

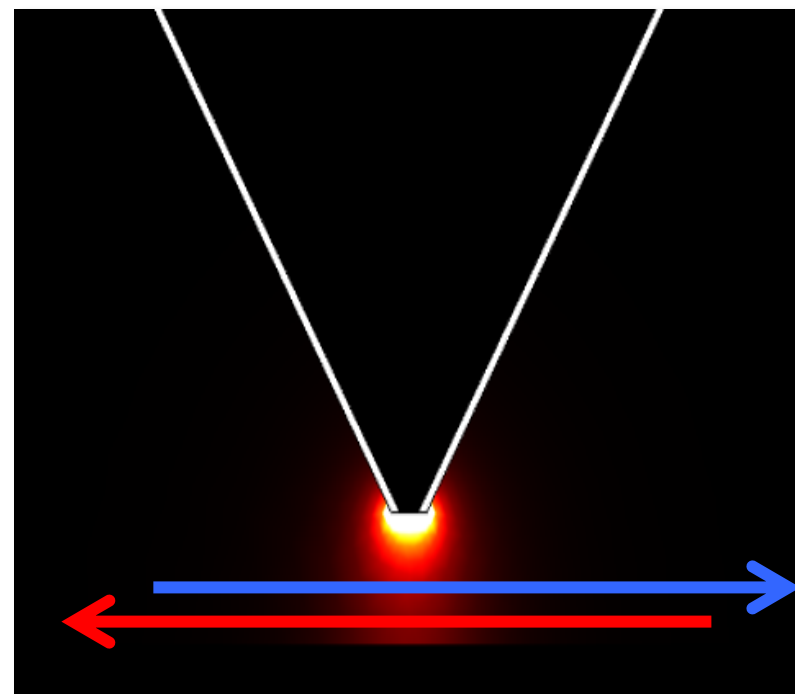
# Edge states in 2D-TI HgTe Quantum Wells studied by **Scanning Gate Microscopy**

Reyes Calvo

Universidad de Alicante  
C.I.C. Nanogune, San Sebastian



Universitat d'Alacant  
Universidad de Alicante

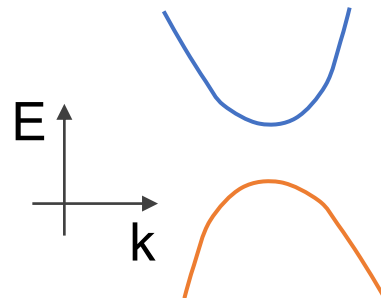


## 2D - Topological insulators

$g=0$



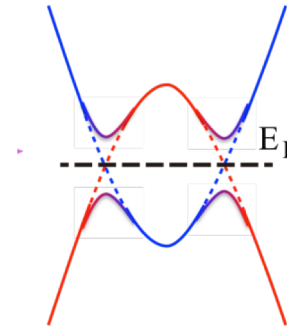
Trivial semiconductor



$g=1$



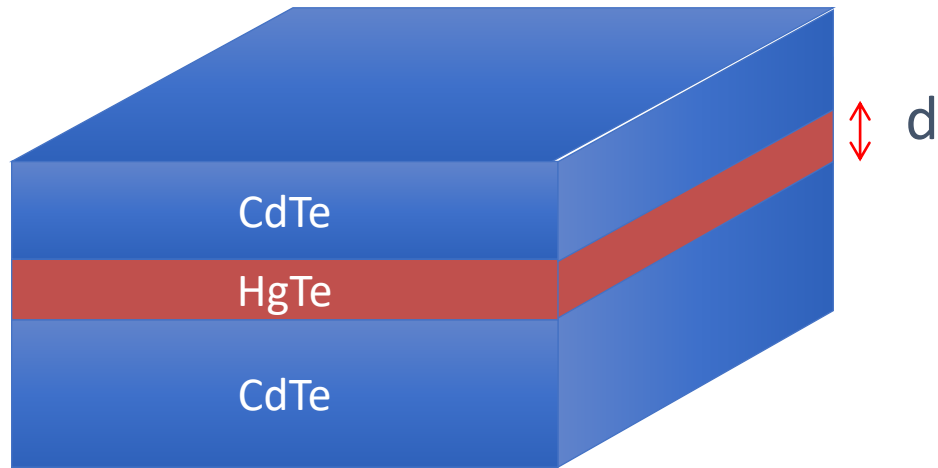
Topological Insulator



- Topological classification of insulators: trivial and "*topological*"
- Topological insulators present band inversion, and QSH states at the edge



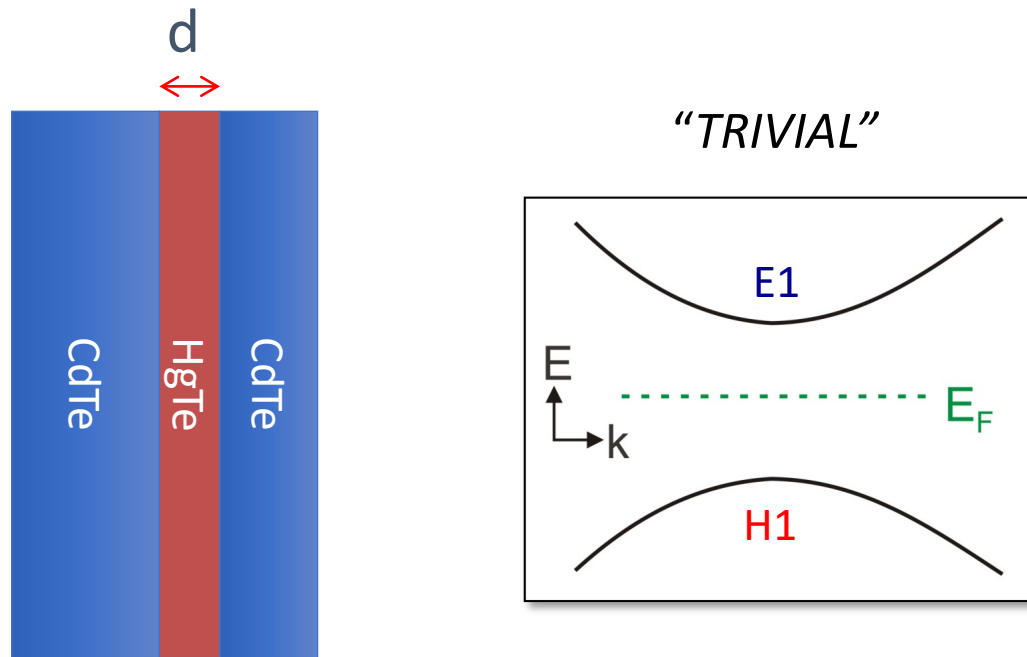
## 2D-TI HgTe quantum wells



Bernevig et al. *Science*, 314, (2006)

- Predicted for HgTe epitaxial quantum wells

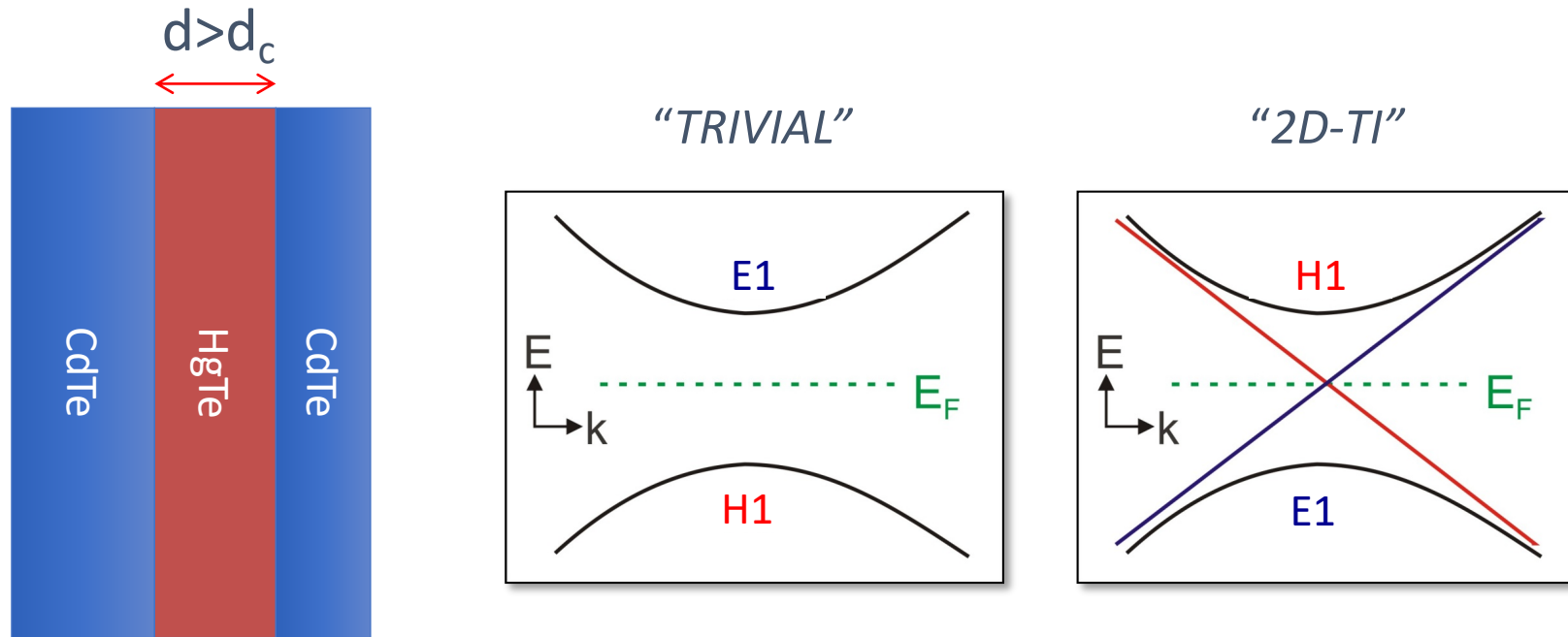
# QSHE in HgTe quantum wells



Bernevig et al. *Science*, 314, (2006)

- Below critical thickness: trivial semiconductor

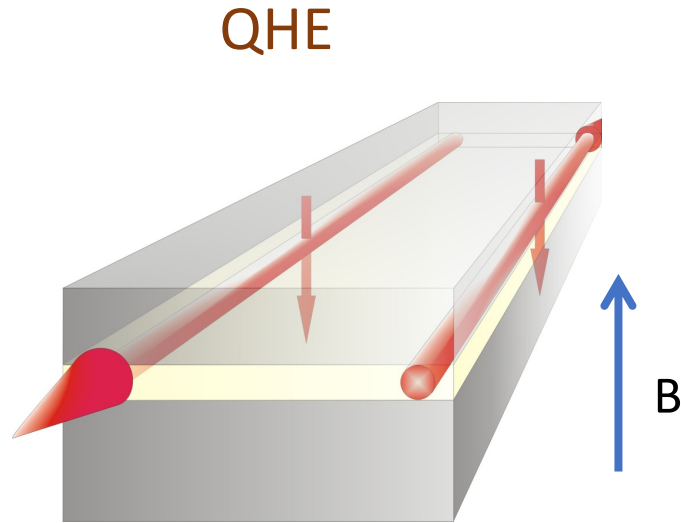
# QSHE in HgTe quantum wells



Bernevig et al. *Science*, 314, (2006)

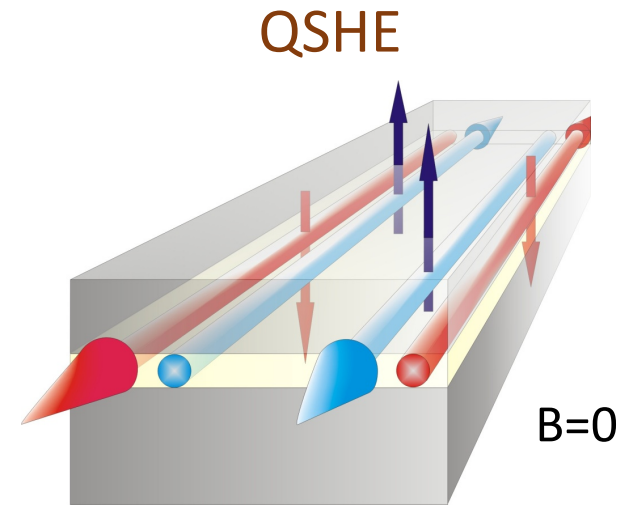
- Below critical thickness: trivial semiconductor
- Above critical thickness: band inversion, topologically insulator

# Quantum Hall vs Quantum Spin Hall Effect



M. Koenig Phd thesis (2008)

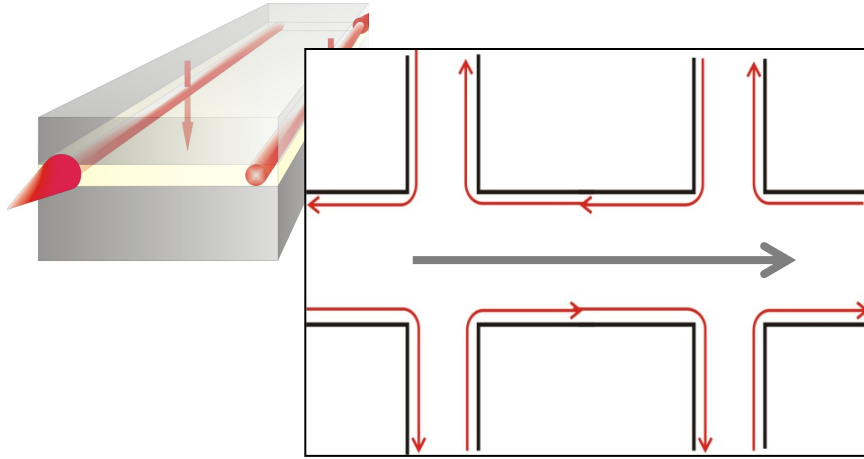
- **Chiral states**  
Propagating opposite edges  
N modes  
Same spin polarization



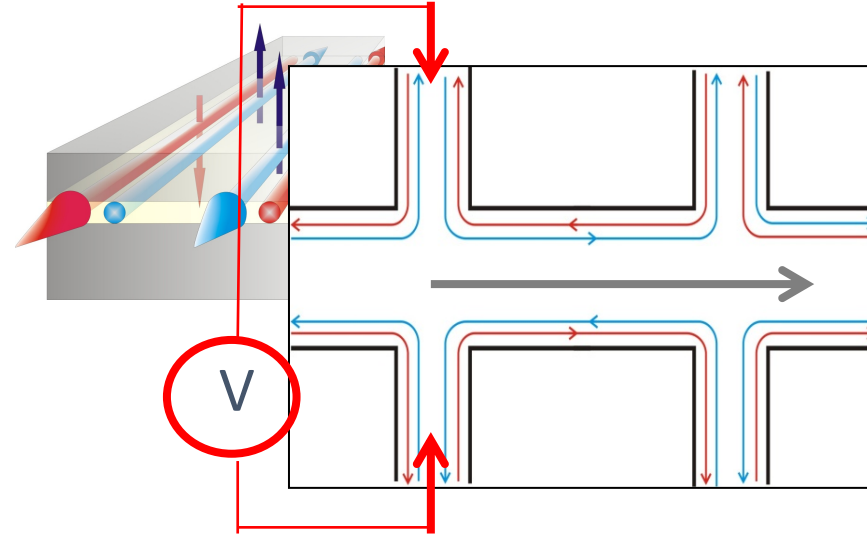
- **Helical states:**  
2 counter-propagating modes  
Spin-momentum locked  
“Time reversal symmetry protection”

# Quantum Hall vs Quantum Spin Hall Effect

QHE



QSHE



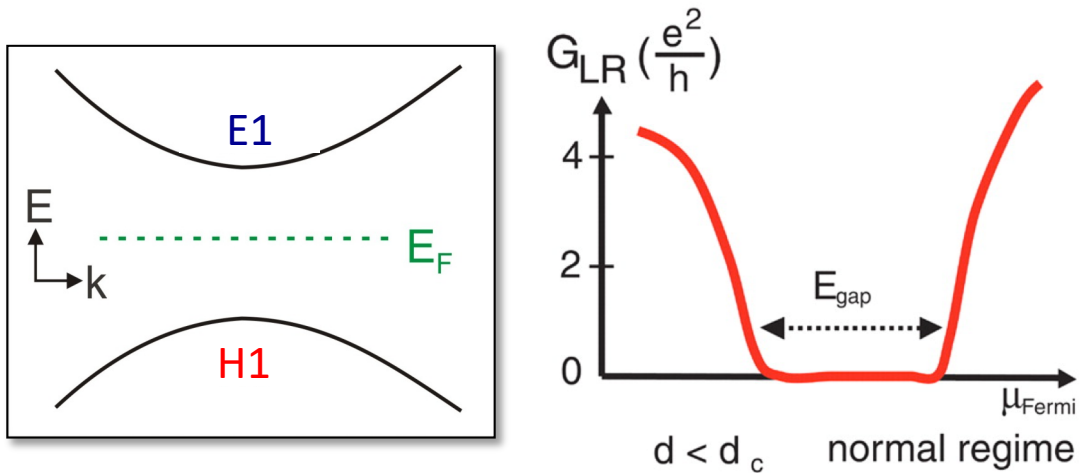
M. Koenig Phd thesis (2008)

$$\begin{aligned} R_{xx} &= 0 \\ R_{xy} &= \frac{h}{Ne^2} \end{aligned}$$

$$\begin{aligned} R_{xx} &= \frac{h}{2e^2} \\ R_{xy} &= 0 \end{aligned}$$

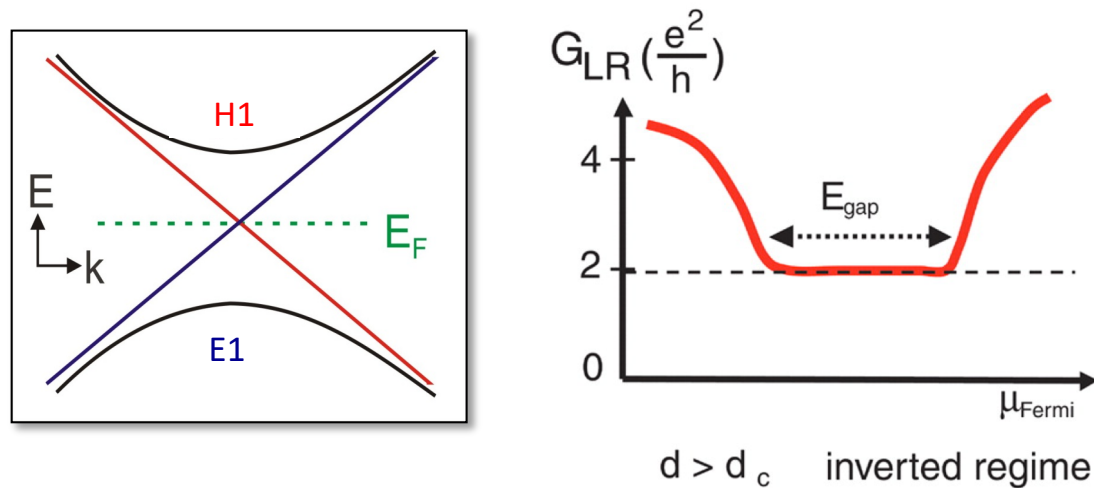
# Backscattering in 2D-TI quantum wells

## Trivial Insulator



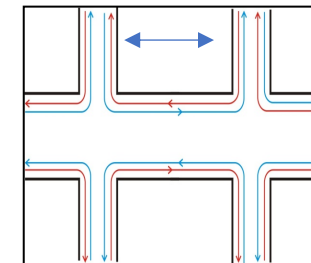
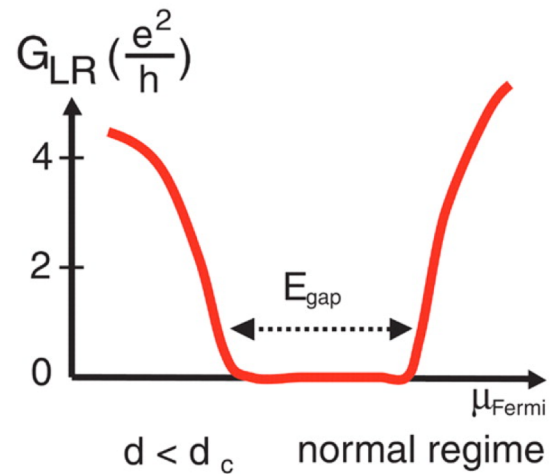
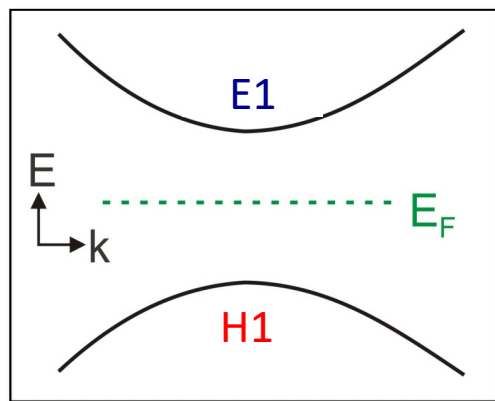
Bernevig et al. *Science*, 314, (2006)

## 2D Topological Insulator

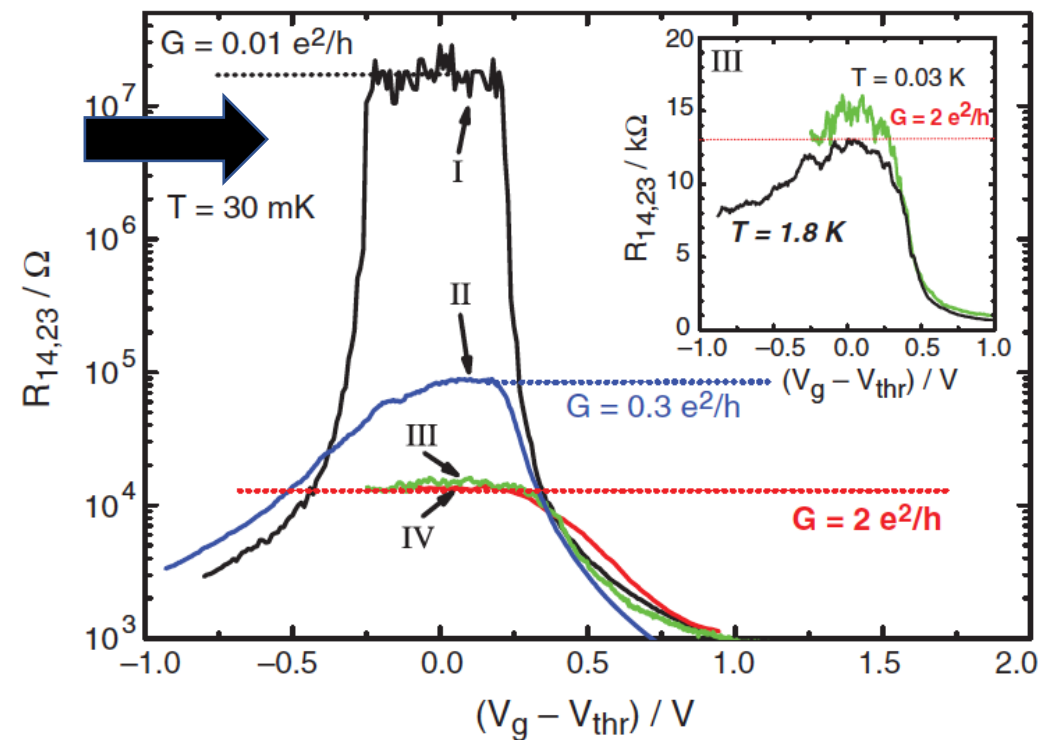
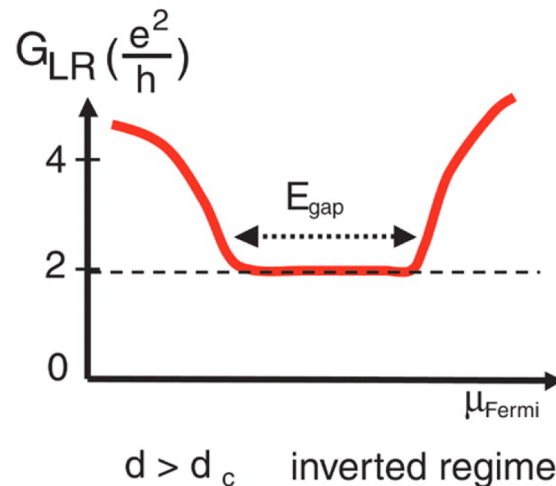
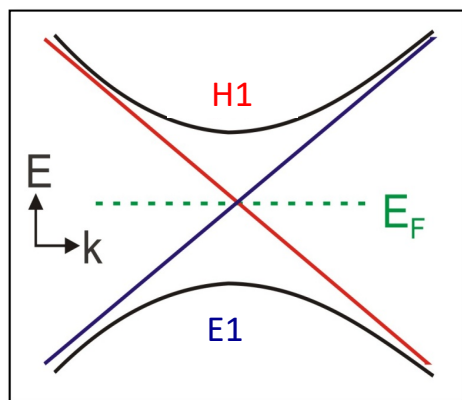


# Backscattering in 2D-TI quantum wells

## Trivial Insulator



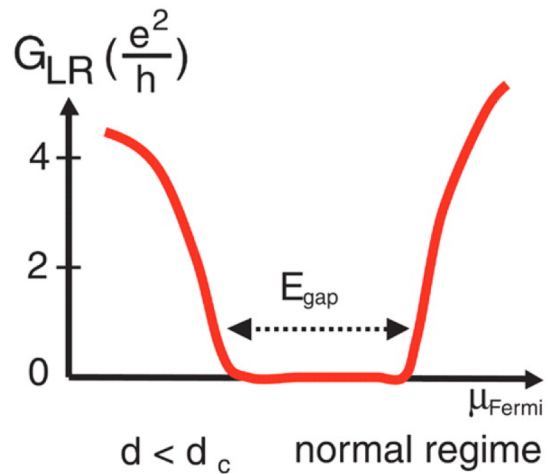
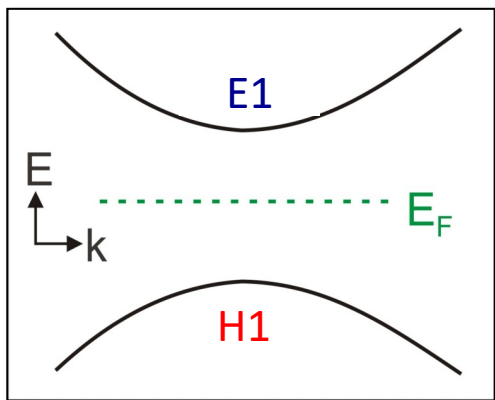
## 2D Topological Insulator



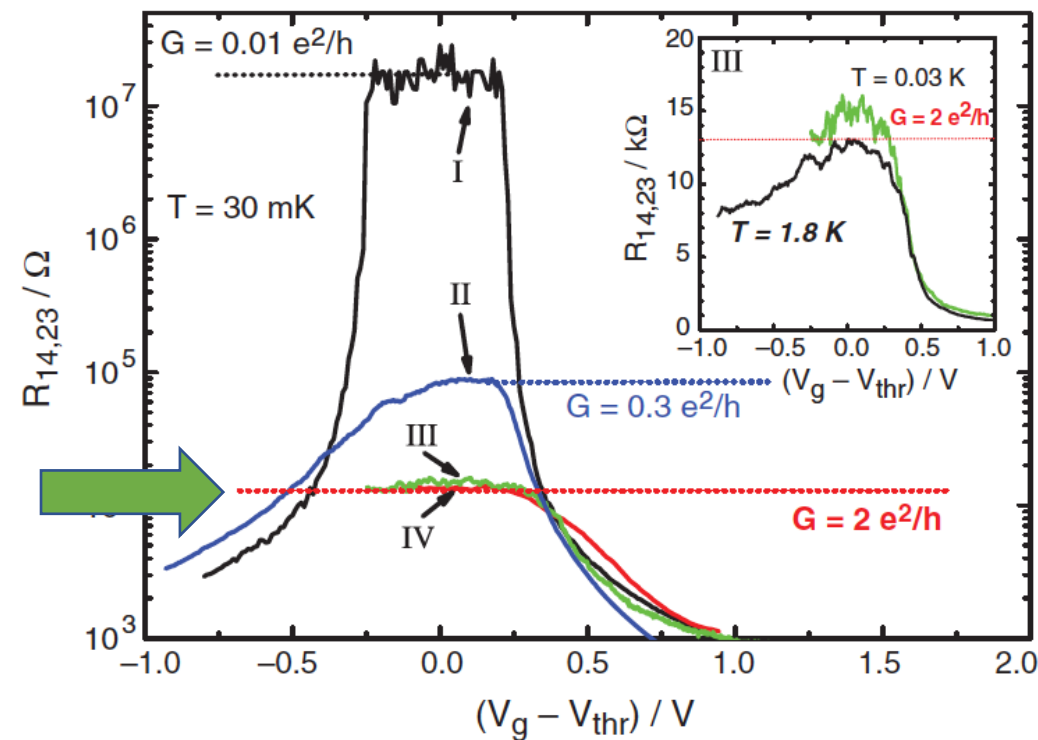
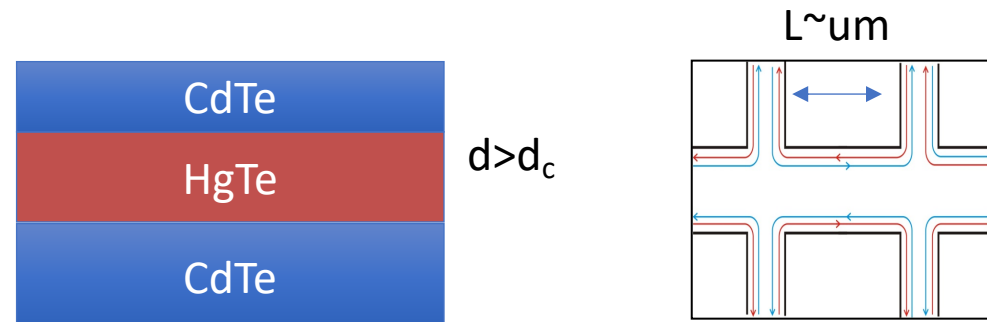
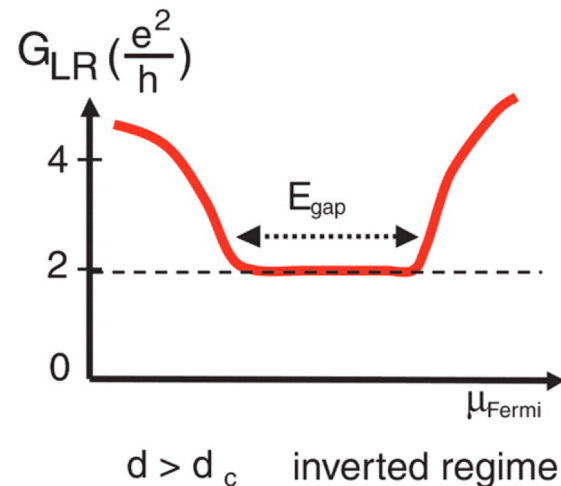
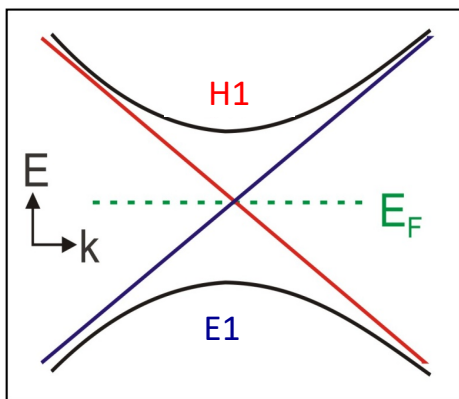
Koenig et al. *Science*, 318, 2007

# Backscattering in 2D-TI quantum wells

## Trivial Insulator



## 2D Topological Insulator

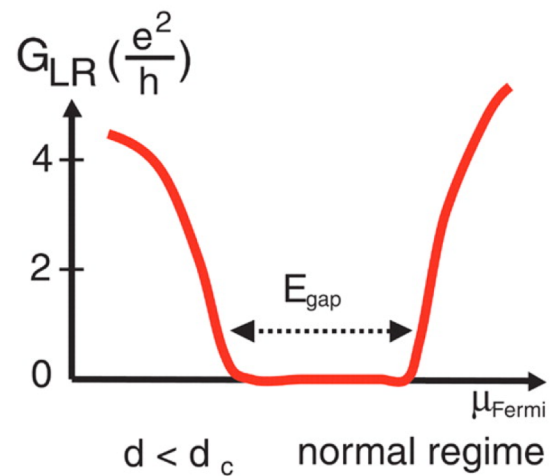
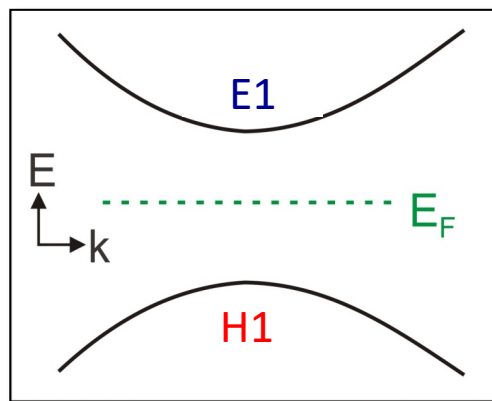


Koenig et al. *Science*, 318, 2007

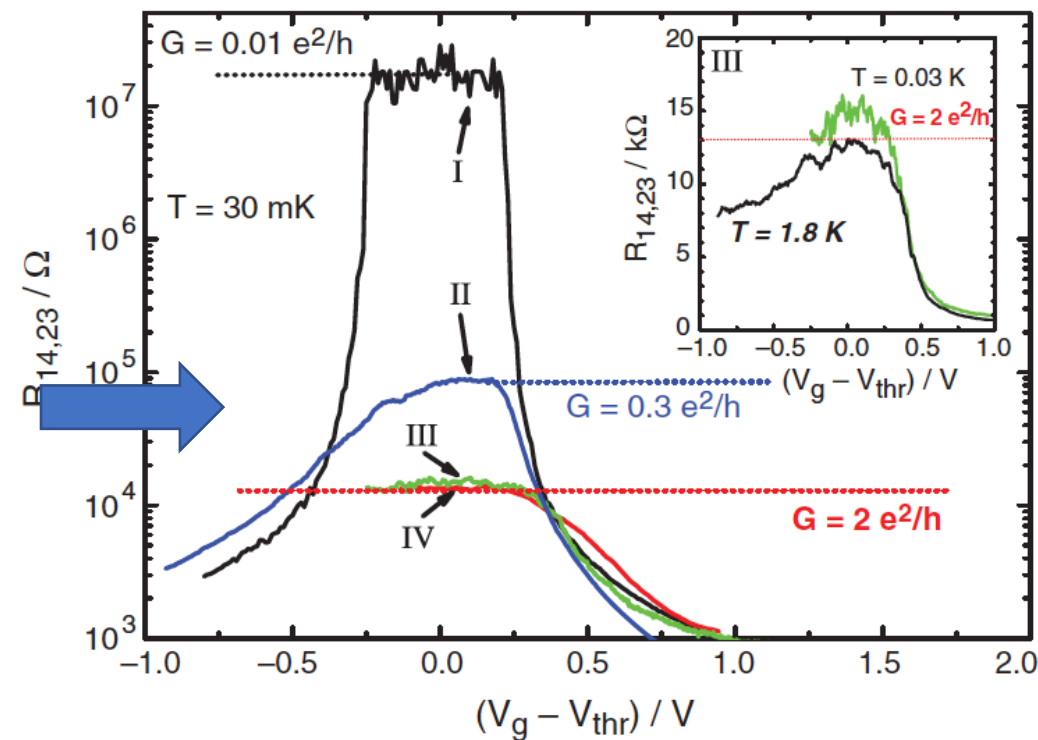
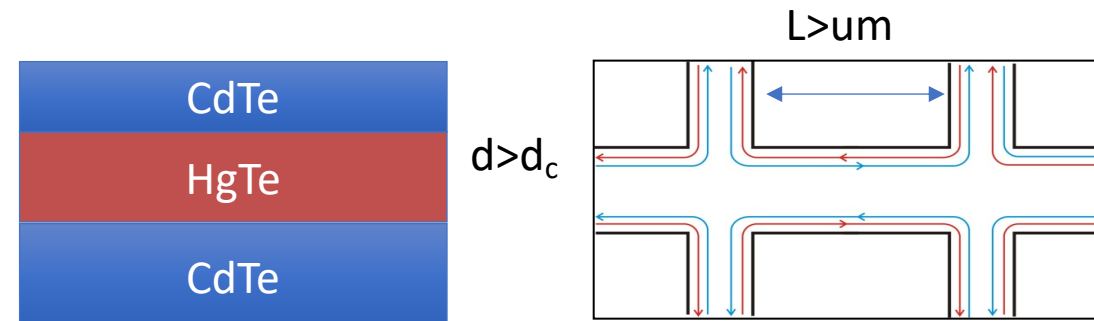
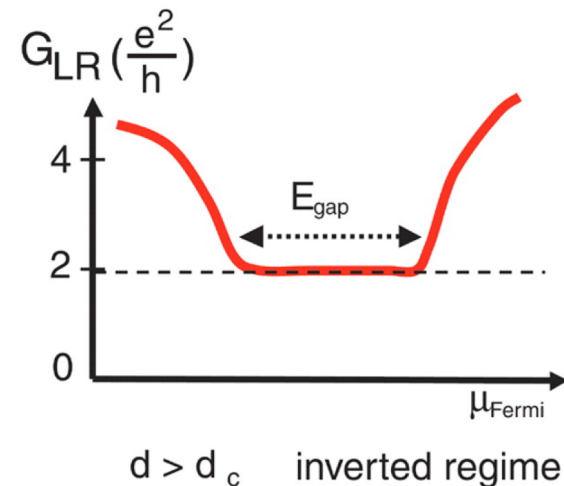
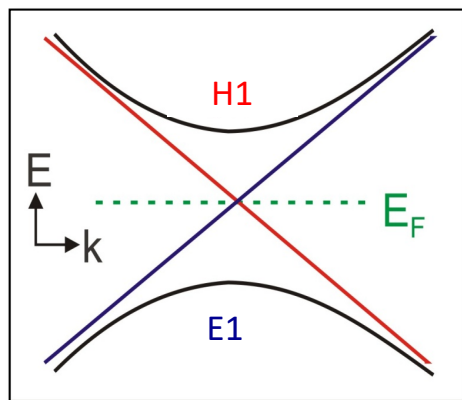


# Backscattering in 2D-TI quantum wells

## Trivial Insulator

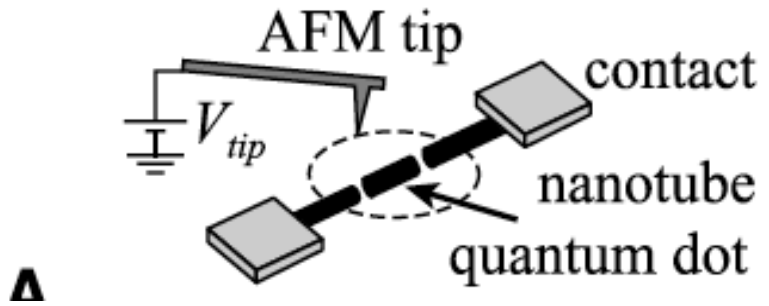


## 2D Topological Insulator



Koenig et al. *Science*, 318, 2007

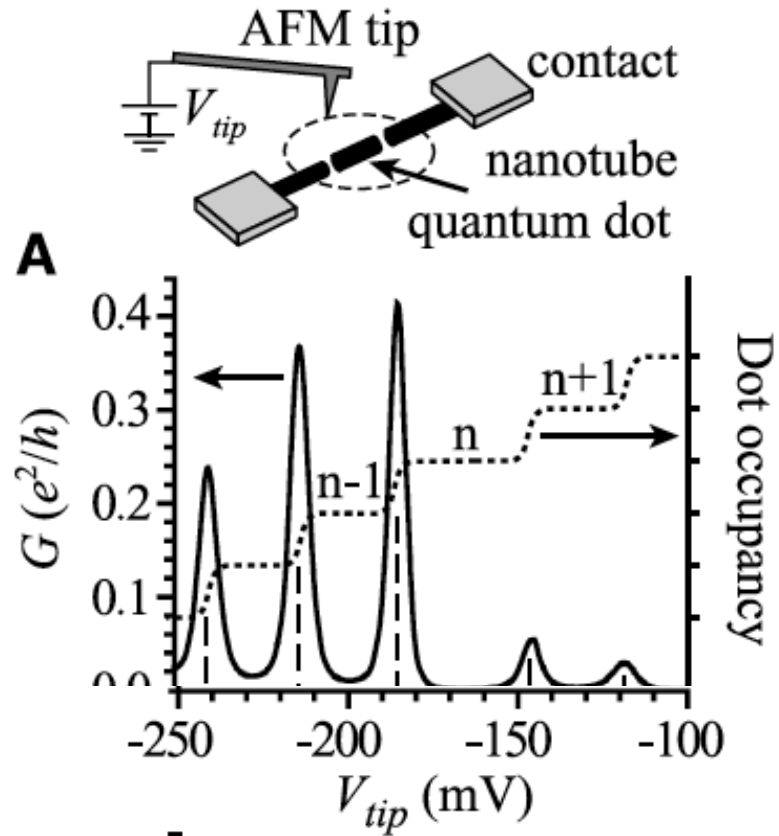
# Scanning Gate Microscopy



Woodside et al. *Science* **296**, 1098 (2002).

- Conductive AFM tip acts as a local gate

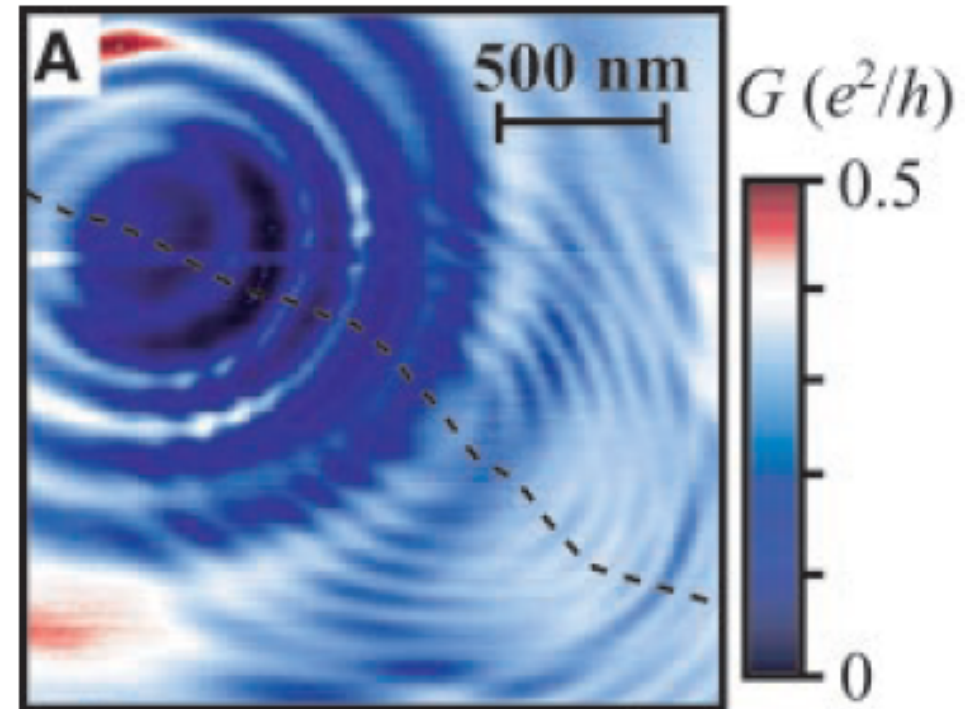
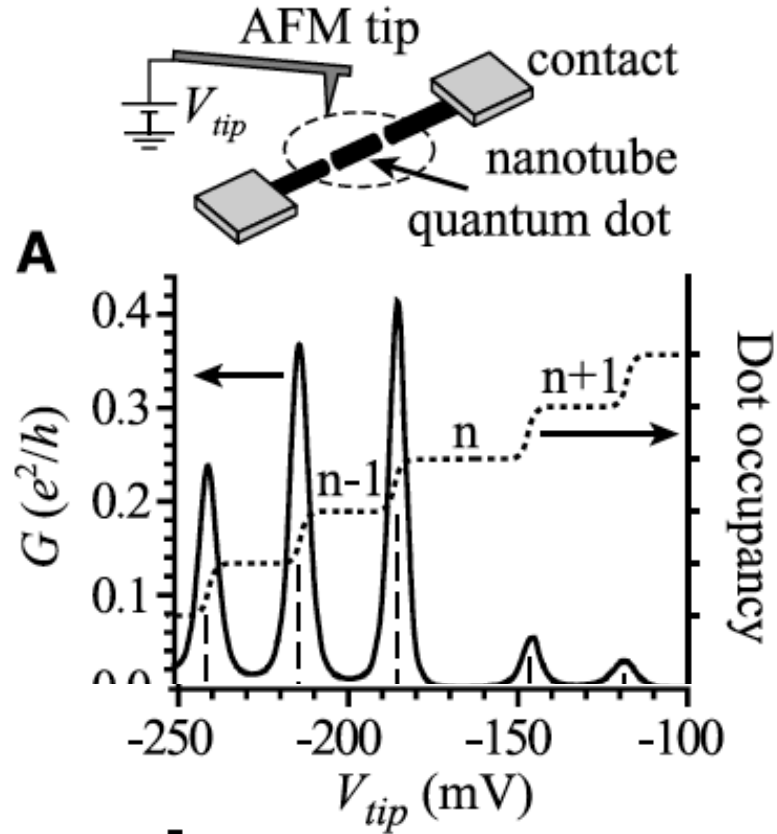
# Scanning Gate Microscopy



Woodside et al. *Science* **296**, 1098 (2002).

- Conductive AFM tip acts as a local gate

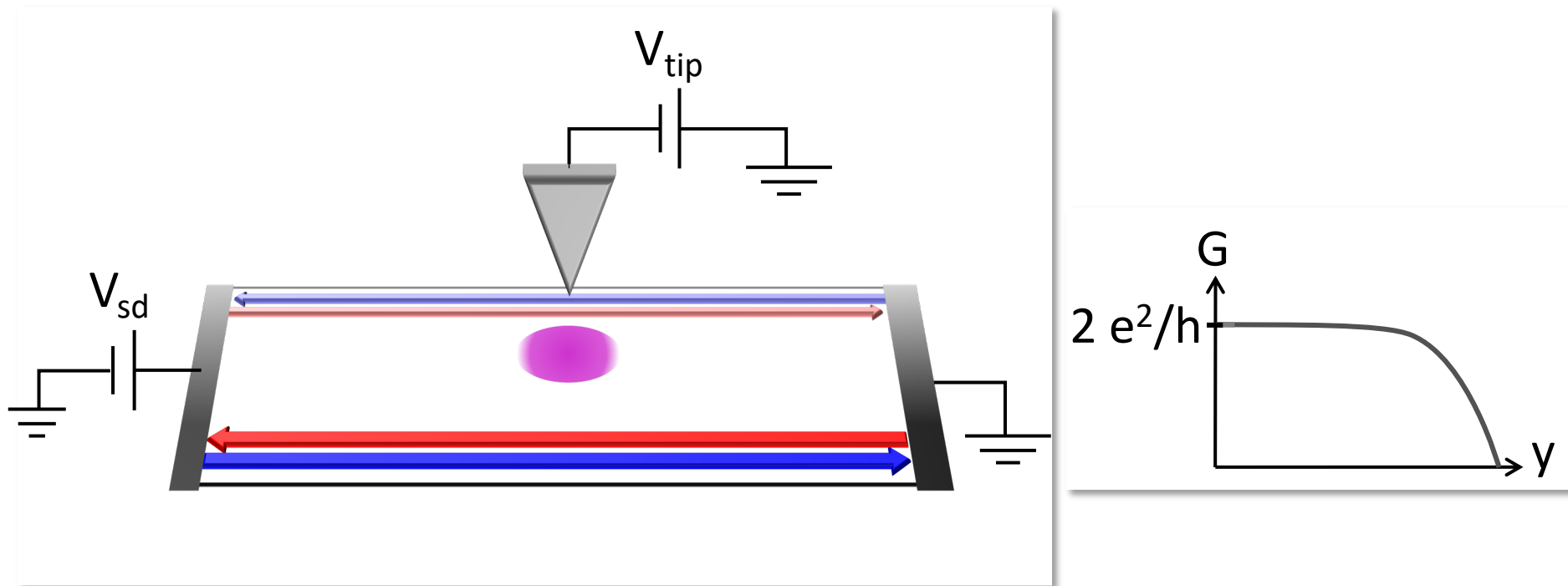
# Scanning Gate Microscopy



Woodside et al. *Science* **296**, 1098 (2002).

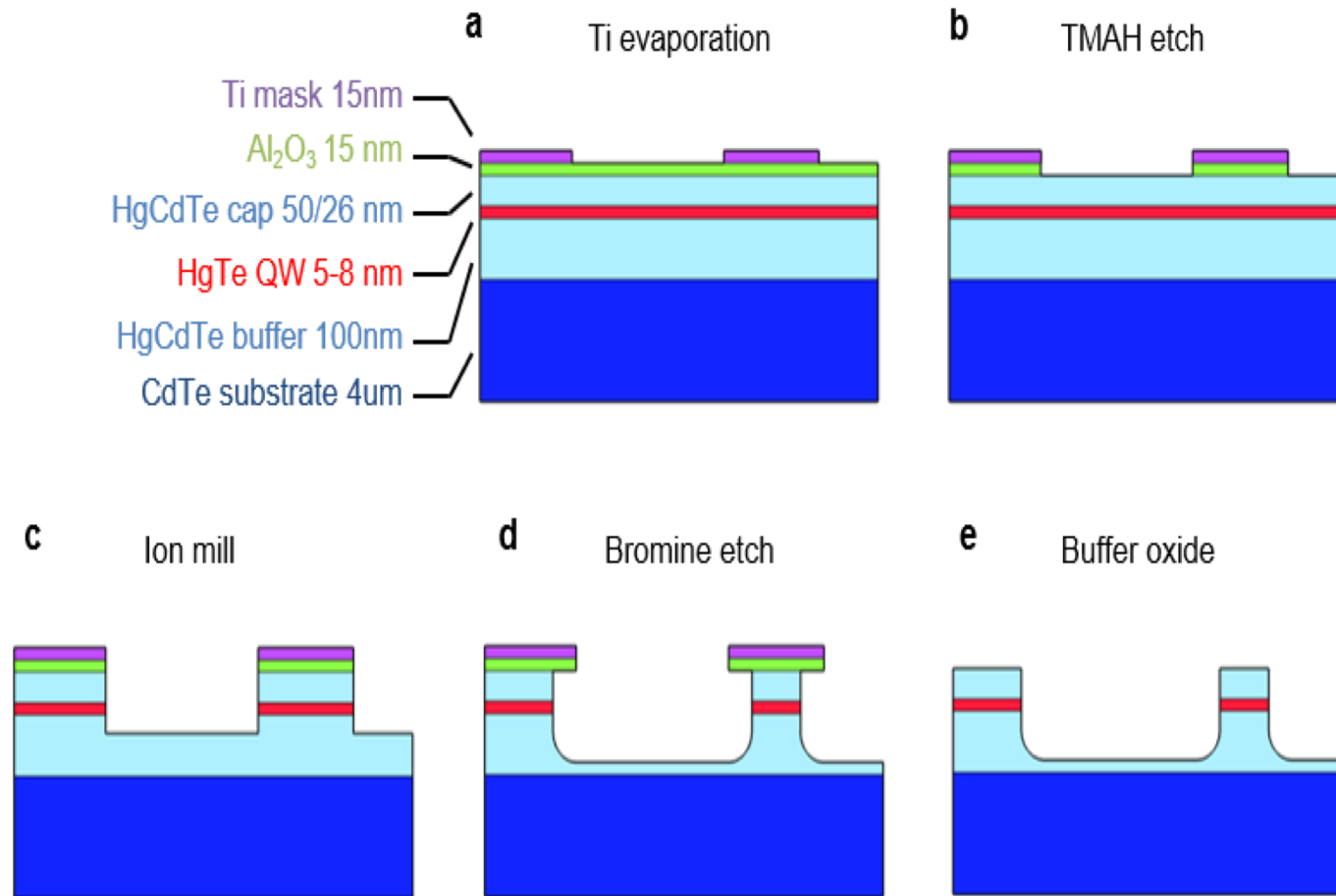
- Conductive AFM tip acts as a local gate
- Peaks in conductance appear in the SGM maps as sets of concentric rings
- SGM provides spatial information on scattering

# SGM on QSH edge states



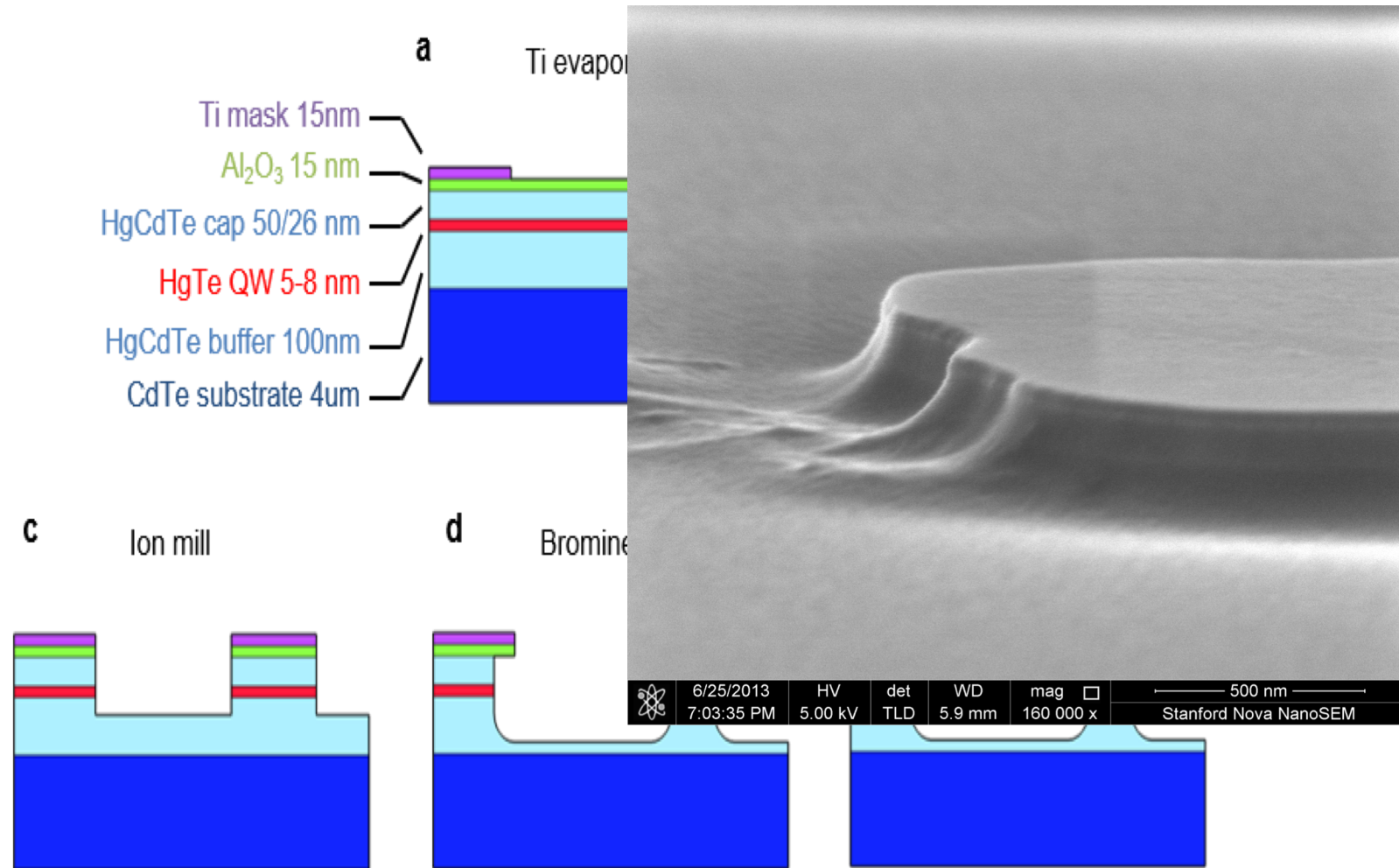
Koenig et al. *Phys. Rev. X* 3, 021003 (2013)

# HgTe device fabrication for SPMs

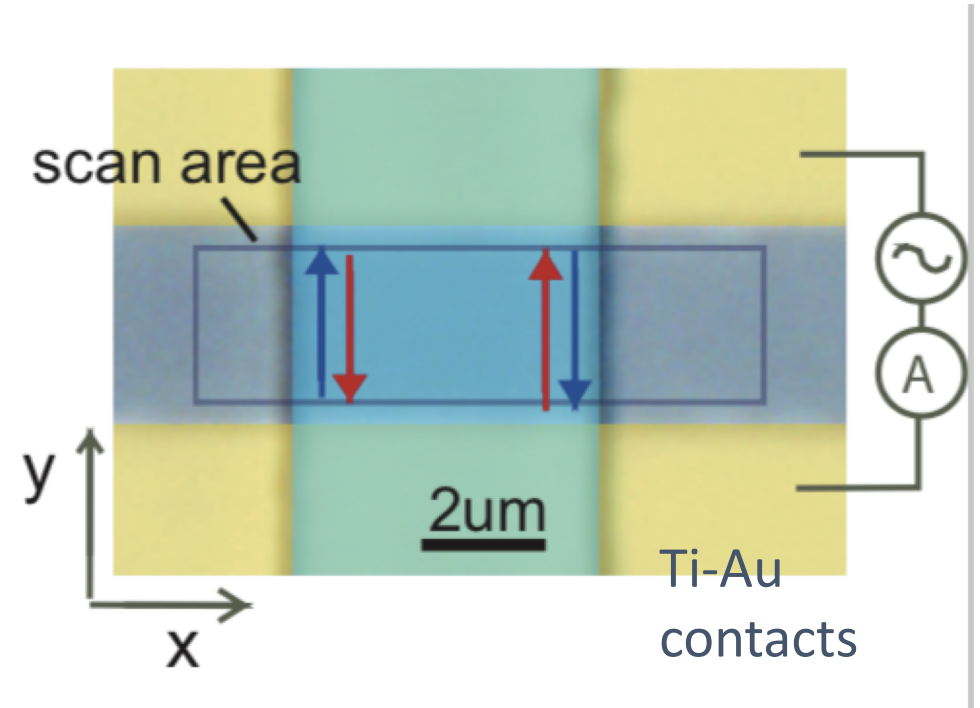
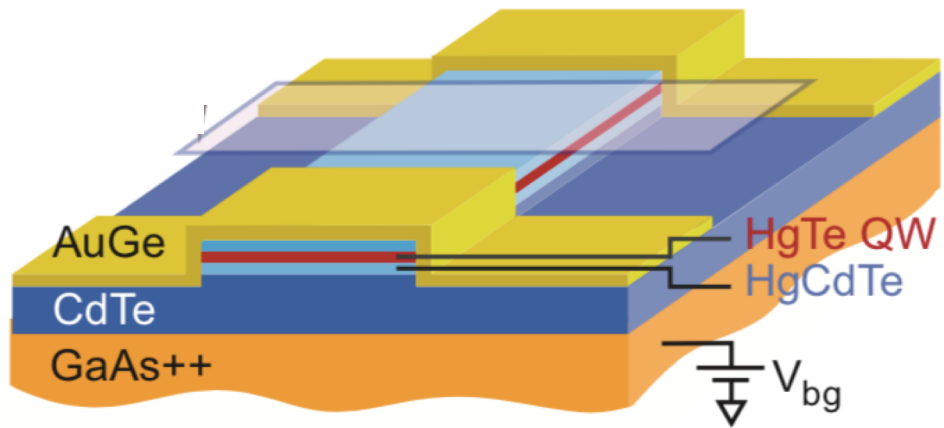


- Layered structure grown on GaAs wafer: backgate
- 2 step etching process for mesa definition

# HgTe device fabrication for SPMs

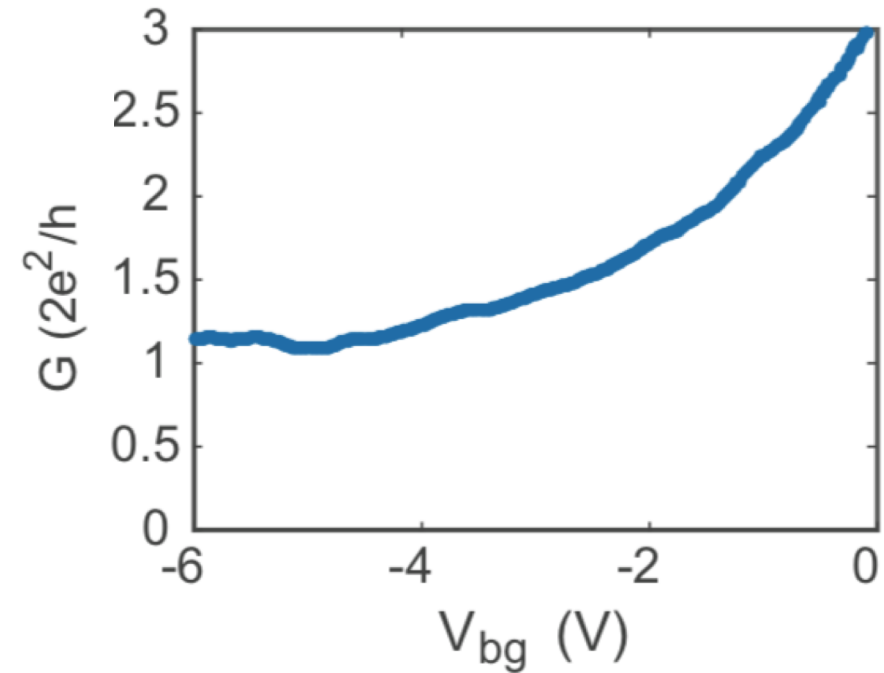
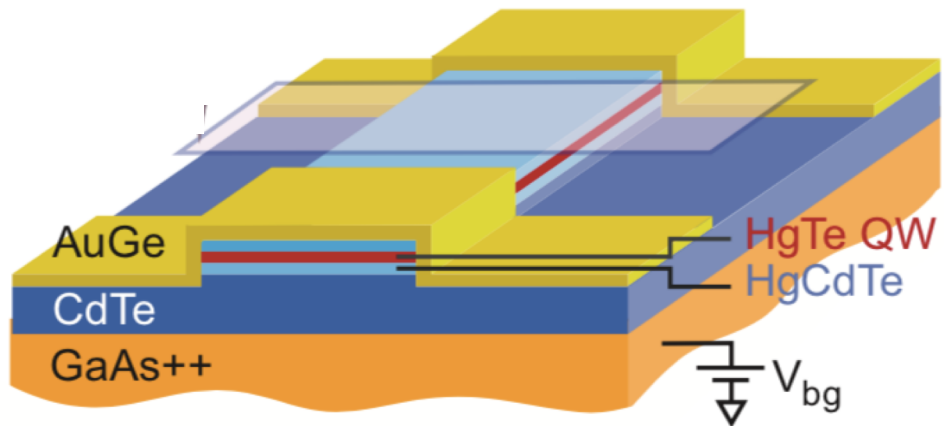


# SGM on 2D-TI HgTe quantum wells



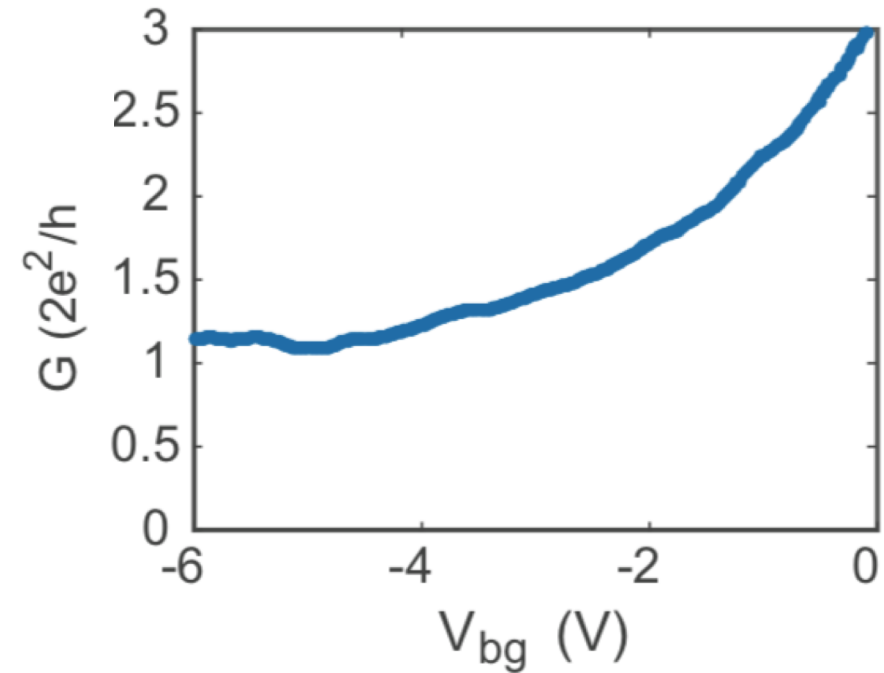
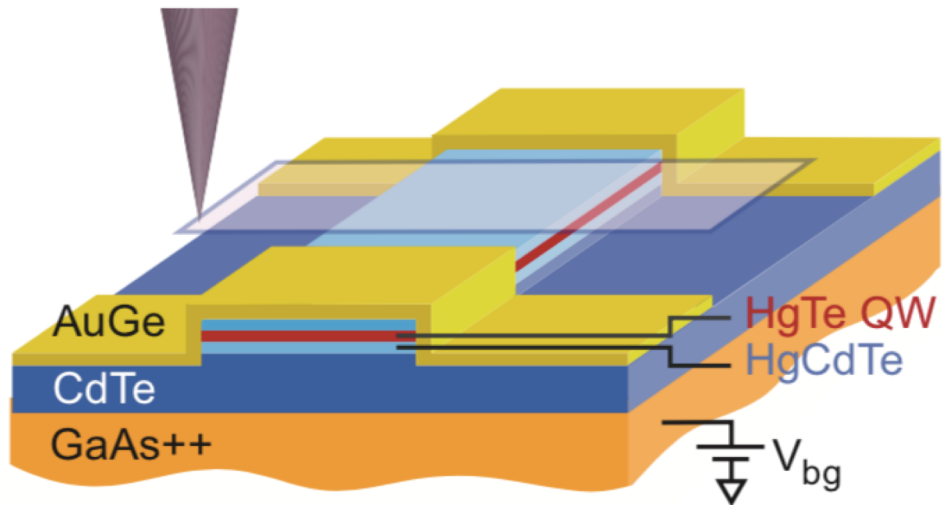


# SGM on 2D-TI HgTe quantum wells



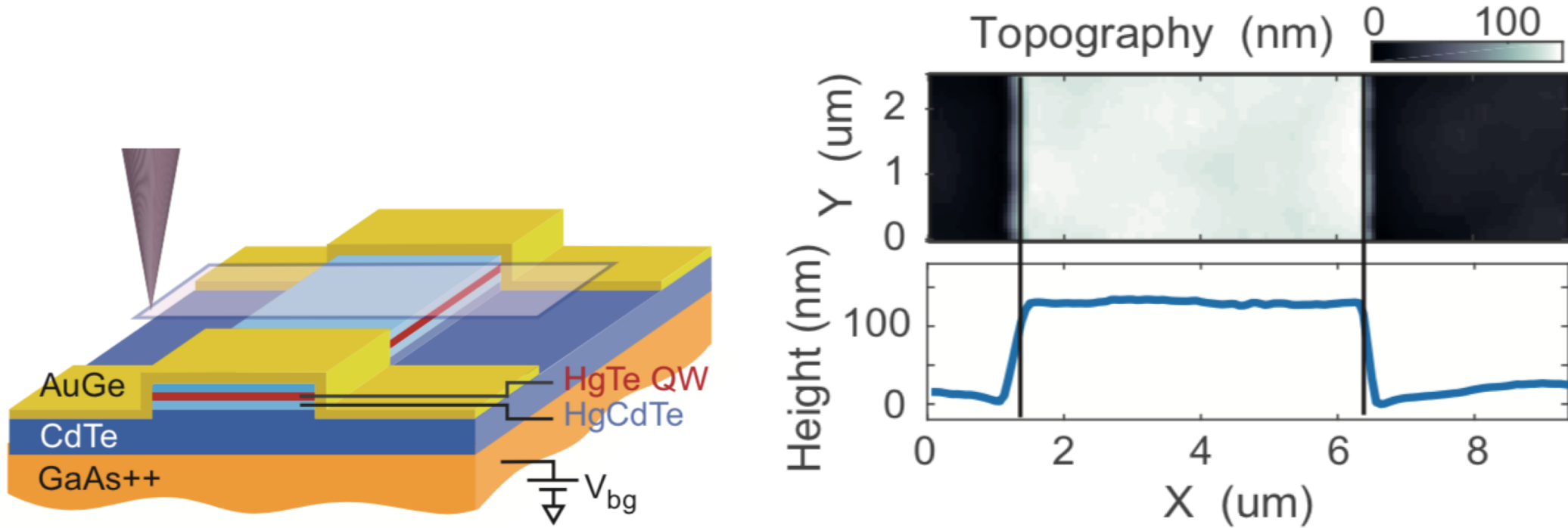
- Backgate voltage tunes the Fermi energy across the bandgap
- Set to a slightly p-doped device

# SGM on 2D-TI HgTe quantum wells



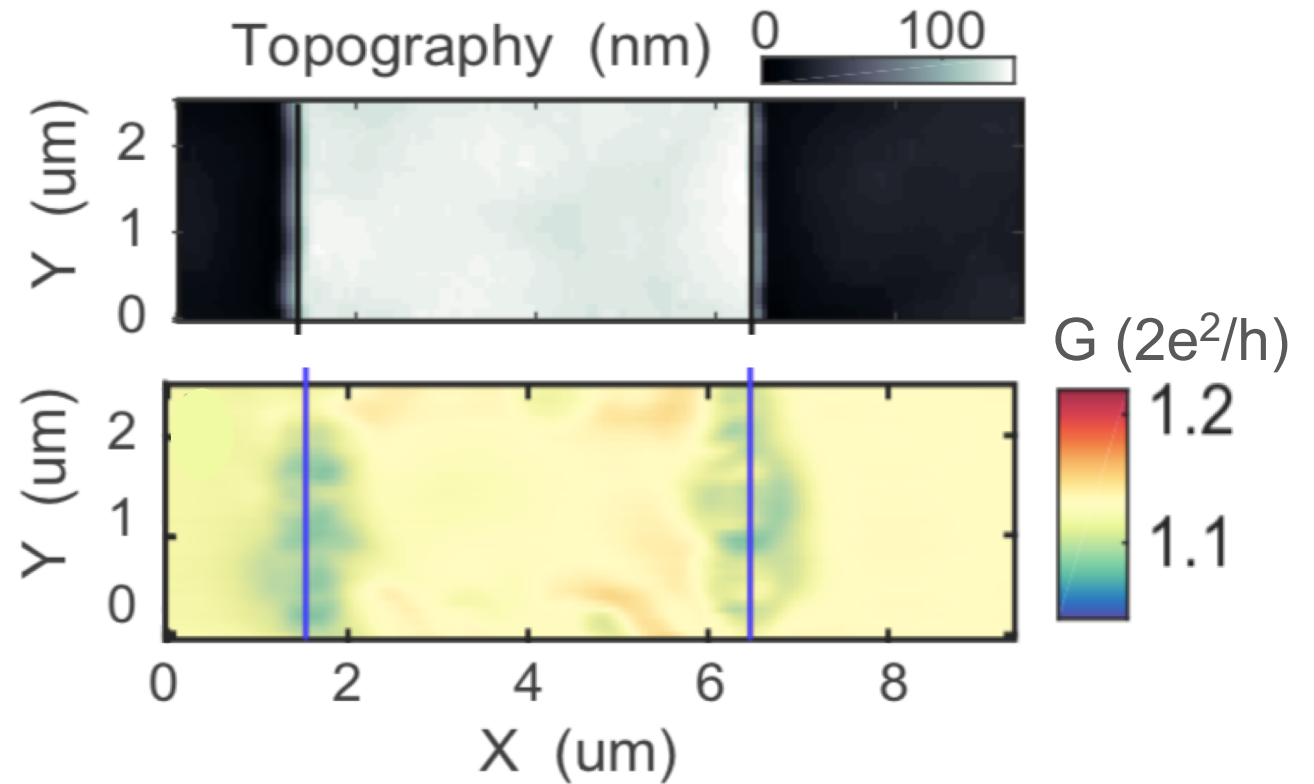
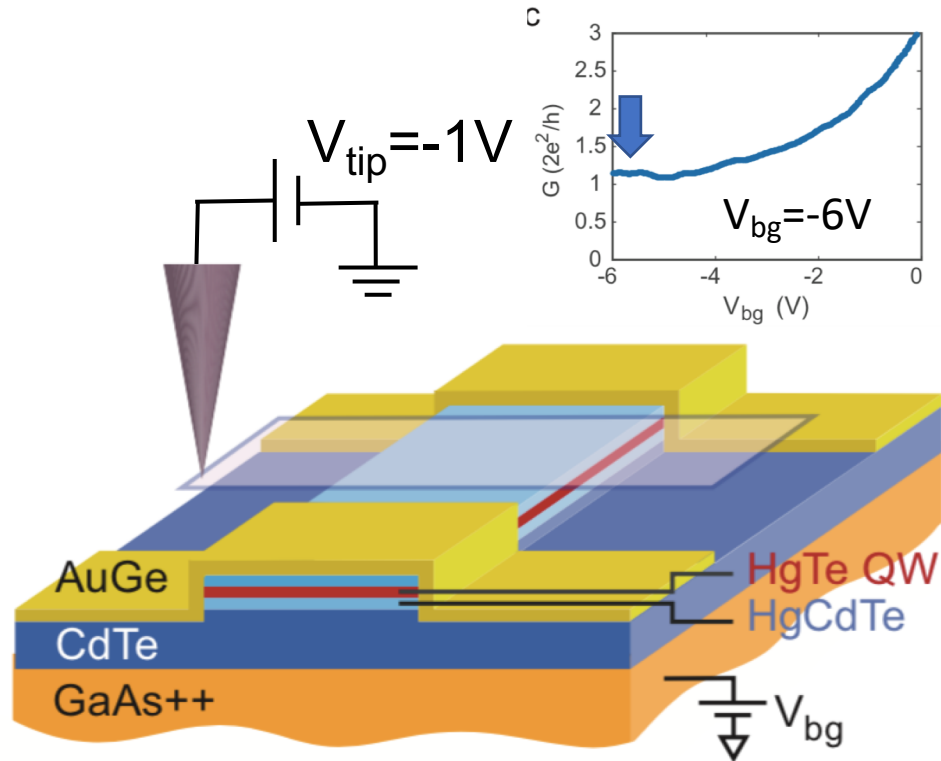
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# SGM on 2D-TI HgTe quantum wells



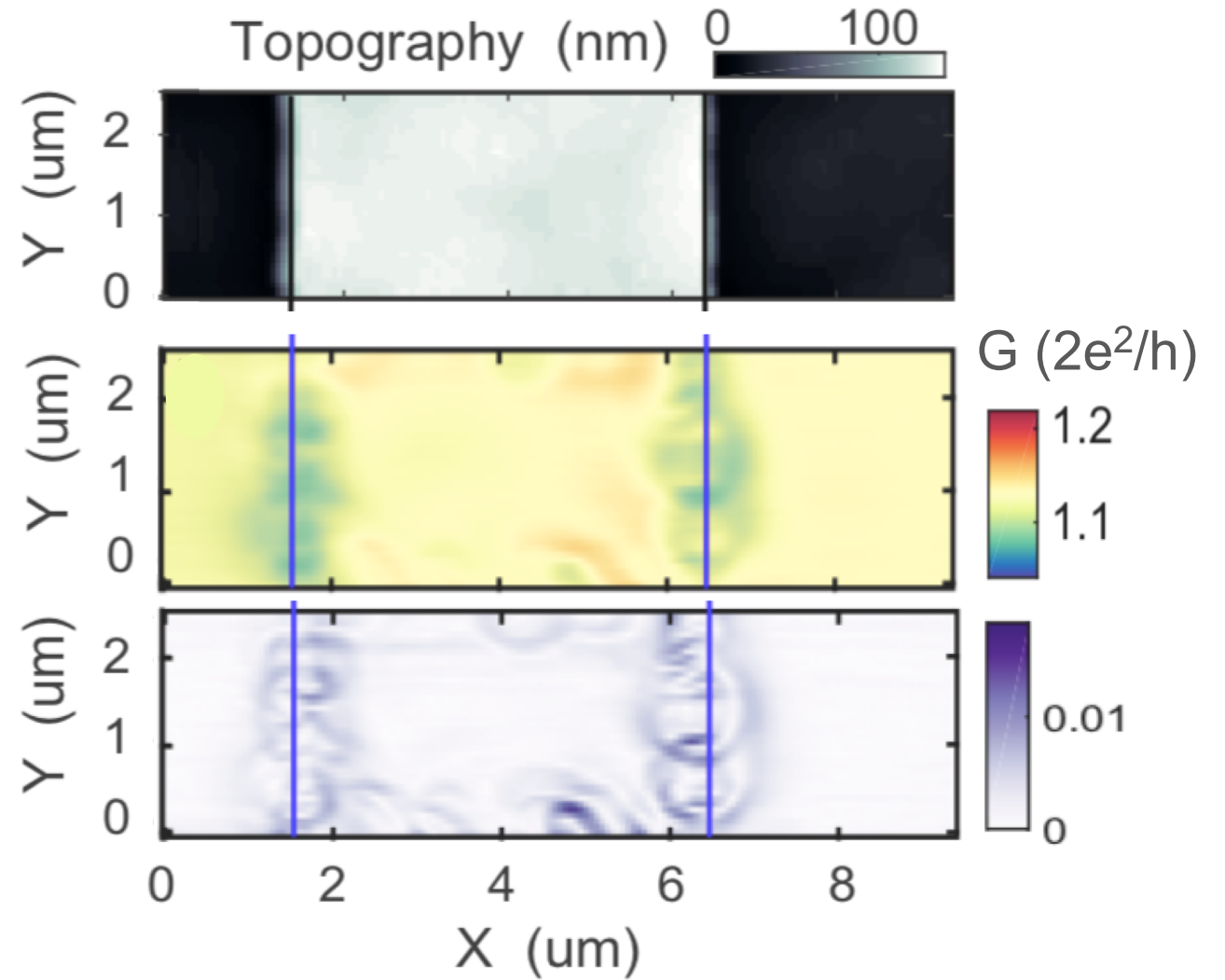
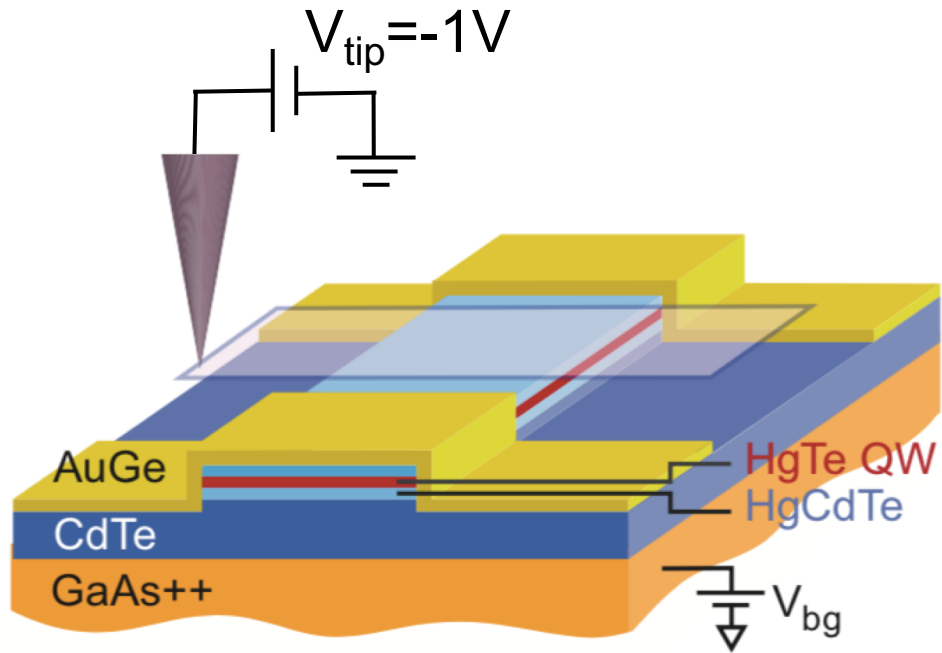
- Backgate voltage tunes the Fermi energy across the bandgap
- Set to a slightly p-doped device

# SGM on 2D-TI HgTe quantum wells

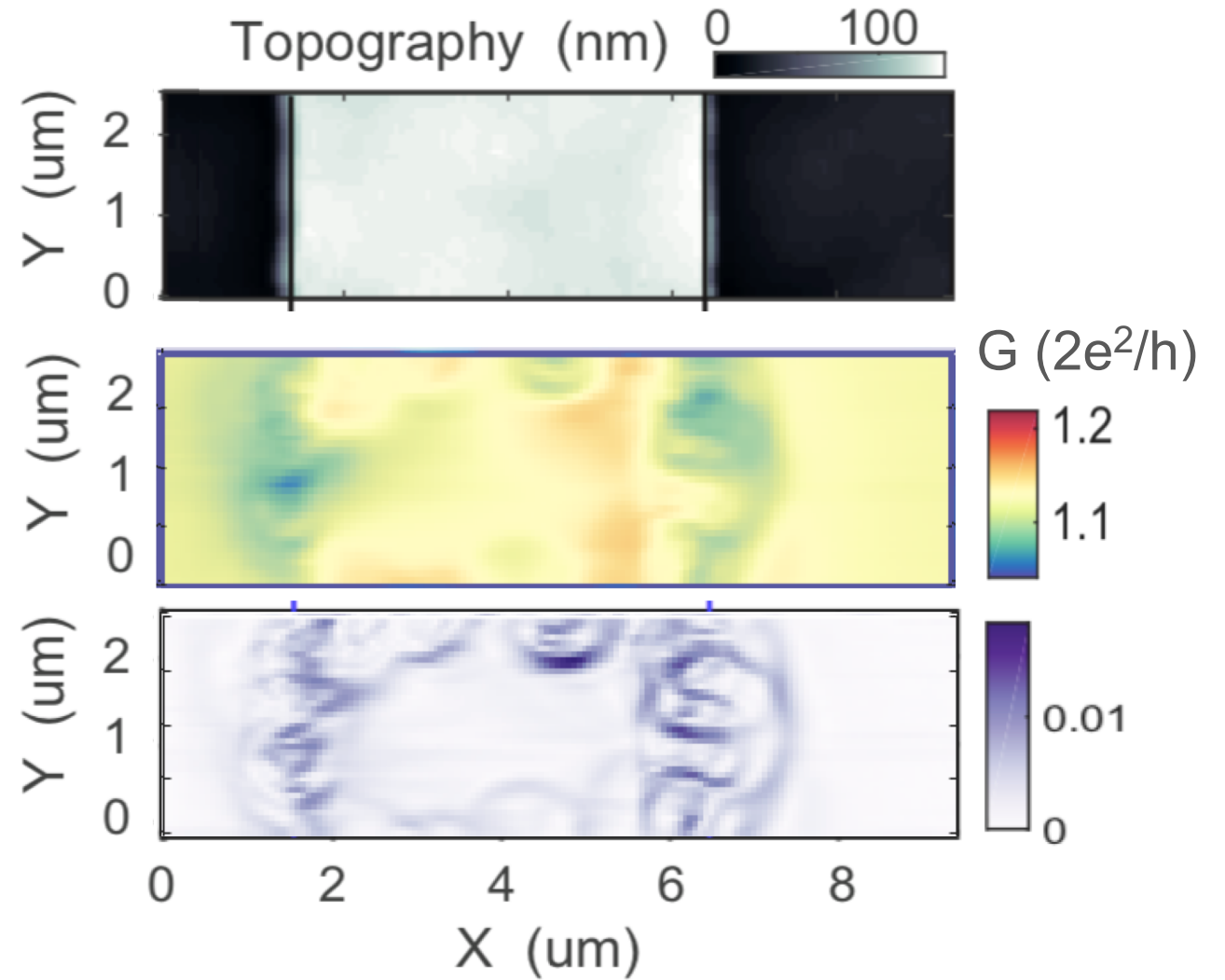
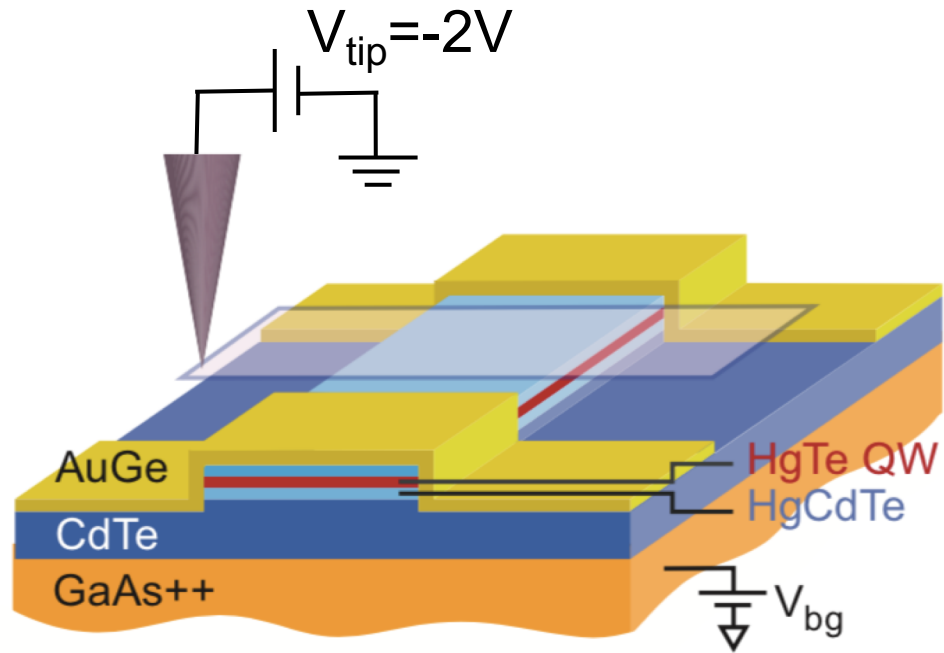


- Backgate voltage set to tune the device into the bulk gap
- The SPM metallic tip acts as a local top-gate electrode
- By monitoring the conductance as a function of tip position: conductance maps

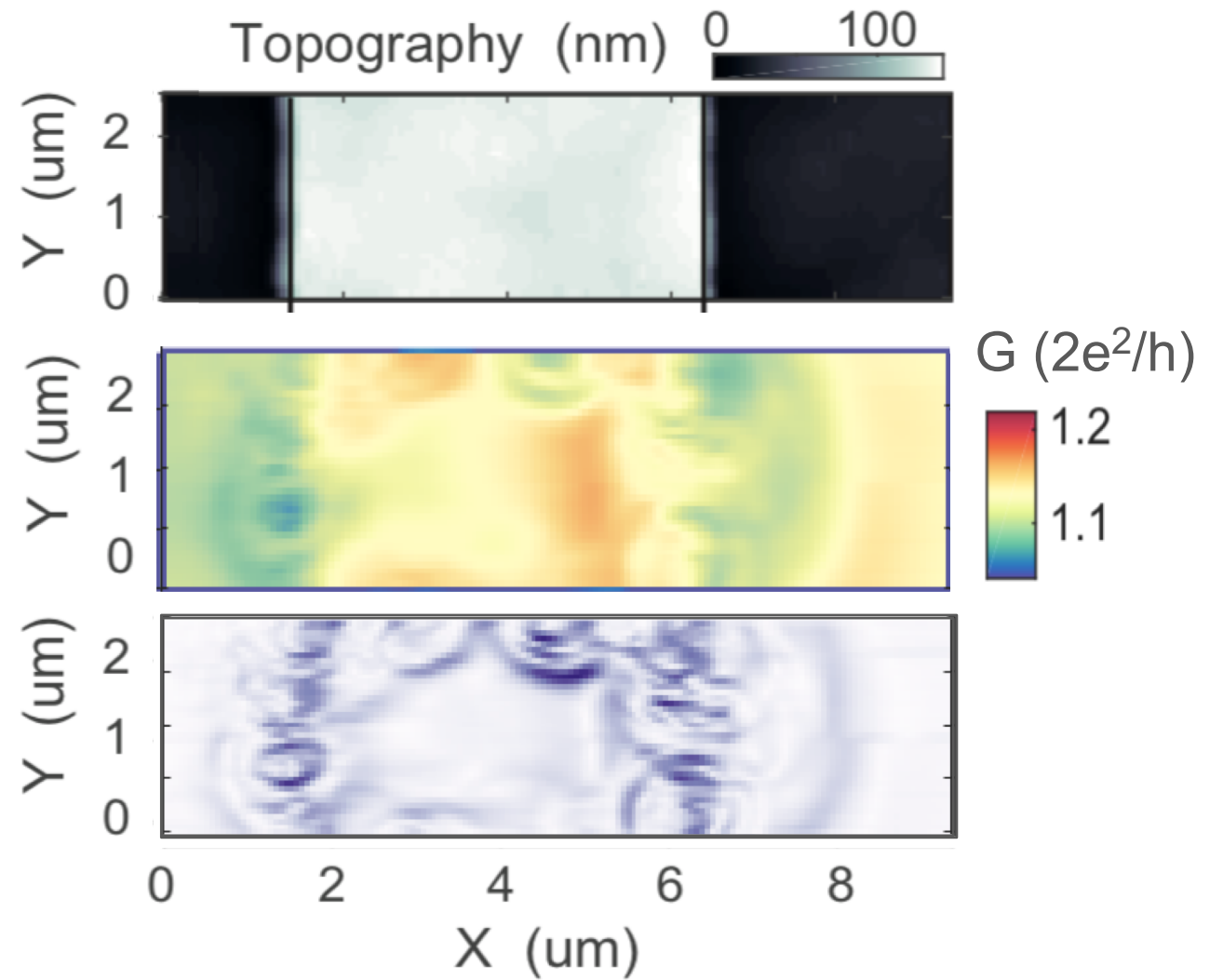
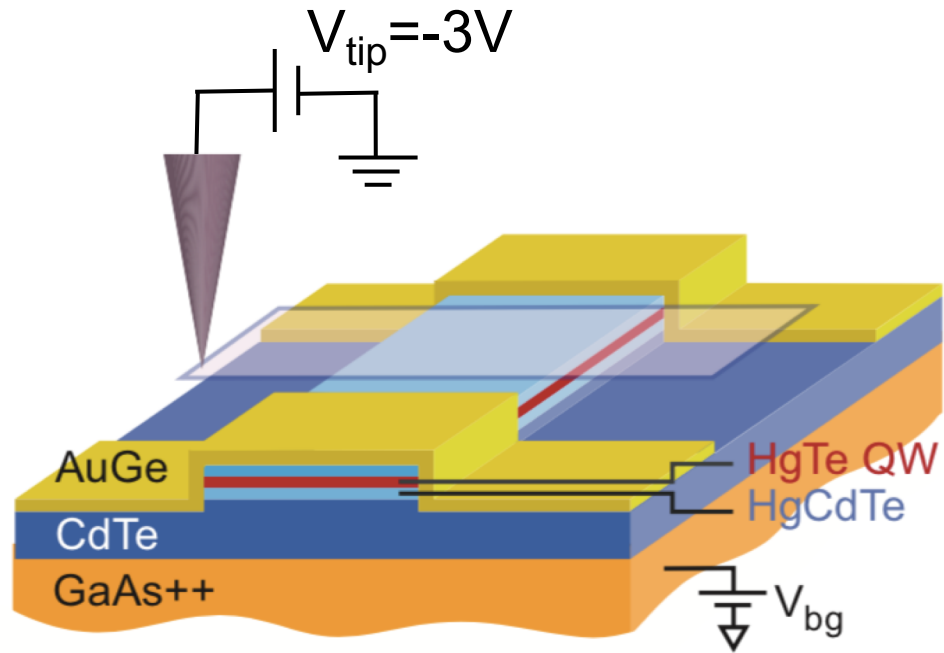
# SGM on 2D-TI HgTe quantum wells



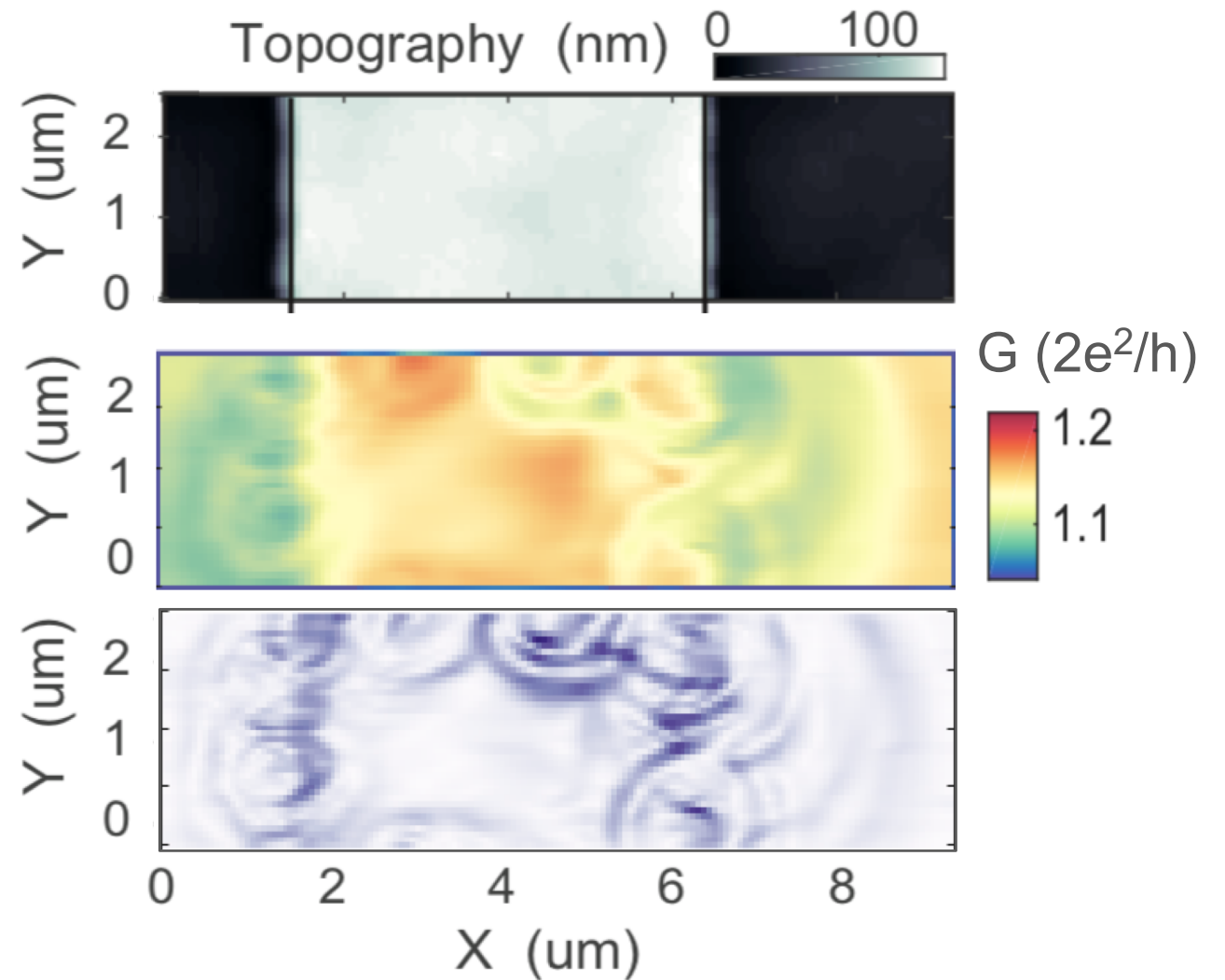
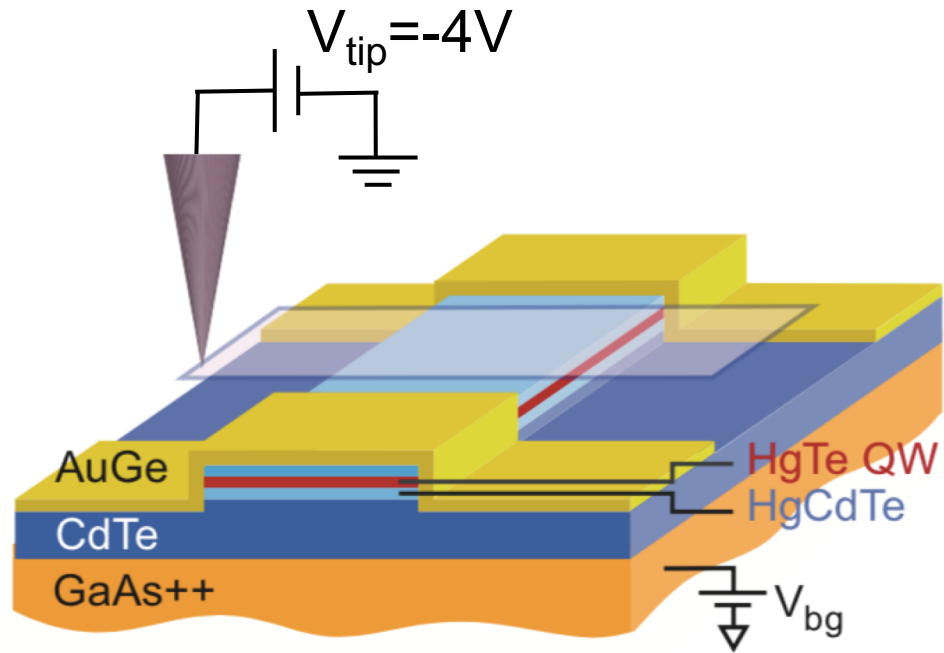
# SGM on 2D-TI HgTe quantum wells



# SGM on 2D-TI HgTe quantum wells



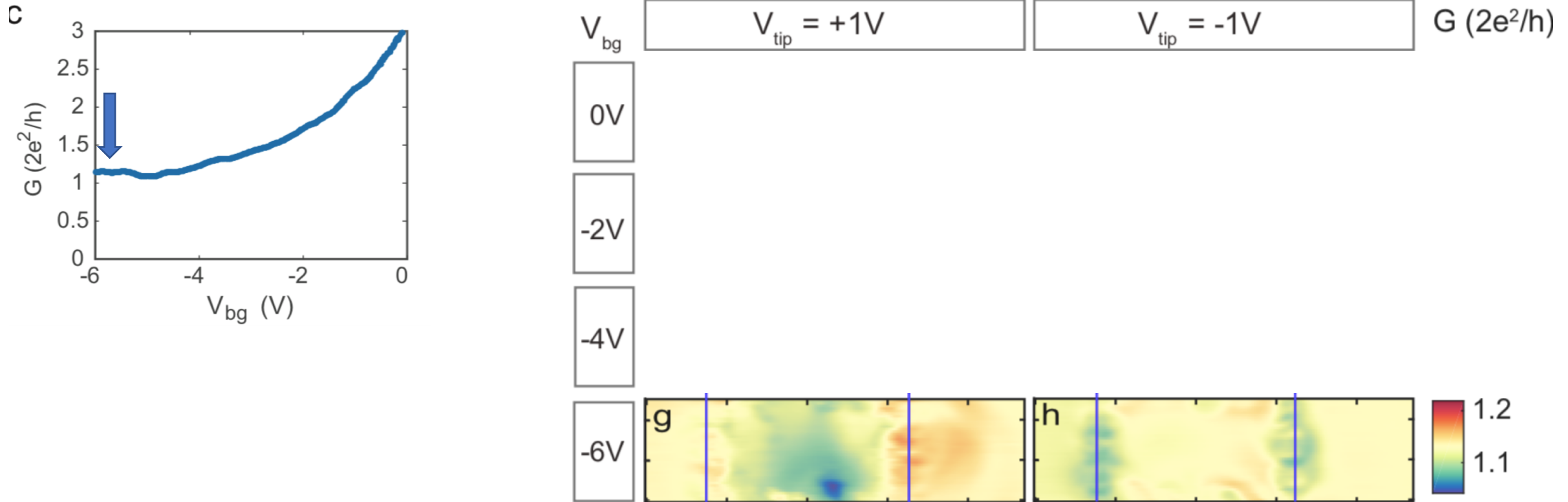
# SGM on 2D-TI HgTe quantum wells



In agreement with results by Koenig et al. PRX 2013

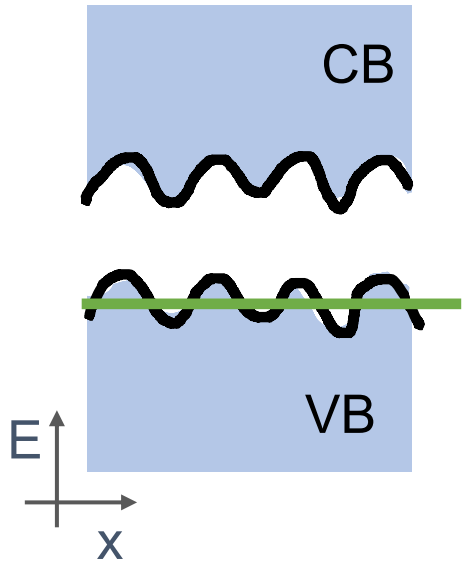


# SGM on 2D-TI HgTe quantum wells



- Rings features that increase in size with tip voltage
- Slight decrease of conductance when tip is above the edge with  $V_{tip} < 0$
- Slight increase of conductance when tip is above the edge with  $V_{tip} > 0$

# Backscattering sources



- Charge puddles Inelastic scattering
- Metallic regions intercalated with QSH edges.
- p-doped puddles size increases for  $V_{\text{tip}} < 0$ , increasing backscattering

# Backscattering sources

Vayrynen et al. PRB 90, 2014

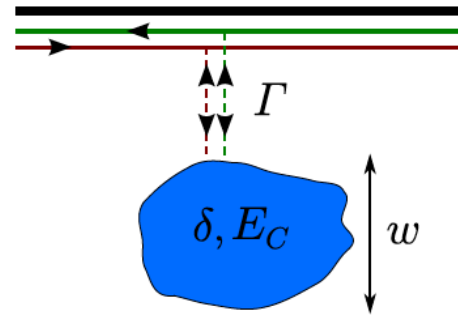
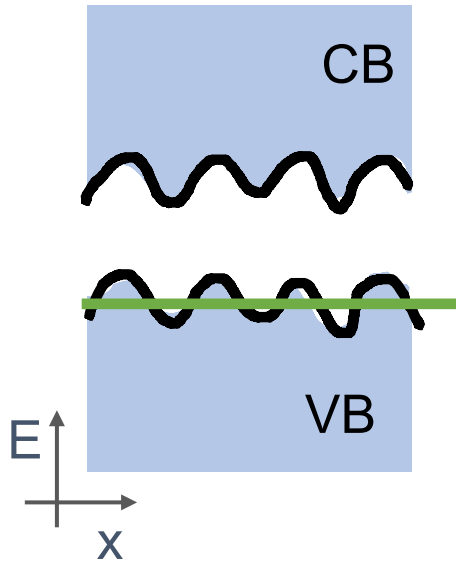
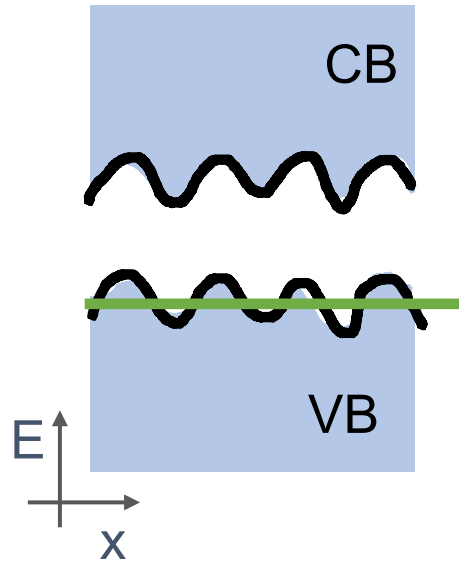


FIG. 1. (Color online) A quantum dot of linear size  $w$  (bottom) tunnel-coupled to a helical edge (top). The mean level spacing of the puddle is denoted by  $\delta$  and its charging energy by  $E_C$ . The typical tunneling-induced level width is  $\Gamma$ .

- Charge puddles Inelastic scattering
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# Backscattering sources



Vayrynen et al. PRB 90, 2014

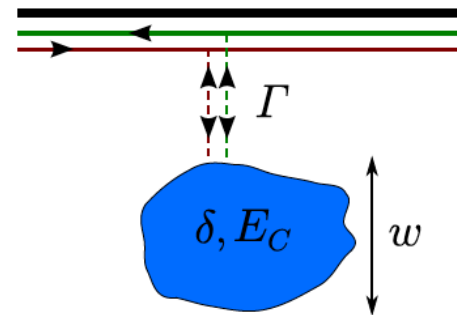
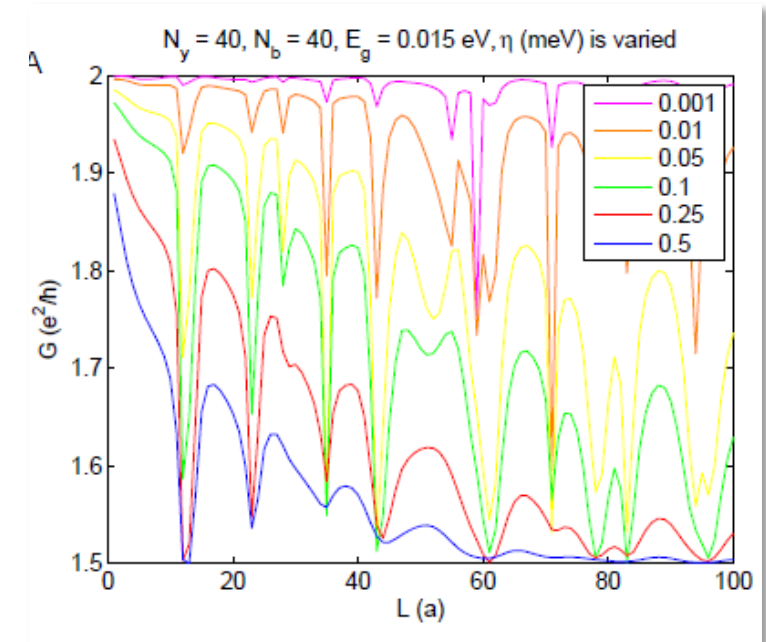
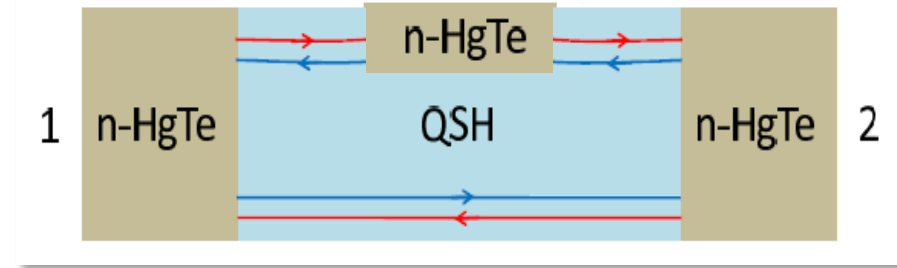


FIG. 1. (Color online) A quantum dot of linear size  $w$  (bottom) tunnel-coupled to a helical edge (top). The mean level spacing of the puddle is denoted by  $\delta$  and its charging energy by  $E_C$ . The typical tunneling-induced level width is  $\Gamma$ .

- Charge puddles Inelastic scattering
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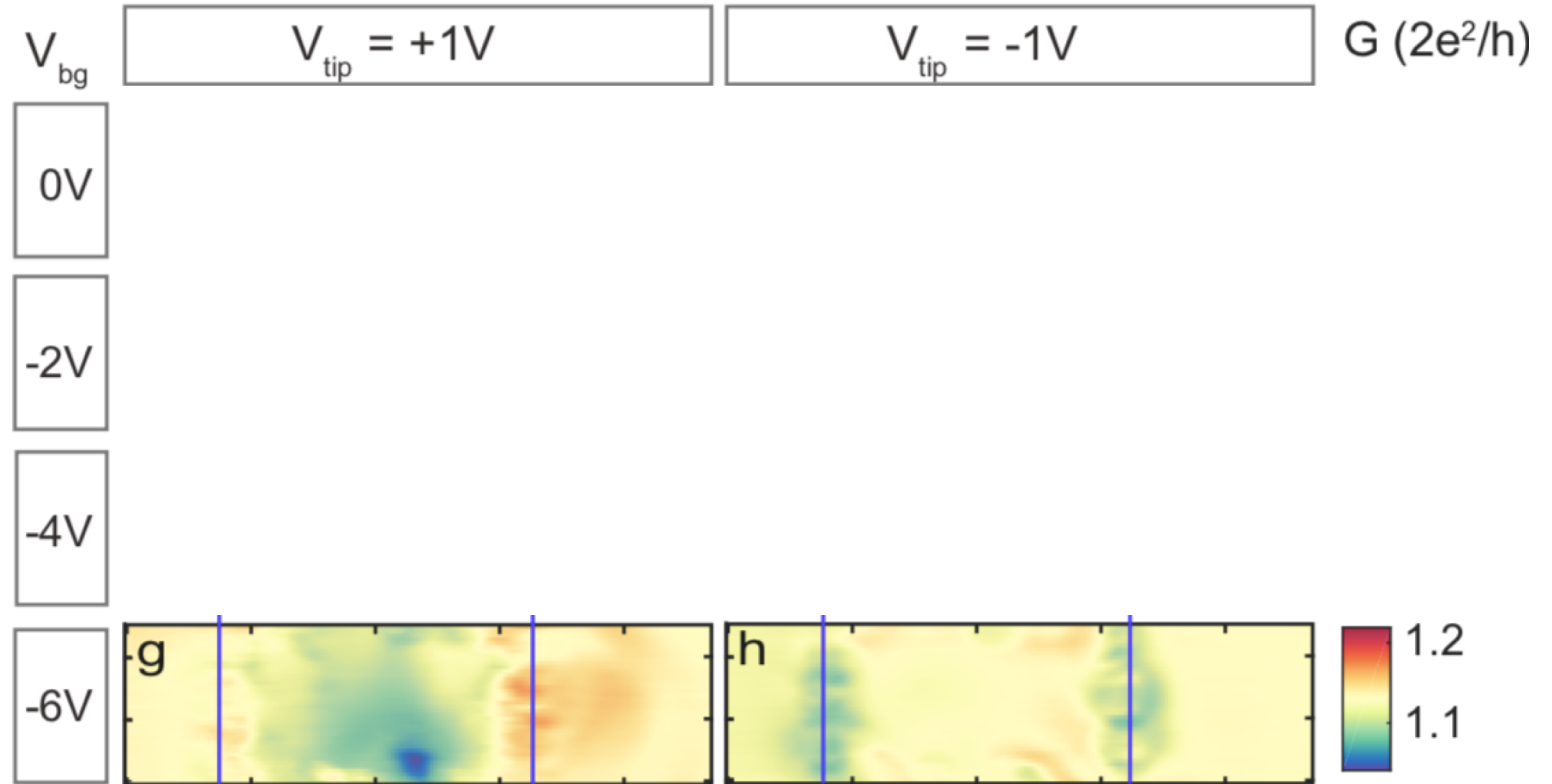
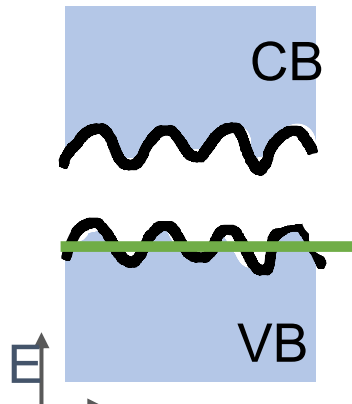
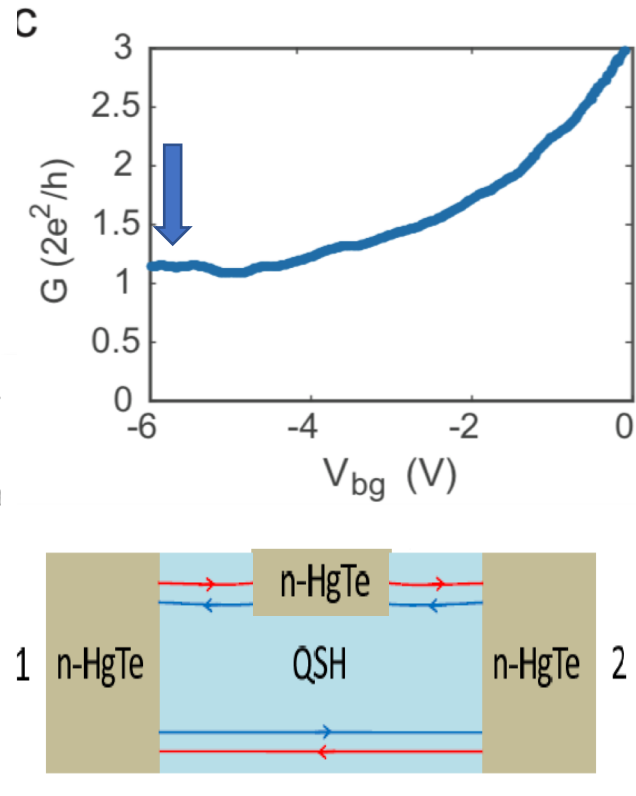


Roth et al., Science **325**, 294 (2009)

Koenig et al. PRX 3, 021003 (2013)

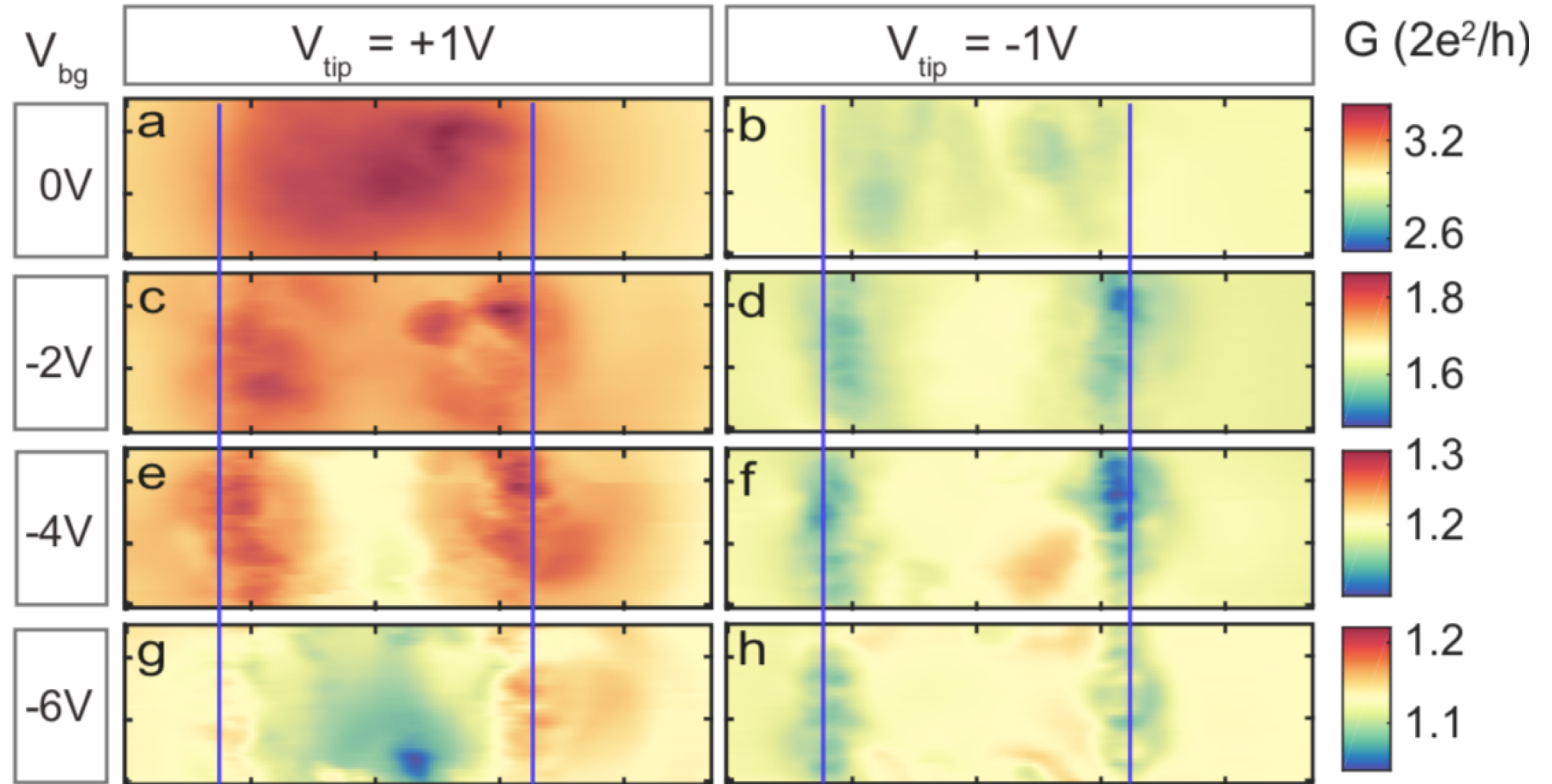
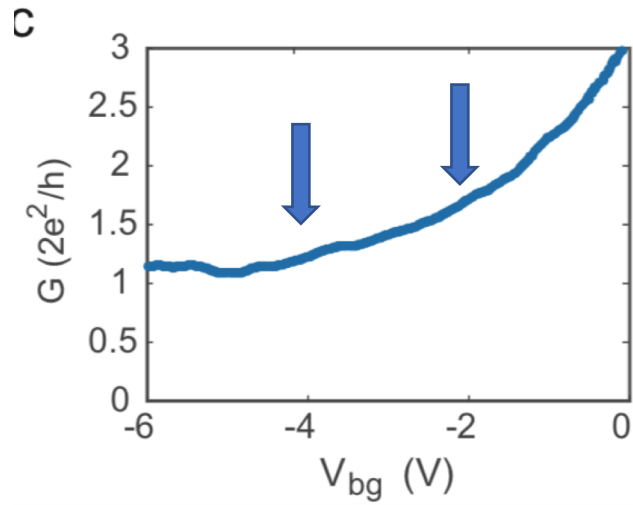
Calvo, Bercioux et al. *In preparation*

# SGM on 2D-TI HgTe quantum wells



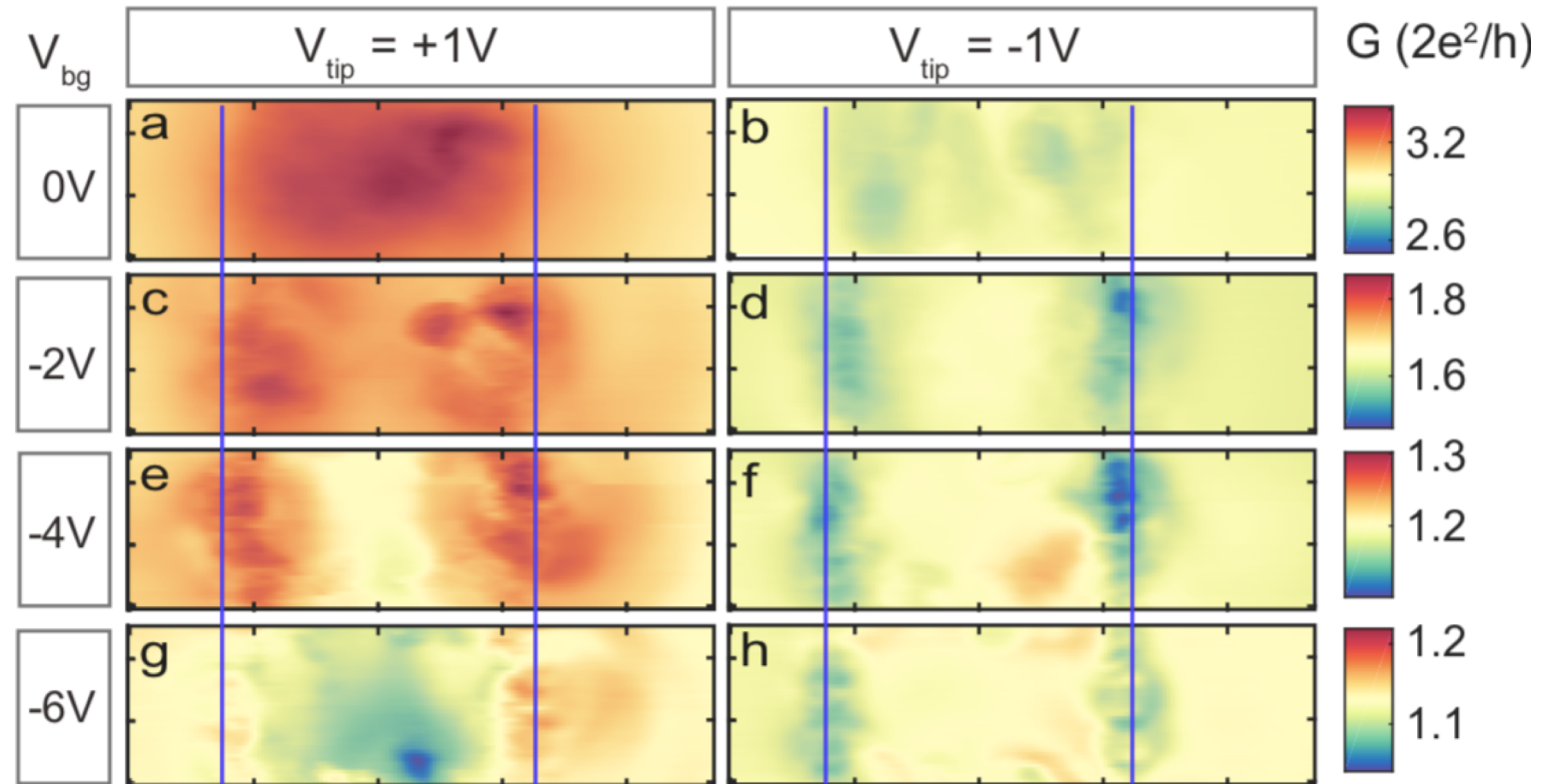
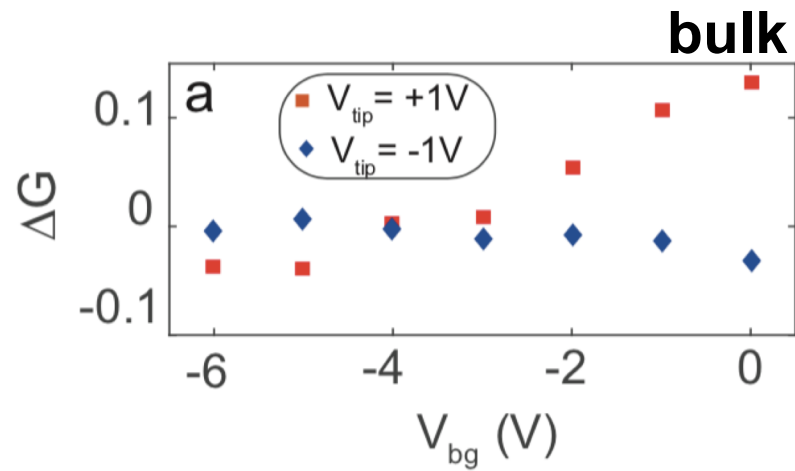
- p-doped puddles size increases for  $V_{tip} < 0$ , increasing backscattering  
Decreases for  $V_{tip} > 0$ , decreasing backscattering

# SGM on 2D-TI HgTe quantum wells



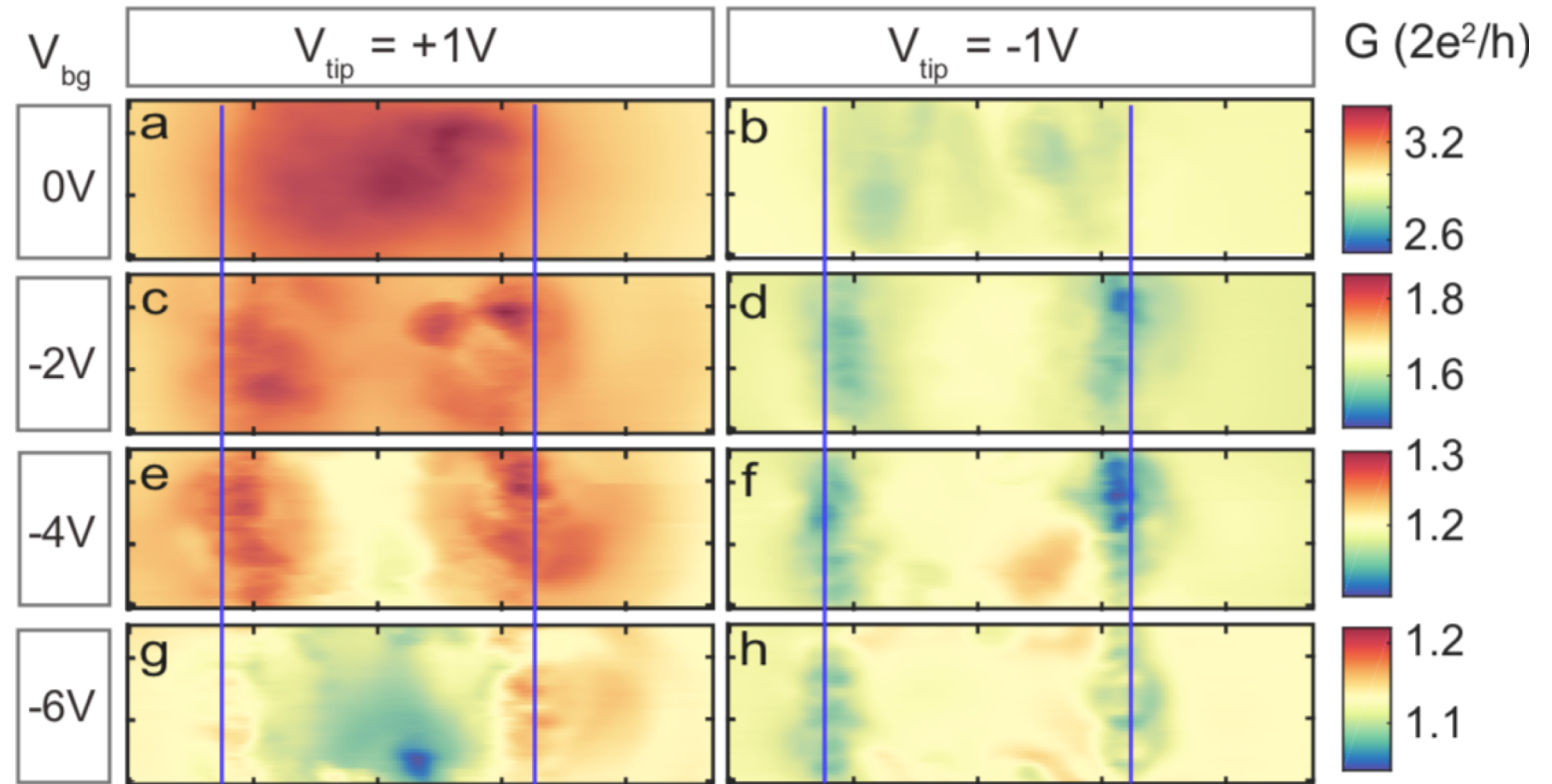
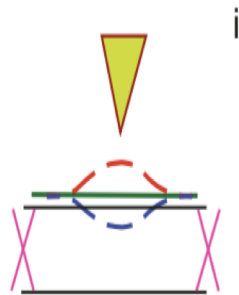
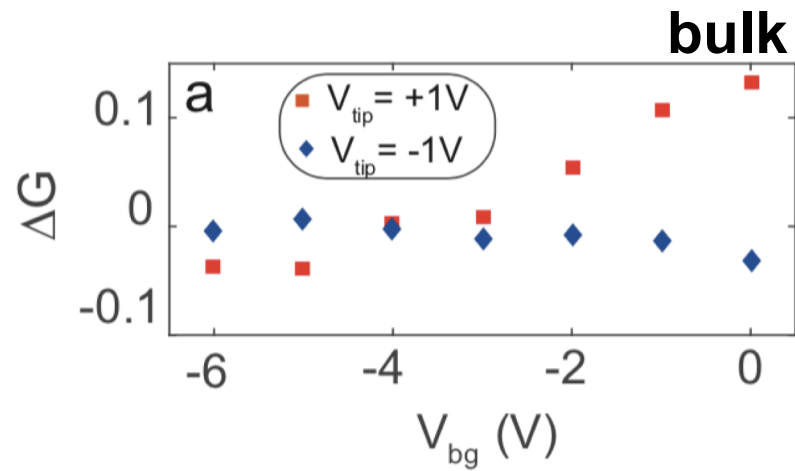
- Similar behavior at the edge for other gate voltage configurations

# SGM on 2D-TI HgTe quantum wells



- We observe how the action of a positive tip voltage on conductance changes sign across the gap

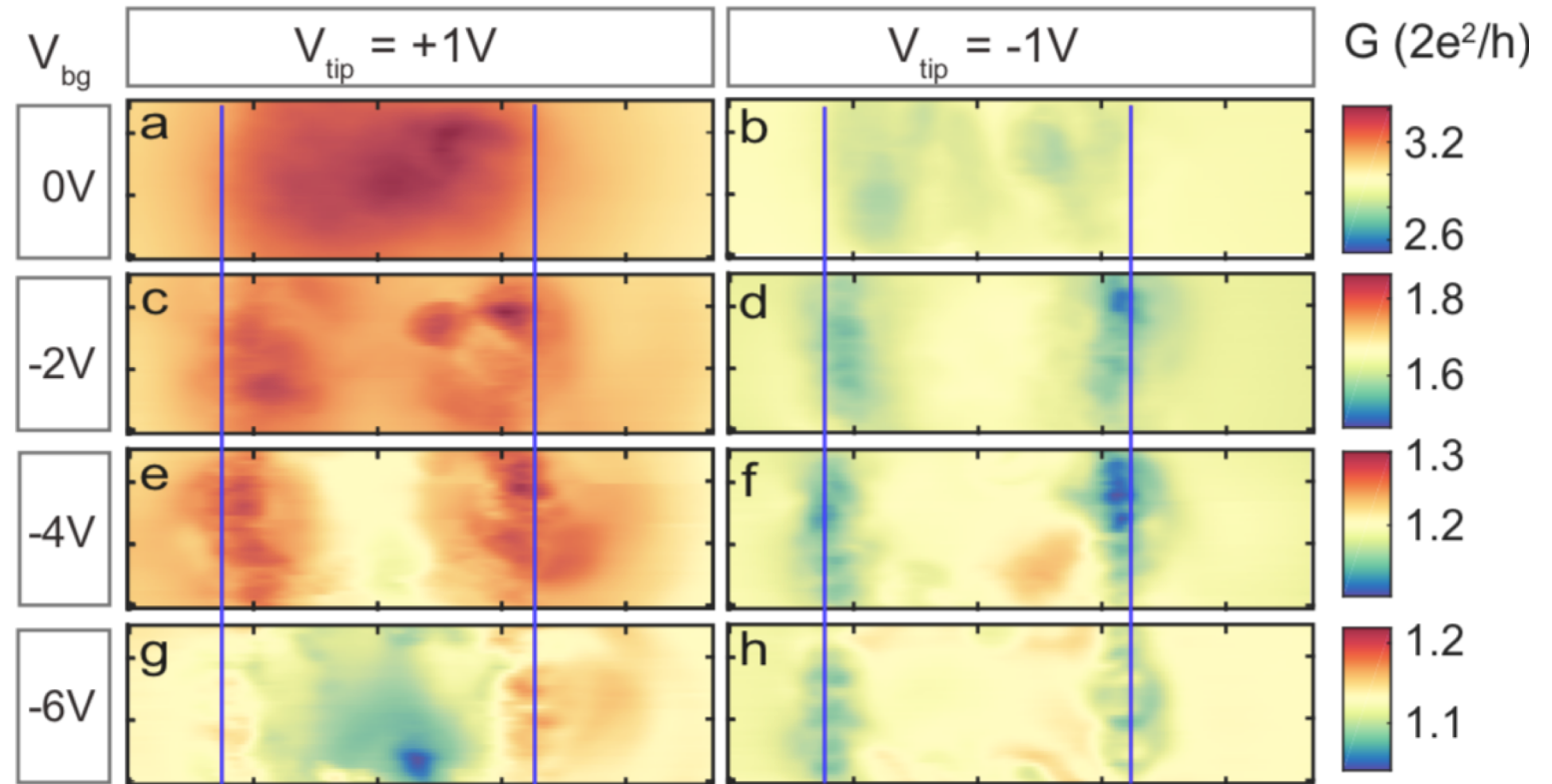
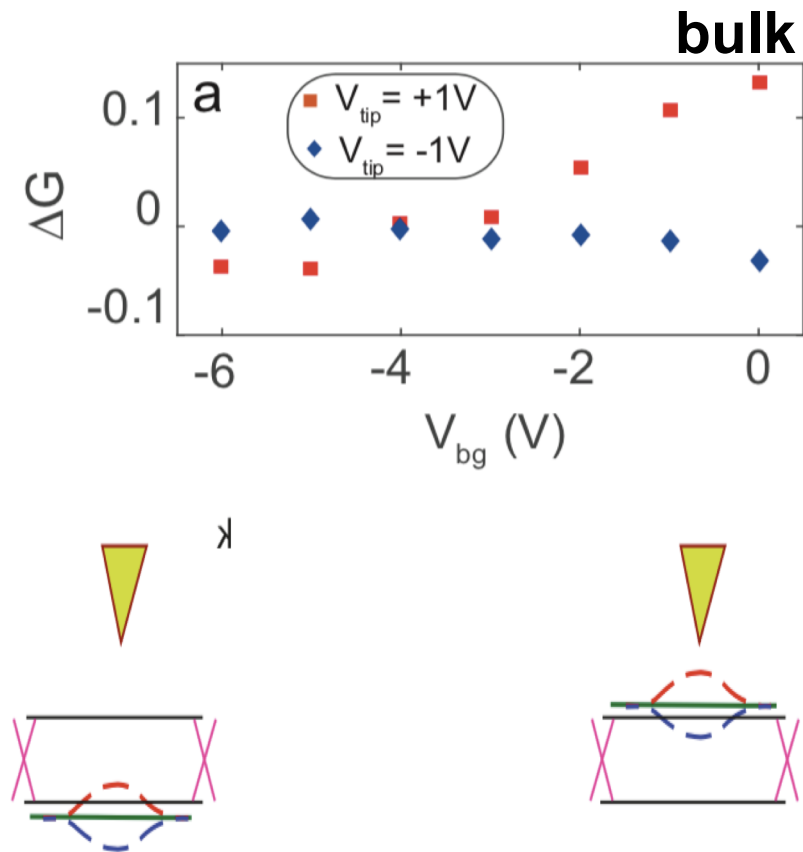
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- We observe how the action of a positive tip voltage on conductance changes sign across the gap

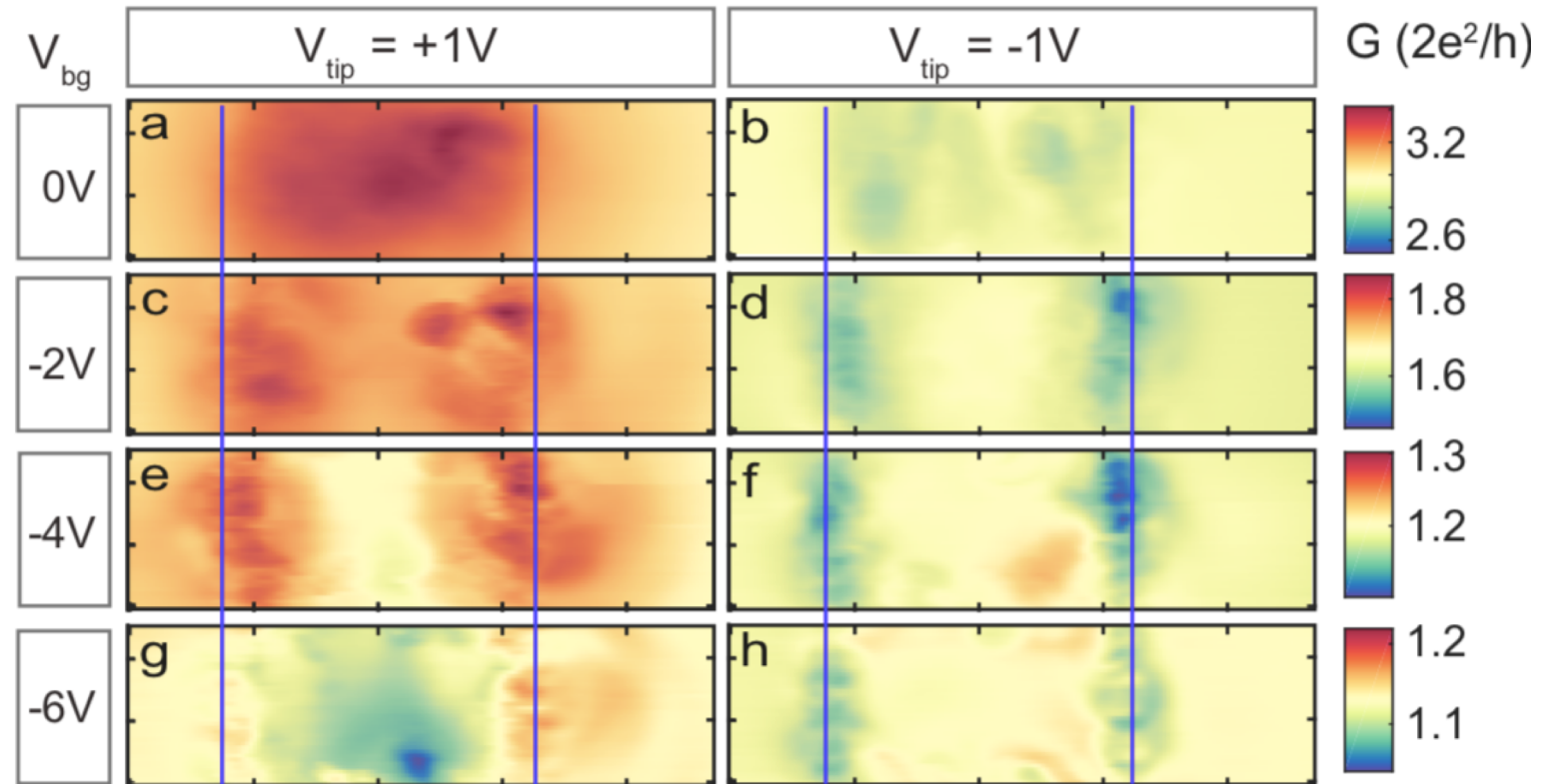
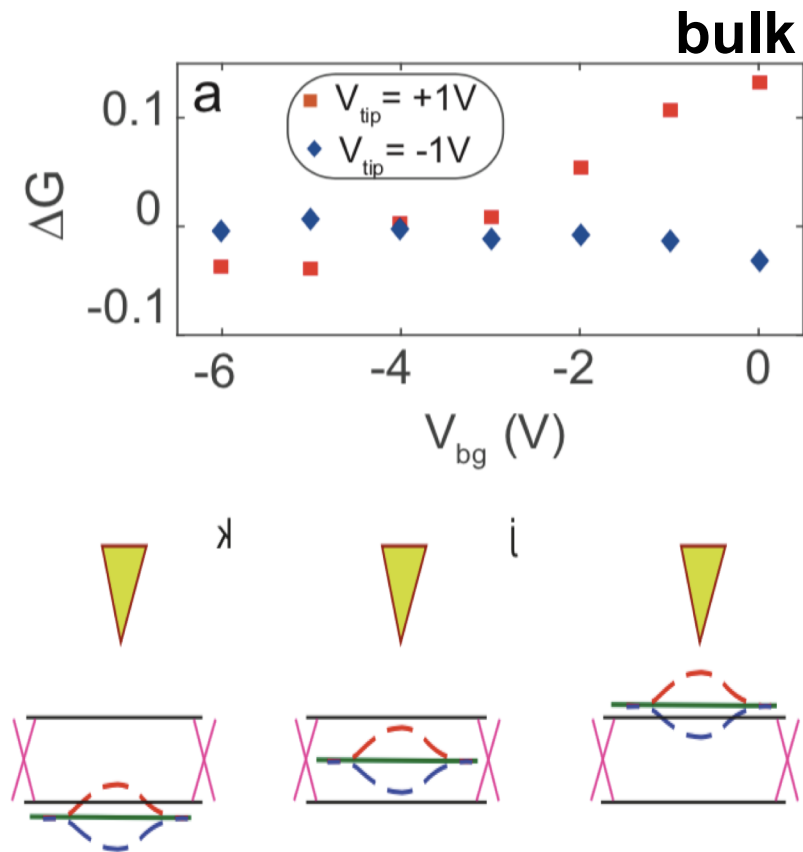


# SGM on 2D-TI HgTe quantum wells



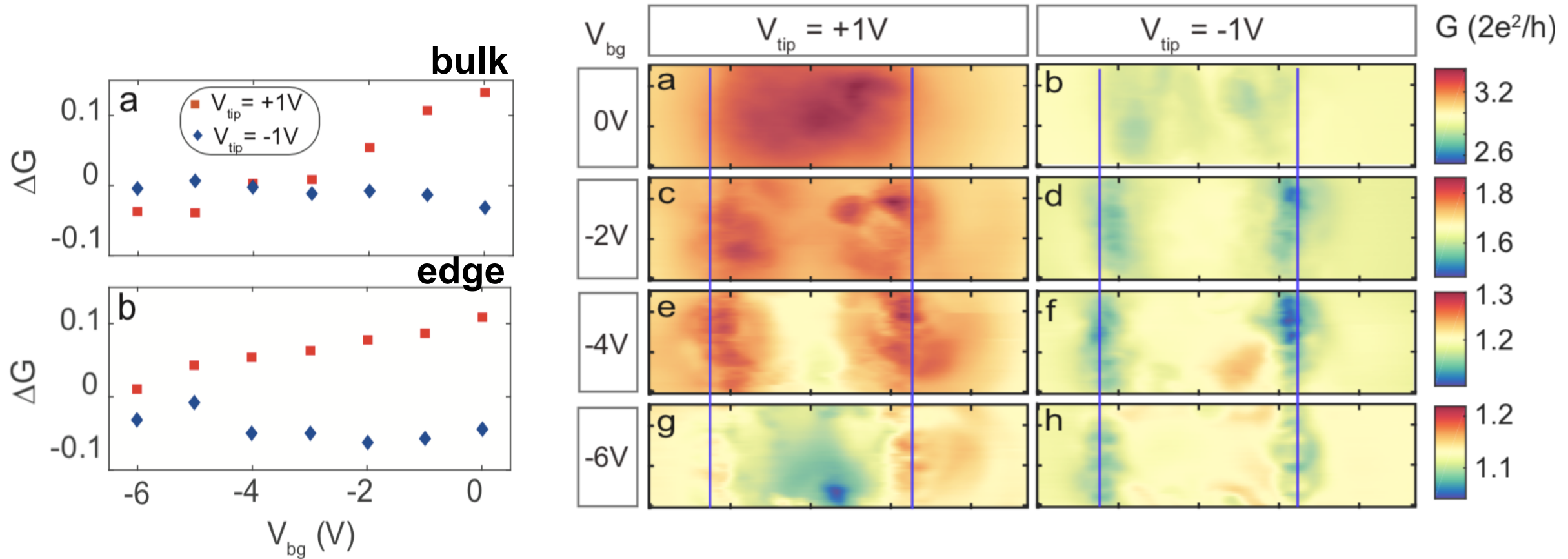
- We observe how the action of a positive tip voltage on conductance changes sign across the gap

# SGM on 2D-TI HgTe quantum wells



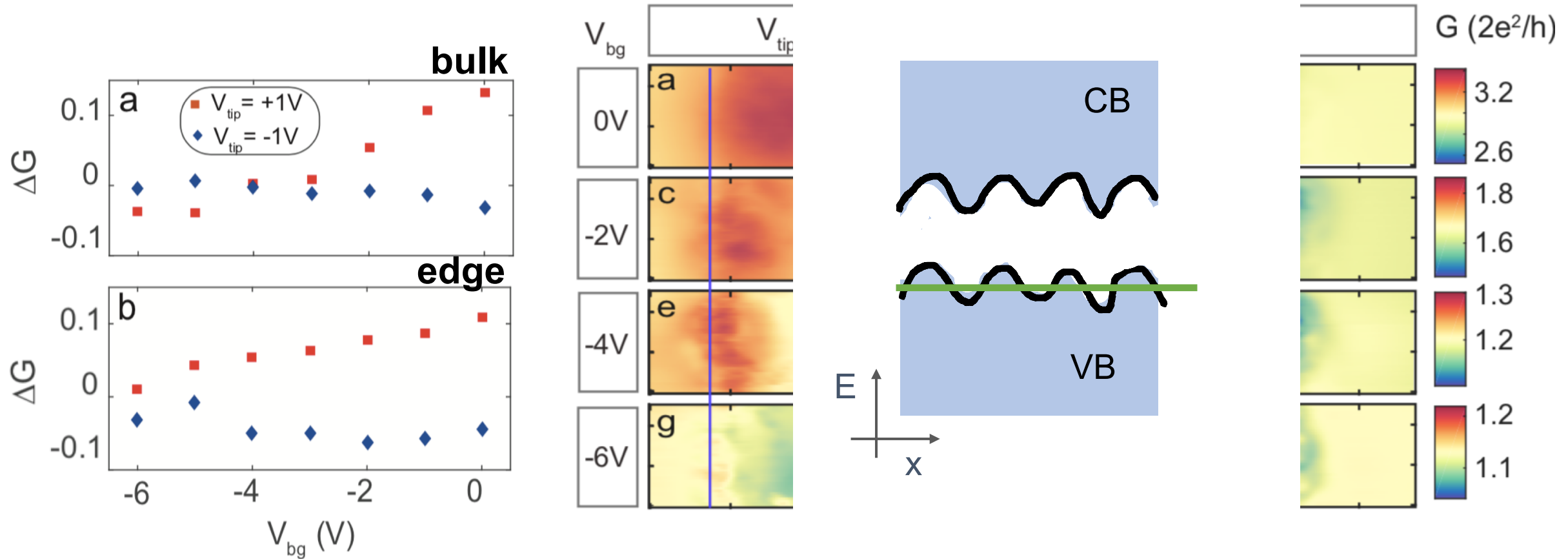
- We observe how the action of a positive tip voltage on conductance changes sign across the gap
- We identify the bulk charge neutrality point
- Conductance  $> 2e^2/h$  and no sign of bulk conduction

# SGM on 2D-TI HgTe quantum wells



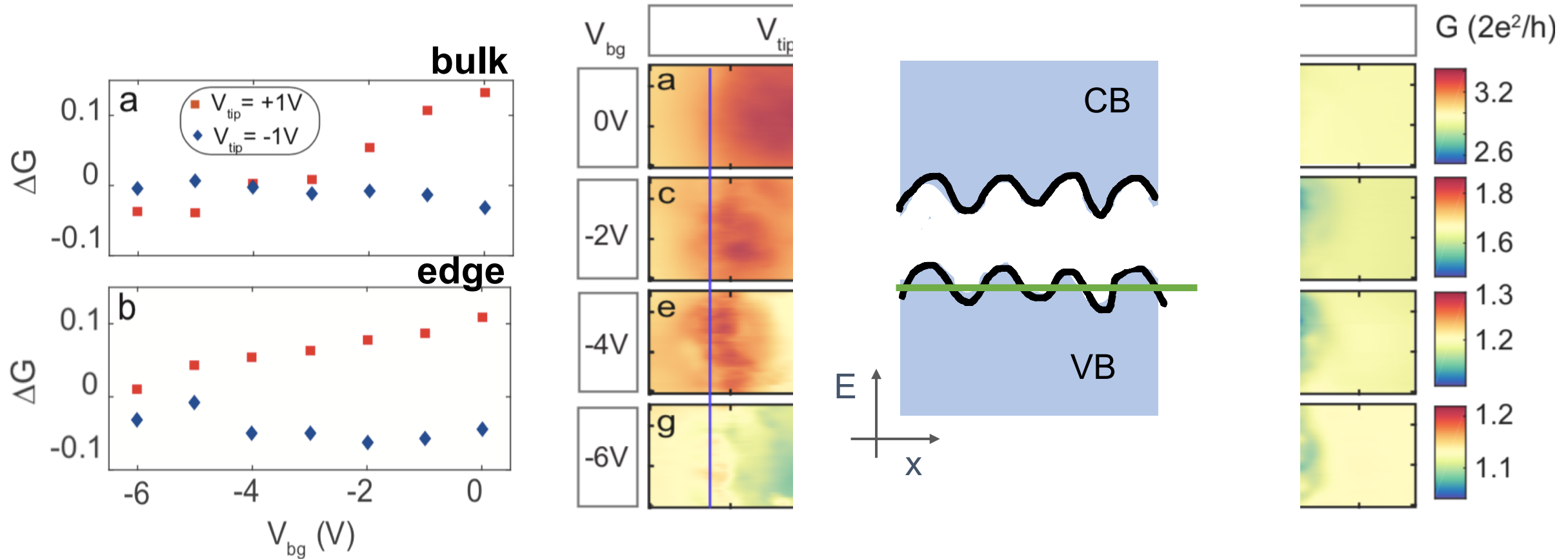
- We observe how the action of a positive tip voltage on conductance changes sign across the gap
- A similar change of polarity does not occur for the edges and effect of the tip in conductance when at the edge is larger for  $V_{bg} > -6V$

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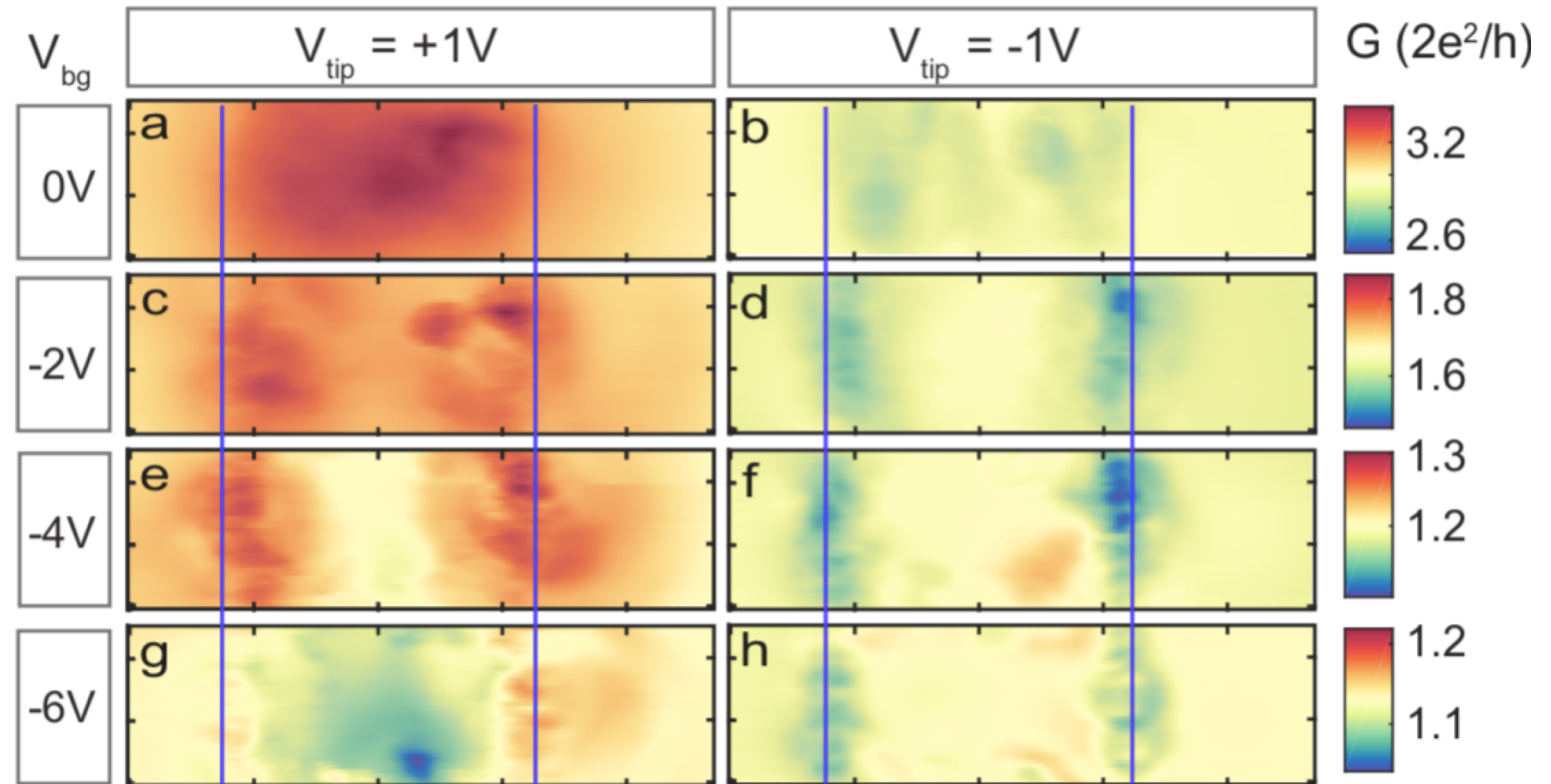
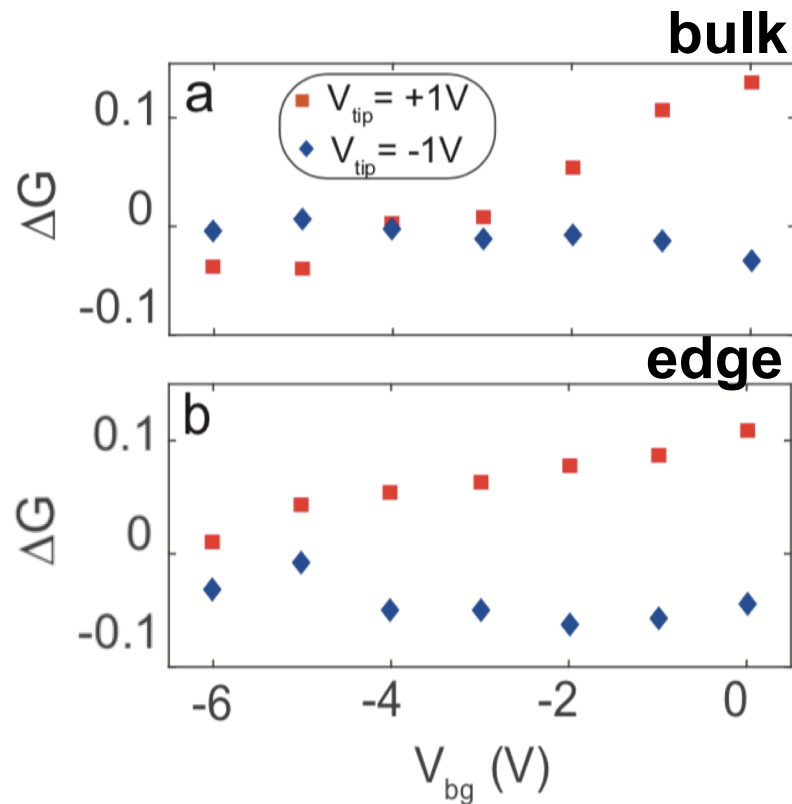
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# SGM on 2D-TI HgTe quantum wells



- We observe how the action of a positive tip voltage on conductance changes sign across the gap
- A similar change of polarity does not occur for the edges.
- We cant explain just with a puddle picture, **we need to invoke electrostatics**

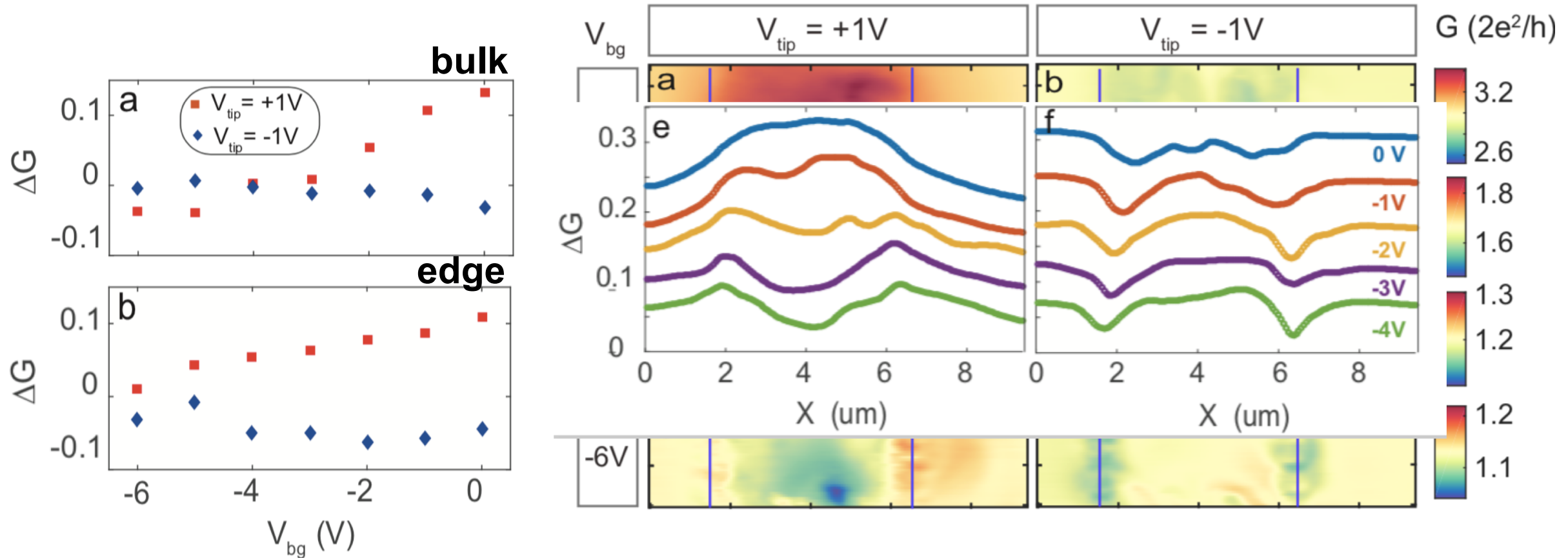
# SGM on 2D-TI HgTe quantum wells



- We observe how the action of a positive tip voltage on conductance changes sign across the gap
- A similar change of polarity does not occur for the edges, which we can't explain just with a puddle picture
- We observe an inwards shift of the tunable areas as n-doping the device



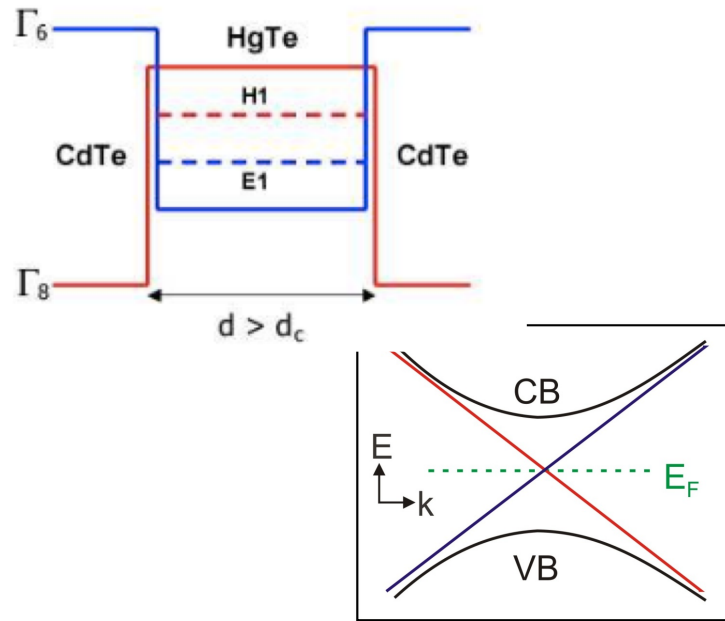
# SGM on 2D-TI HgTe quantum wells



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## BHZ model

Bernevig et al. *Science*, 314, (2006)

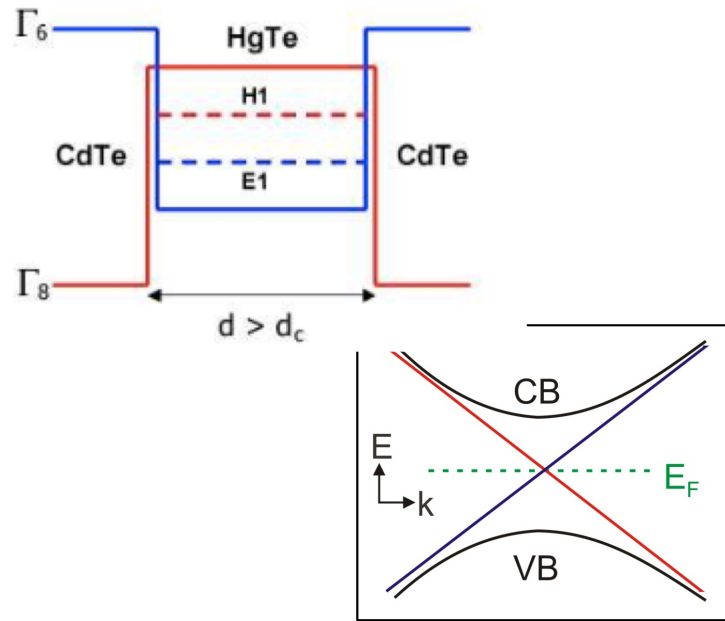


- Dirac crossing center of the gap
- Abrupt topological to trivial transition



## BHZ model

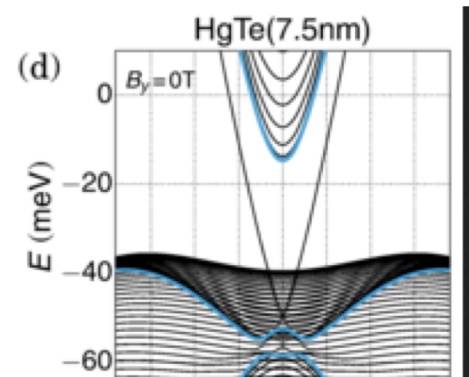
Bernevig et al. *Science*, 314, (2006)



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## k.p model

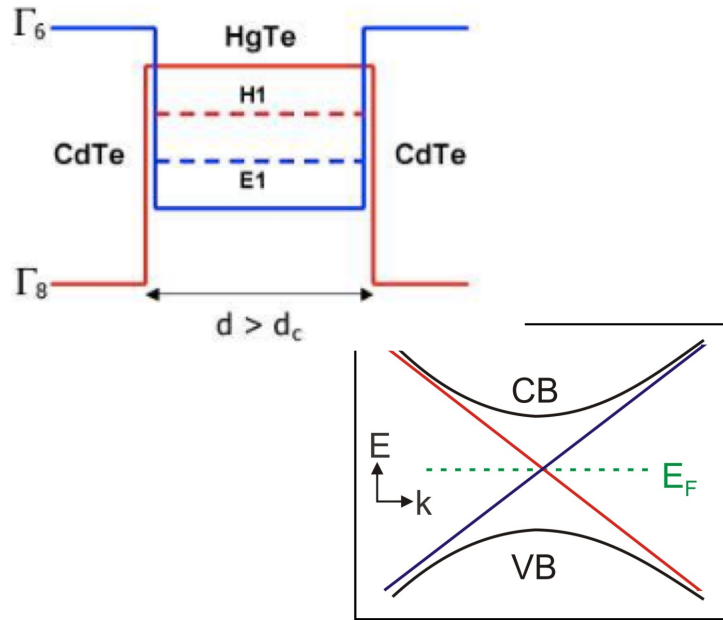
Skolasinski et al. *Phys. Rev. B* 98 (2018)



- Finite size effects
- Burial of Dirac point
- High DOS, flat valence band

## BHZ model

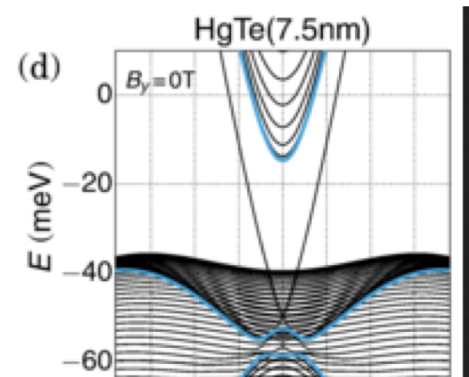
Bernevig et al. *Science*, 314, (2006)



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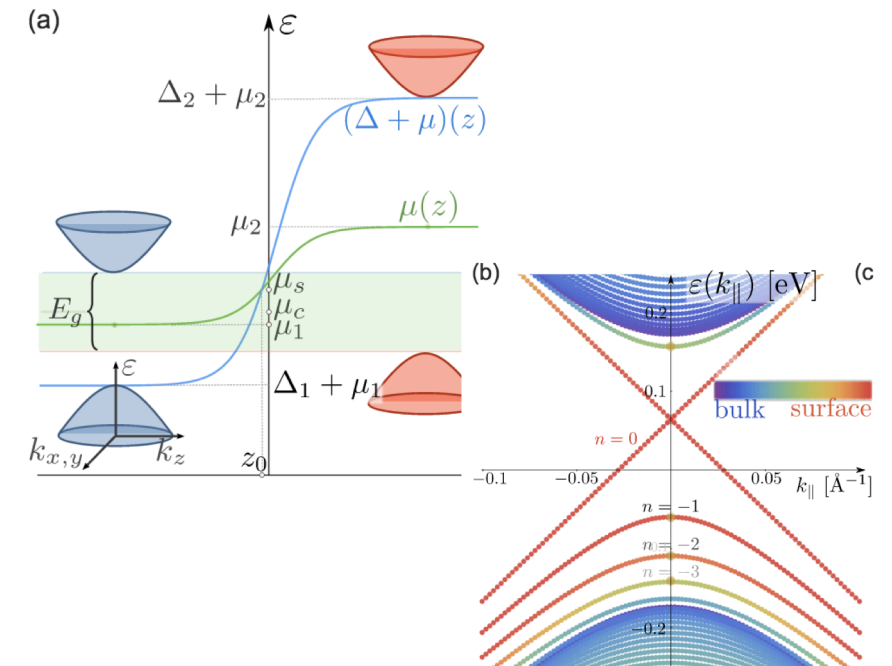
Skolasinski et al. *Phys. Rev. B* 98 (2018)



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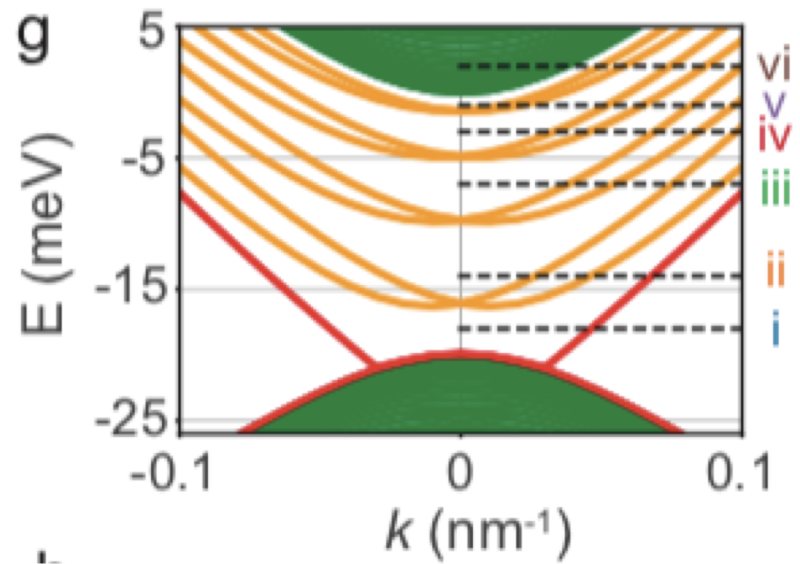
## Volkov-Pankratov model

Tchoumakov et al. *Phys. Rev. B* 96 (2017)

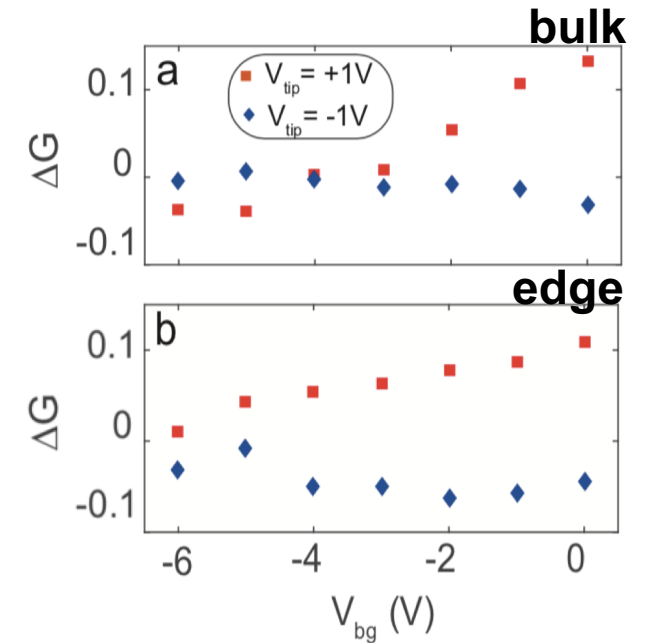
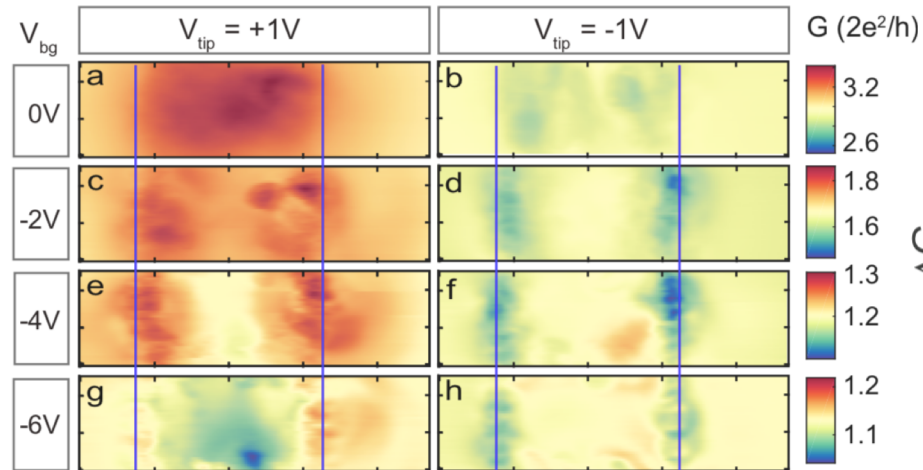
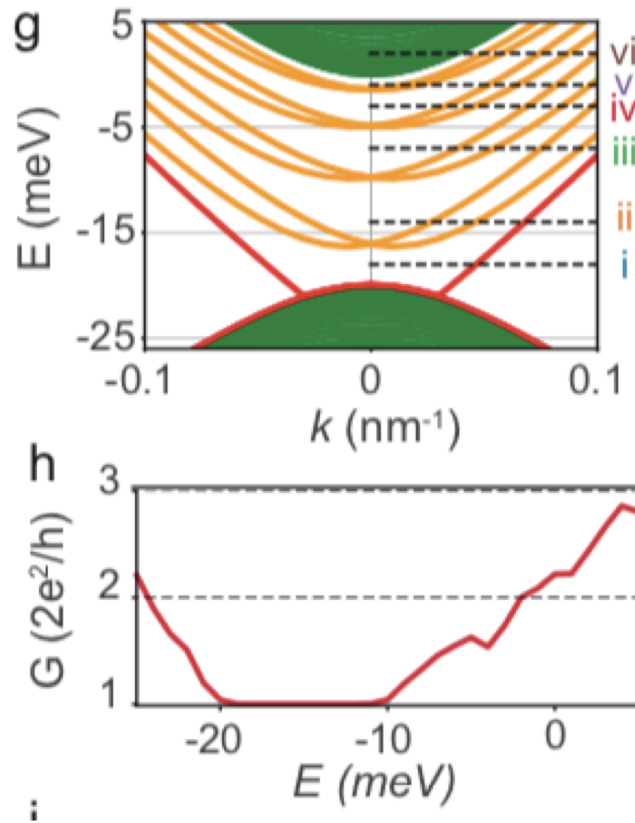


- Smooth topological to trivial transition
- QSH linear + extra V-P parabolic states

## Extra edge states in HgTe quantum wells



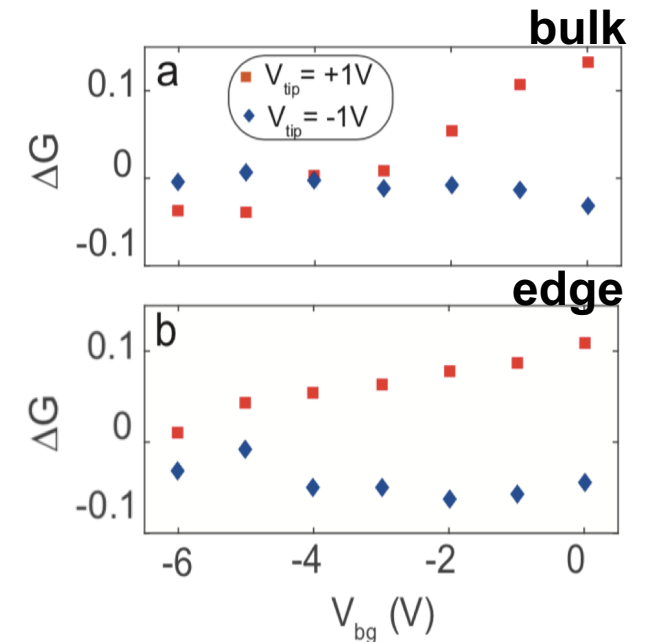
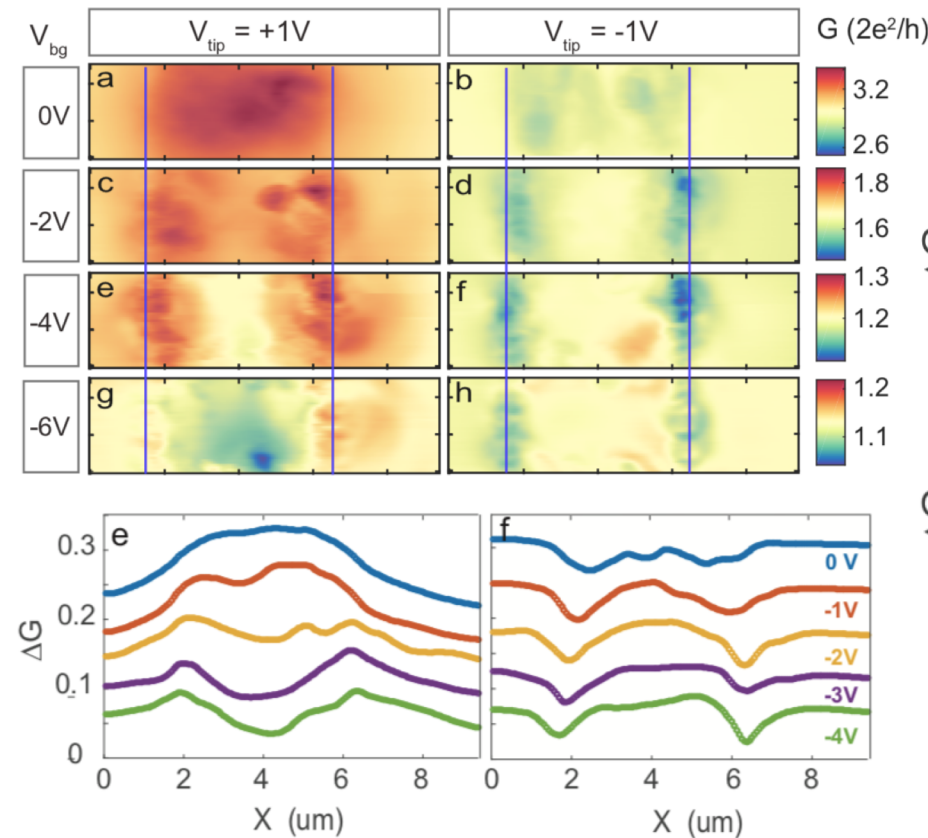
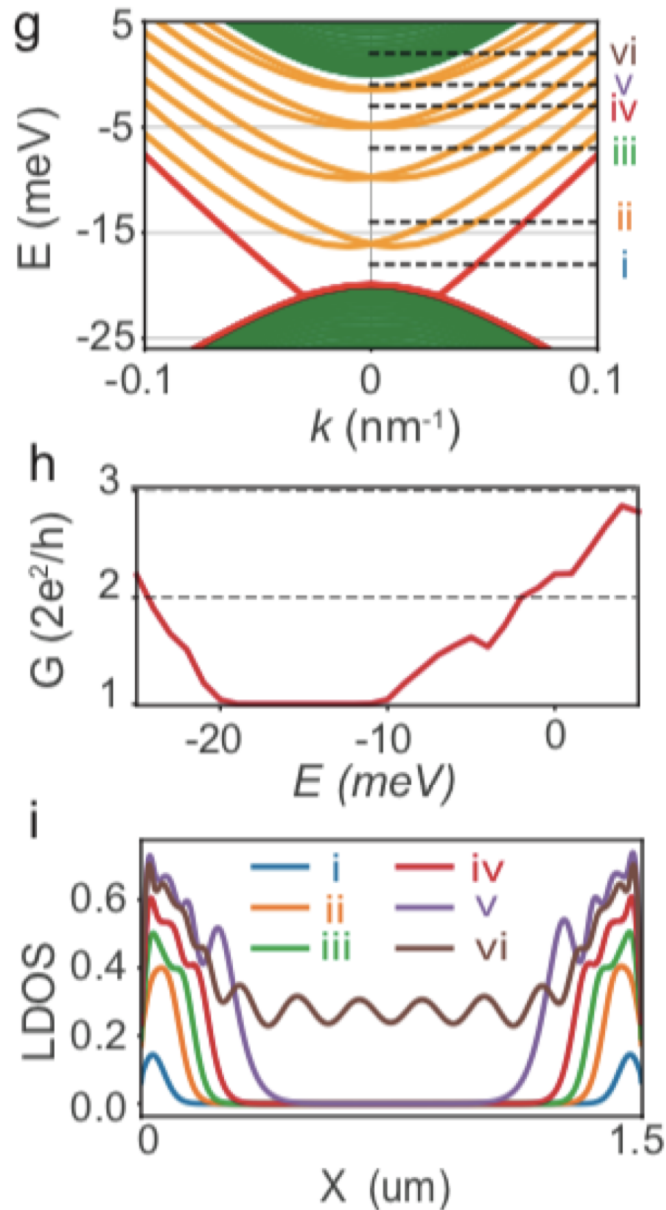
# Dressed edge states in HgTe quantum wells



Extra states explain:

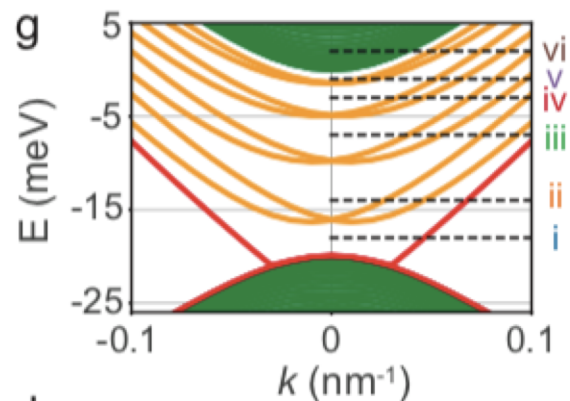
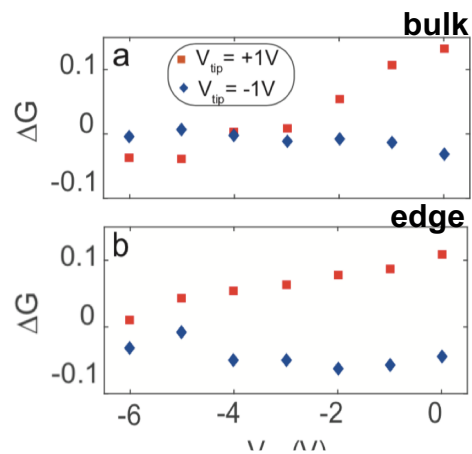
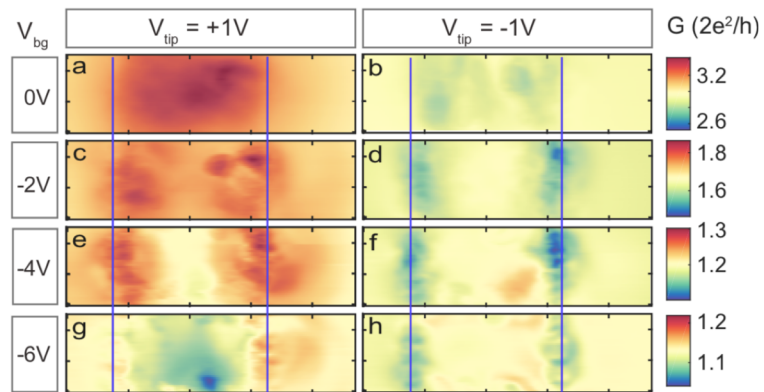
- A Higher conductance than  $2e^2/h$  due to only edge contributions
- N-doped like behavior of the edges, and stronger effect of the tip for  $V_{bg} > -6V$

# Dressed edge states in HgTe quantum wells



Extra states explain:

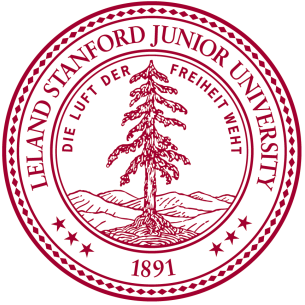
- A Higher conductance than  $2e^2/h$  due to only edge contributions
- N-doped like behavior of the edges, and stronger effect of the tip for  $V_{\text{bg}} > -6\text{V}$
- Inwards evolution of the tunable areas



- SGM maps reveal a distinct behavior of edge versus bulk response to the action of the tip. Edge behavior resembles the n-doped bulk.
- Our results can be explained by a minimal model accounting for both disorder and electrostatics on the QSH picture.
- A modulation of the electrostatic landscape towards the edge results in extra states at the edge that justifies our observations and could lie behind other observed phenomenology:
  - Unexpected edge conduction under strong magnetic field (Ma et al. Nat Comms. 2017)
  - Unexpected behavior of edge resistance with temperature (P. Leubner thesis, unpublished)
  - Higher conductance for short devices usually attributed to bulk states? (Calvo et al. PRL 2018)
  - **RF Capacitance measurements: dressed edge states.**  
See next talk by Alexandre Gourmelon!  
[Dartailh et al. arXiv:1903.12391](https://arxiv.org/abs/1903.12391)



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- Dario Bercioux



- Erwann Bocquillon

