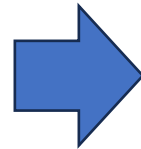
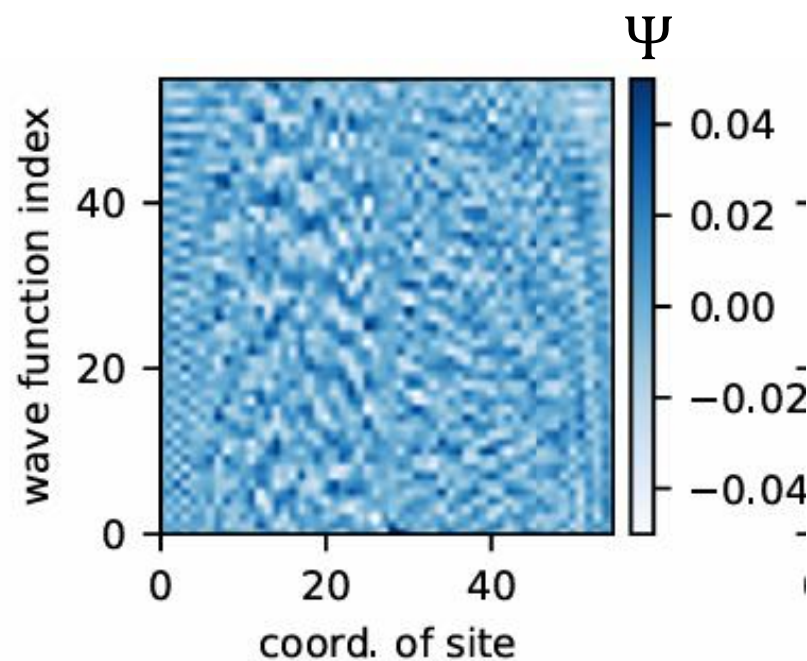
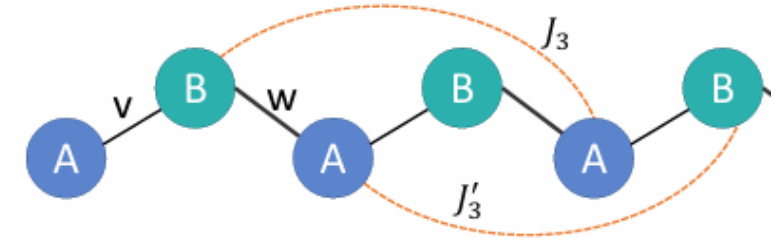
$[X,]$ instead of k -derivative

W.-P. Su, J. R. Schrieffer, and A. J.
Heeger,, PRL 42, 1698 (1979)

Ian Mondragon-Shem, Taylor L. Hughes,
Juntao Song, Emil Prodan, PRL 113,
046802 (2014)

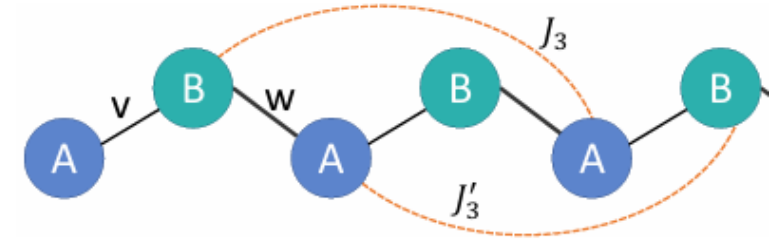
What do we train neural networks on?

- Images of all single-particle wave functions of 1D topological insulator, in real space
- Label – winding number

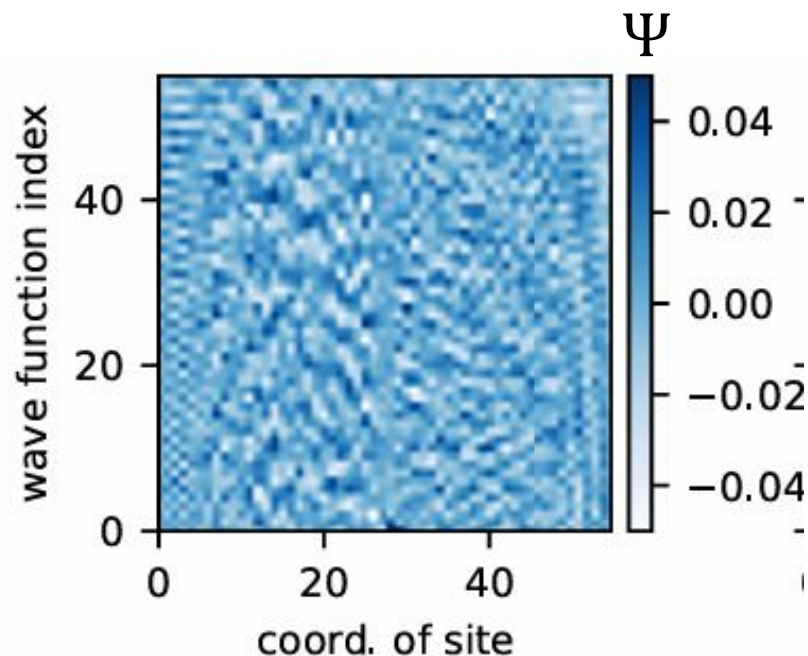


What do we train neural networks on?

- Images of all single-particle wave functions of 1D topological insulator, in real space



- Label – winding number



- SSH models have real wave functions
- Could be recovered from energy-resolved LDOS in experiment

Experiments at TU Delft: electrostatic control over the inductive intracell coupling via semiconductor nanowire junctions, for superconducting resonators
Gate-tunable phase transition in a resonator-based Su-Schrieffer-Heeger chain
L. Splitthoff et.al., PRR **6**, 043286 (2024)

Machine learning “black box” construction

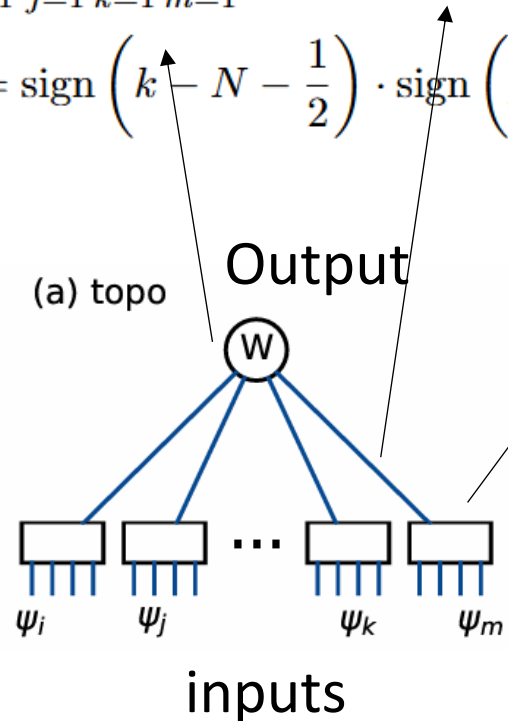
Idea: topological invariant as neural network

Real-space winding number is a sum of powers of inputs (wave functions): ...

$$W = \sum_{i=1}^N \sum_{j=1}^N \sum_{k=1}^N \sum_{m=1}^N c(j, k) (X_{2m-1} - X_{2i}) \psi_{2i}^j \psi_{2m-1}^j \psi_{2i}^k \psi_{2m-1}^k, \quad \leftarrow \quad \nu = -\frac{1}{N} \text{Tr} \{P_B Q P_A [X, P_A Q P_B]\}$$

$$c(j, k) = \text{sign} \left(k - N - \frac{1}{2} \right) \cdot \text{sign} \left(j - N - \frac{1}{2} \right).$$

....As neural network!



Just ML definitions:

- Box (tensor network element) – multiplication of 4 inputs
- Circle connected to lines – sum of all inputs
- Lines – weights of neural network
- arxiv: 2509.26261

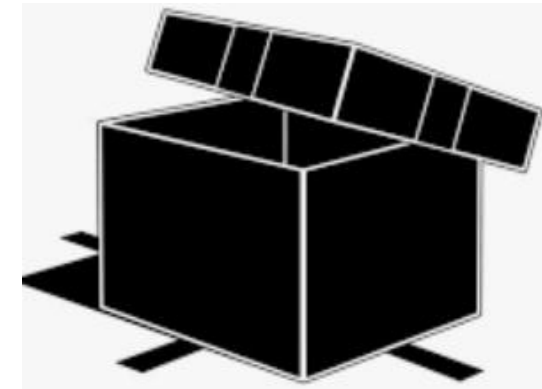
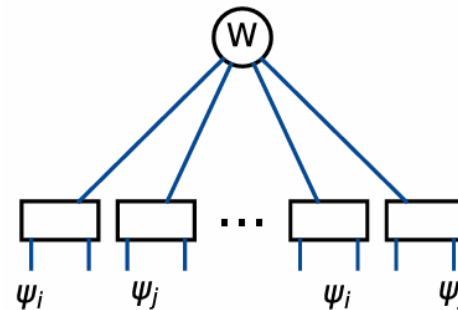
First run outcomes: trained neural network suggested existence of simplified formula

- Feature of SSH family of models; 2 sublattices
- $E > 0$ and $E < 0$ are linked via symmetry $A, B \rightarrow A, -B$
- 2-point correlators are enough

$$\delta_{n,n'} = \langle \Psi^{n,+} | \Psi^{n',+} \rangle = \sum_x (\Psi_{x,A}^{n,+} \Psi_{x,A}^{n',+} + \Psi_{x,B}^{n,+} \Psi_{x,B}^{n',+}),$$

$$0 = \langle \Psi^{n,+} | \Psi^{n',-} \rangle = \sum_x (\Psi_{x,A}^{n,+} \Psi_{x,A}^{n',+} - \Psi_{x,B}^{n,+} \Psi_{x,B}^{n',+})$$

(a) topo+sym

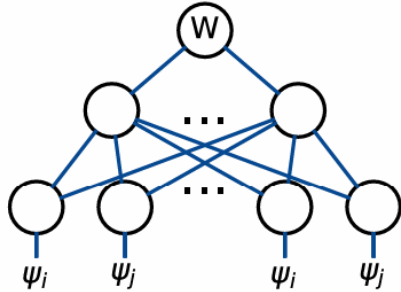


$$W = 2 \sum_{n \notin \{\text{edge st.}\}} \sum_x x \left([\Psi_{x,A}^{n,+}]^2 - [\Psi_{x,B}^{n,+}]^2 \right) + \left\{ \begin{array}{l} 2 \sum_{n \in \{\text{hybr. edge states}\}} \sum_x x \left([\Psi_{x,A}^{n,+}]^2 - [\Psi_{x,B}^{n,+}]^2 \right), \\ 4 \sum_{n \in \{\text{loc. edge st., B}\}} \sum_x x [\Psi_{x,A}^{n,+}]^2 - 4 \sum_{n \in \{\text{loc. edge st., A}\}} \sum_x x [\Psi_{x,B}^{n,+}]^2 \end{array} \right.$$

Training performance on SHH + ESSH dataset

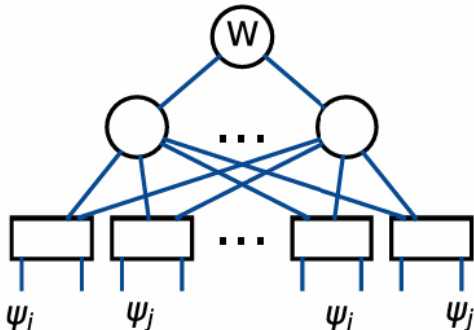
Usual network

(c) FNN



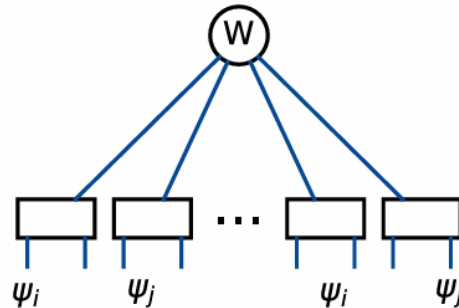
Network with proper first layer and many other layers – “preprocessed”

(b) preproc+sym

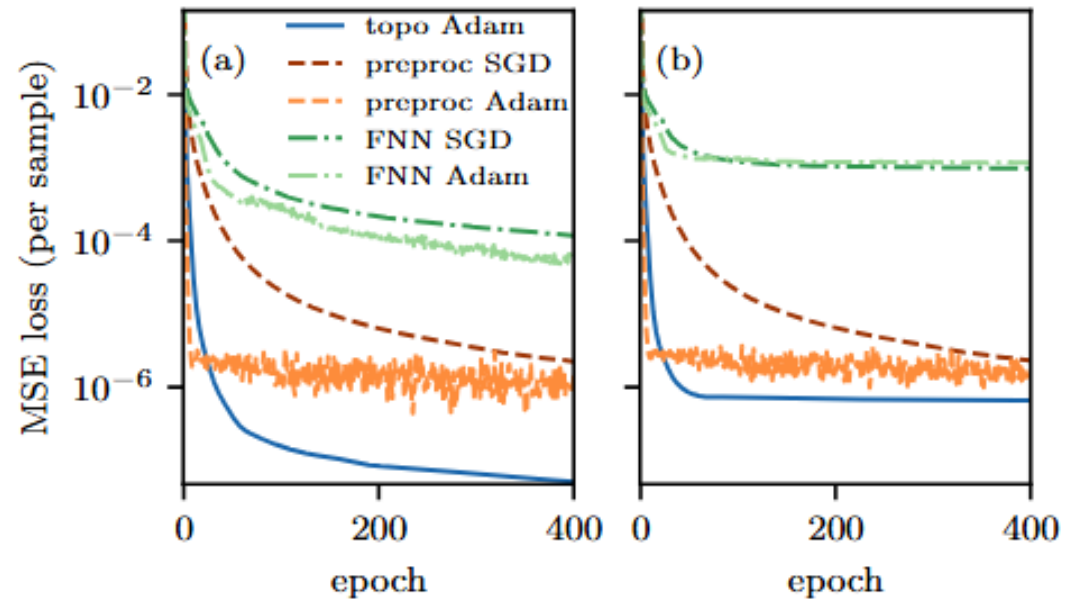


“topo” network – follows exact structure of RSWN formula

(a) topo+sym



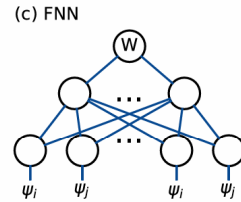
Dataset – SSH and ESSH models,
160k samples: wave functions $\rightarrow$ winding number



Training loss – 4 order of magnitude better!

Why regular neural networks are so “bad”?

- Selected powers

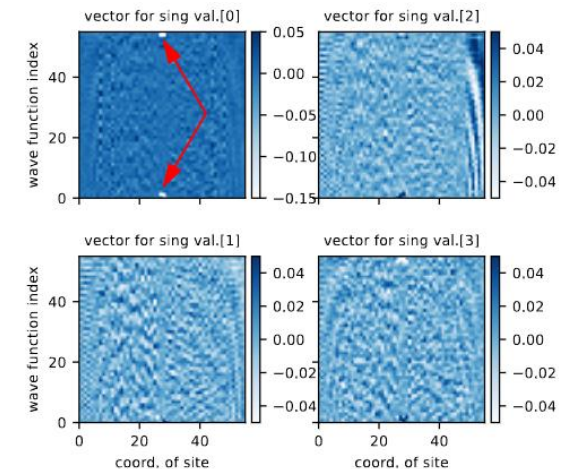
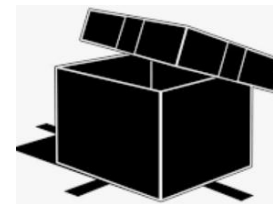
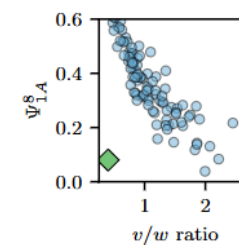
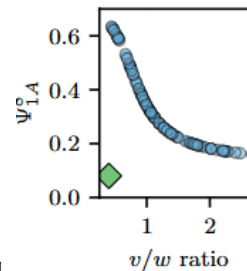
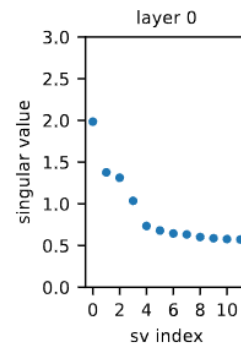
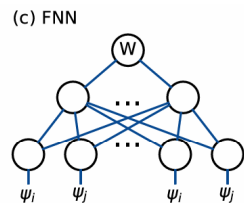


$$Out_i = \text{ReLU or Tanh or Sigmoid} (W * Input_i) = ax + bx^2 + cx^3 + dx^4 + \dots$$

- “Single-neuron networks”

- Ψ_X^n  $W = \Theta(100(\Psi - 0.4))$

- Tendency to count edge states



P. Zhang, H. Shen, and H. Zhai, Machine learning topological invariants with neural networks, PRL 120, 066401 (2018).

M. D. Caio, M. Caccin, P. Baireuther, T. Hyart, M. Fruchart, Machine learning assisted measurement of local topological invariants, arXiv:1901.03346 (2019)

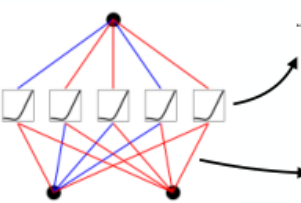
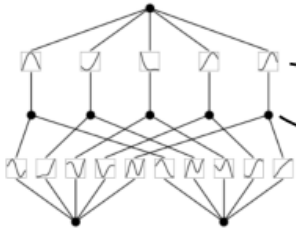
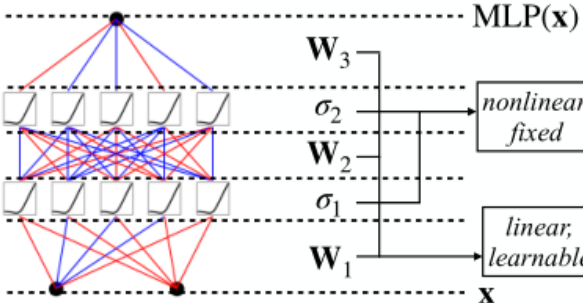
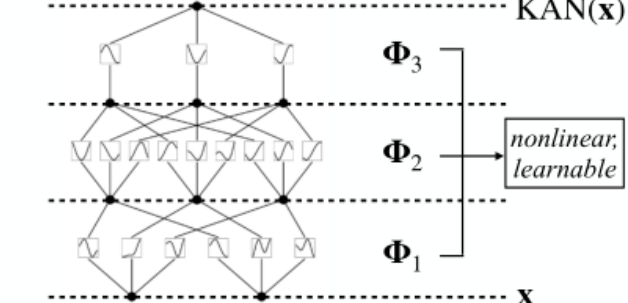
Y. Zhang & E.-A. Kim, Quantum loop topography for machine learning, PRL 118, 216401 (2017)

N. Sun, J. Yi, P. Zhang, H. Shen, and H. Zhai, Deep learning topological invariants of band insulators, PRB 98, 085402 (2018).

P. Baireuther, M. Plodzień, T. Ojanen, J. Tworzydło, T. Hyart, Identifying chern numbers of superconductors from local measurements, SciPost Phys. Core 6, 087 (2023)

Side knowledge: Kolmogorov-Arnold networks

Arxiv:2404.19756, Max Tegmark group

Model	Multi-Layer Perceptron (MLP)	Kolmogorov-Arnold Network (KAN)
Theorem	Universal Approximation Theorem	Kolmogorov-Arnold Representation Theorem
Formula (Shallow)	$f(\mathbf{x}) \approx \sum_{i=1}^{N(\epsilon)} a_i \sigma(\mathbf{w}_i \cdot \mathbf{x} + b_i)$	$f(\mathbf{x}) = \sum_{q=1}^{2n+1} \Phi_q \left(\sum_{p=1}^n \phi_{q,p}(x_p) \right)$
Model (Shallow)	(a)  fixed activation functions on nodes learnable weights on edges	(b)  learnable activation functions on edges sum operation on nodes
Formula (Deep)	$\text{MLP}(\mathbf{x}) = (\mathbf{W}_3 \circ \sigma_2 \circ \mathbf{W}_2 \circ \sigma_1 \circ \mathbf{W}_1)(\mathbf{x})$	$\text{KAN}(\mathbf{x}) = (\Phi_3 \circ \Phi_2 \circ \Phi_1)(\mathbf{x})$
Model (Deep)	(c)  MLP(x) $\mathbf{W}_3$ σ_2 $\mathbf{W}_2$ σ_1 $\mathbf{W}_1$ $\mathbf{x}$ nonlinear, fixed linear, learnable	(d)  KAN(x) Φ_3 Φ_2 Φ_1 $\mathbf{x}$ nonlinear, learnable

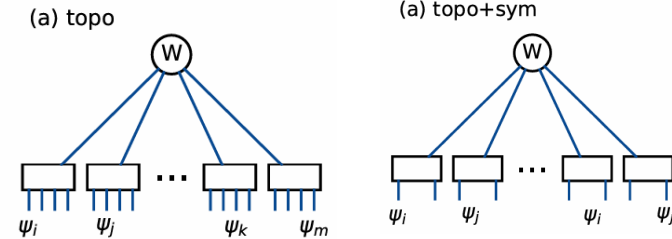
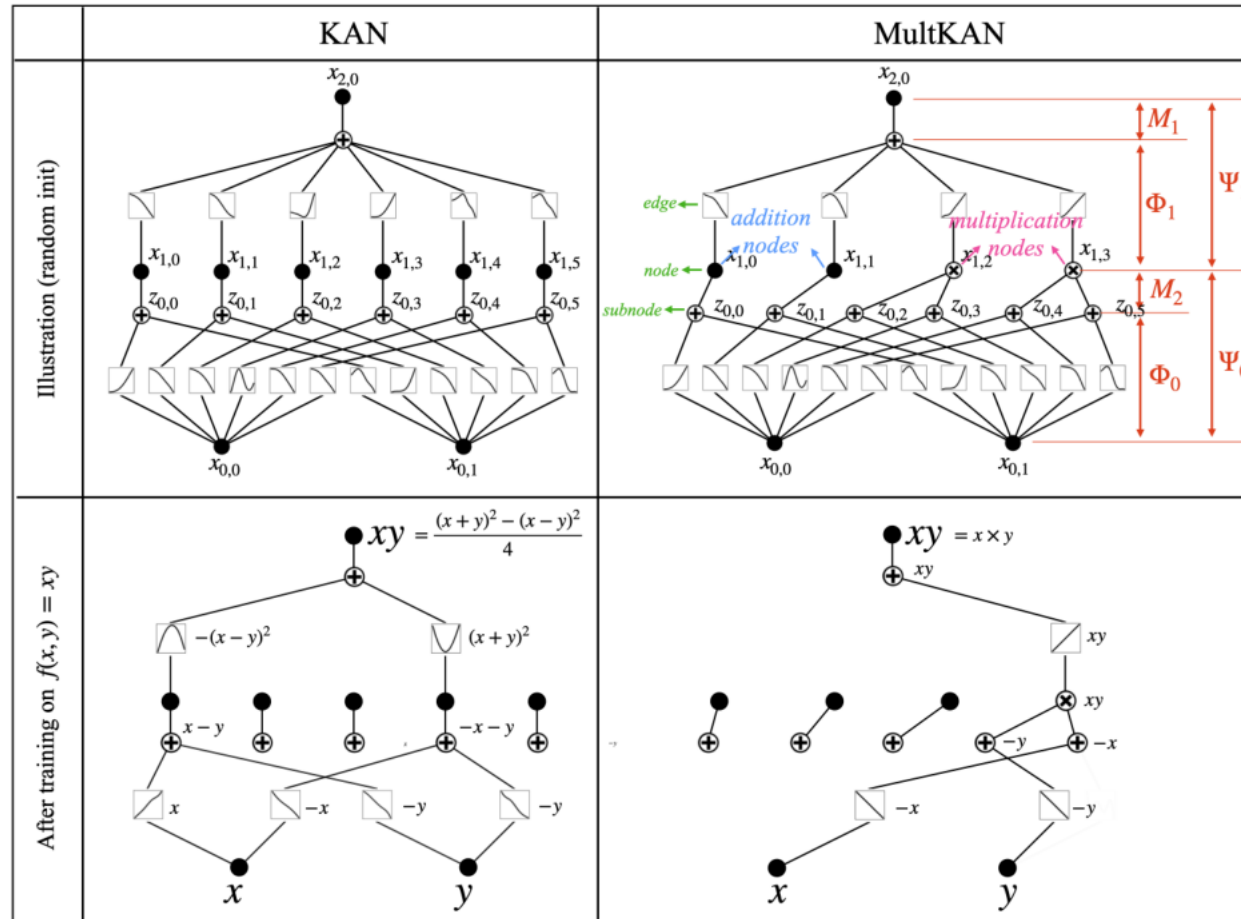
MLP – as hammer



KAN – as violine



KAN 2.0 We have KAN 2.0 for topo insulators, “violin”

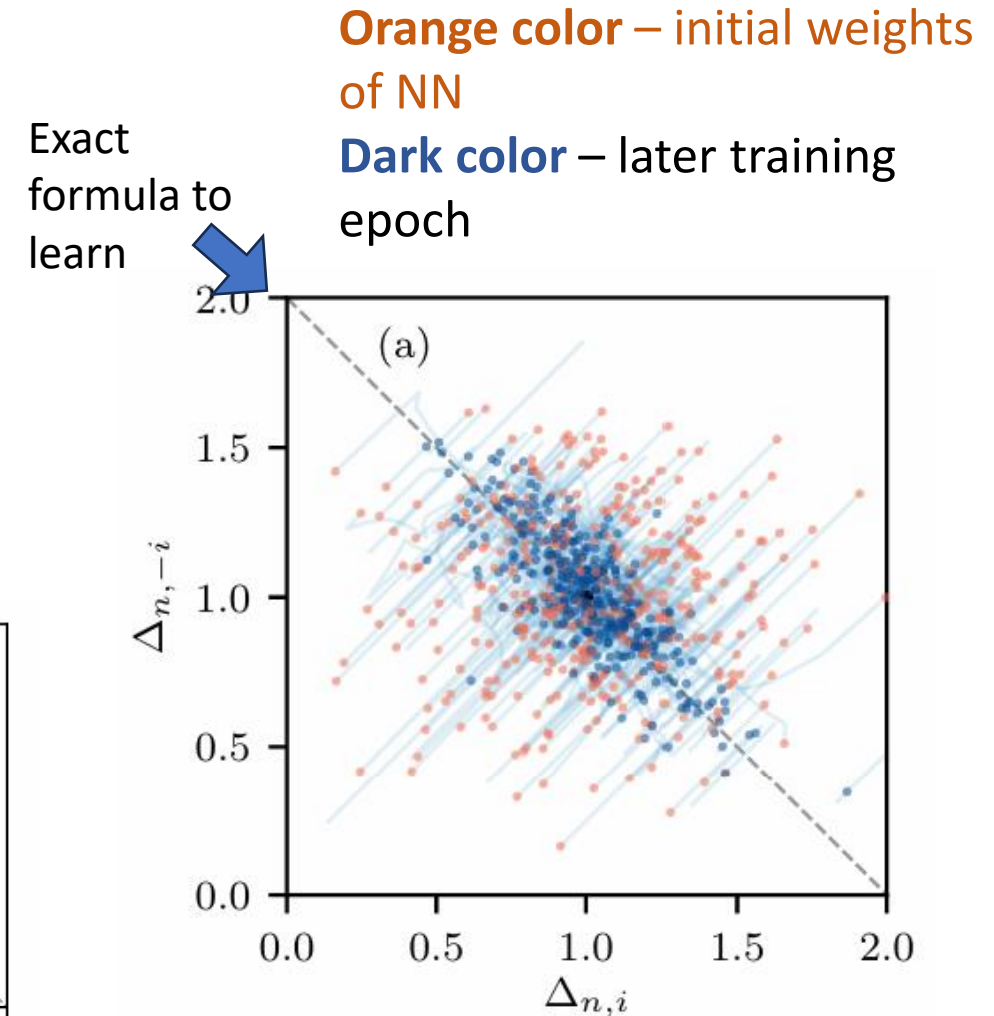
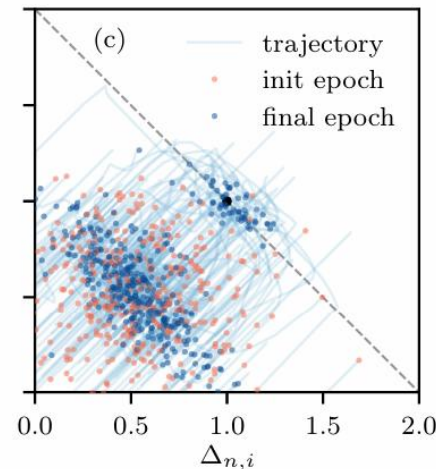
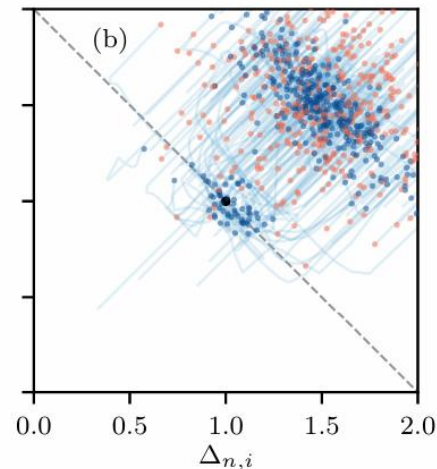
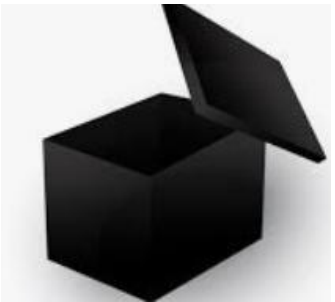


- Multiplying layer in the main ingredient
- Possible to learn / Or guess from problem type

Tegmark group,
arXiv:2408.10205

Opening black box: weights of trained network

- If physical model (as SSH) contains symmetry – many possible “exact” minima for NN
- Trained weights of 1-layer “topo” NN – satisfy inversion symmetry $\Psi_n(x) = (-1)^n \Psi_n(-x) \rightarrow \Delta_{n,x} + \Delta_{n,-x} = 2$
- Architecture of “topo” NN requires proper **tensor network input**



Outlook

- A road towards exploring complicated topo insulators (amorphous? ...)
- next target: identifying topological invariant by collection of tensor-neural networks
- Neural network could be a “numerical topological invariant”

QMAI group and collaborators



Juan Torres Luna



Guliuxin Jin



Lukas Splitthoff



Badr Zougari



Naoual El Yazidi



Eliška Greplová



Sibren van der Meer



Stan Bergkamp

Next talk

Physics informed neural networks for topological invariants

Motivation:

- Machine-assisted classification of phase in experiment
- Part of states / measurables not available
- Disorder
- Make computational analogue of topo invariant